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AND ENGINEERING

CENTRE  
OF COMPUTER GRAPHICS  
AND VISUALIZATION

PLZEŇ  
CZECH REPUBLIC

# COMPUTER GENERATED HOLOGRAPHY

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## 3D VISION AND BEYOND

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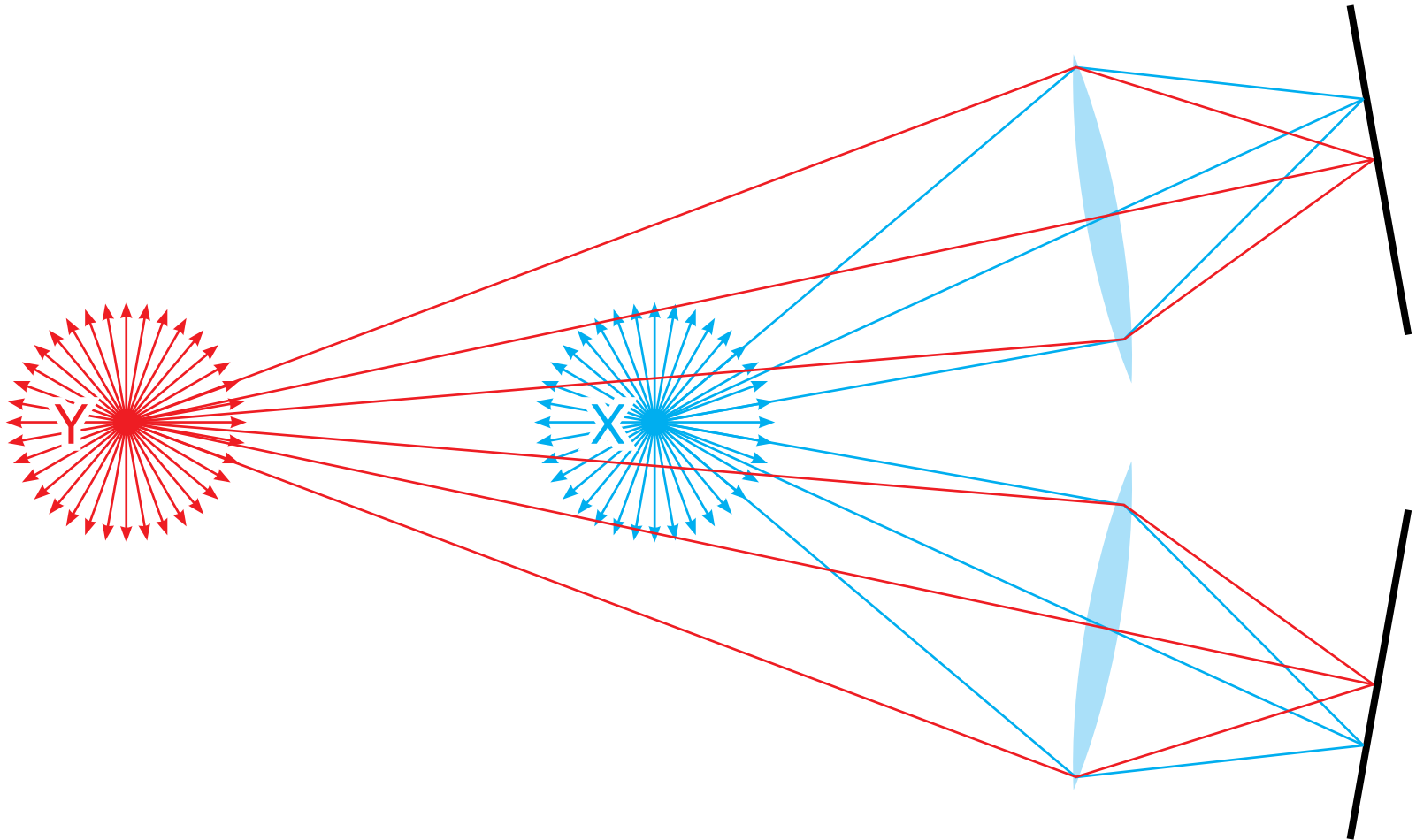


<http://graphics.zcu.cz>

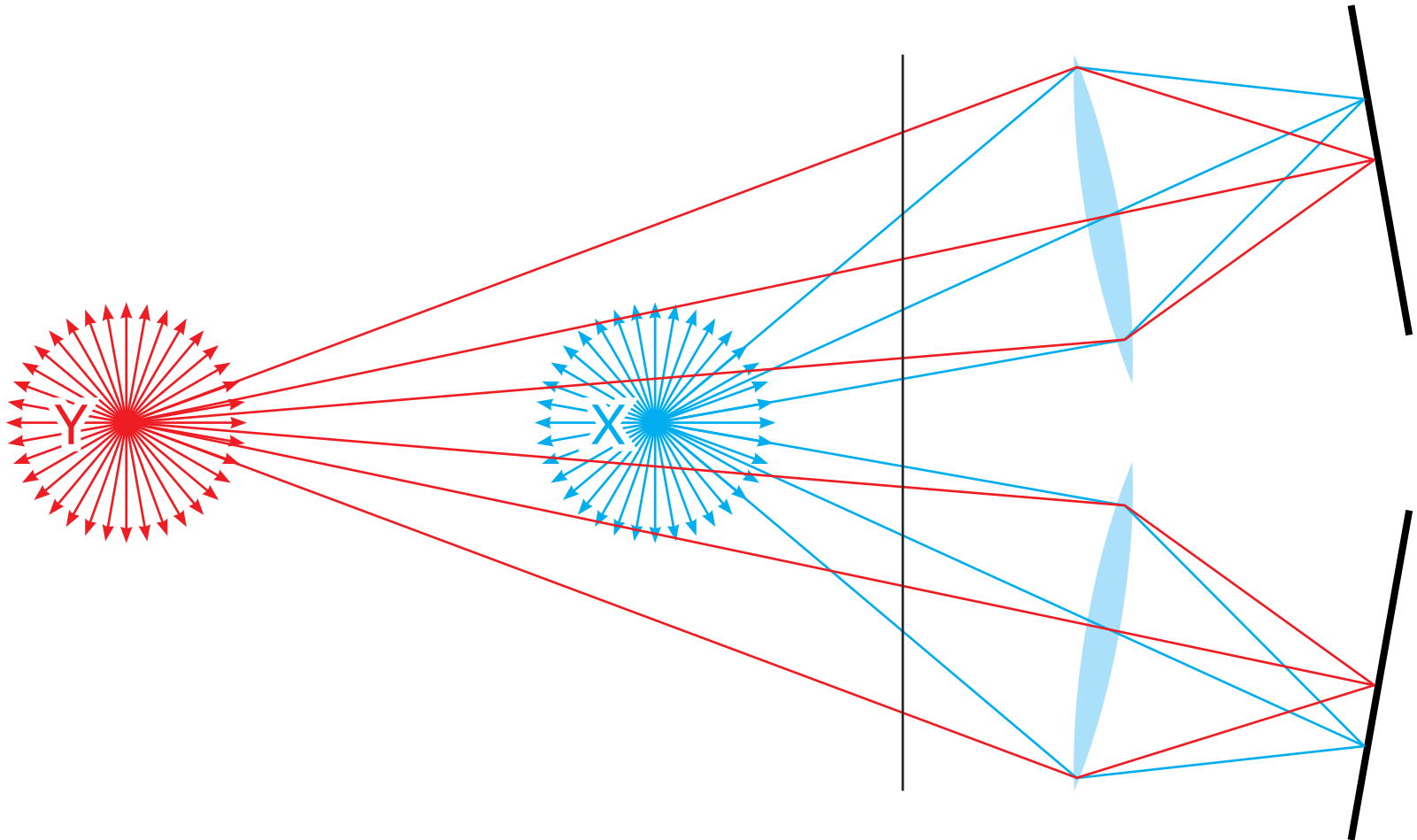


- what is 3D and why photography fails?
- light and interference
- how to get an ideal “photograph”
- grating principle
- classical hologram recording and observation
- applications of classical holography
- computer generated hologram and its display
- applications of digital holography
- advanced methods of computer generated holography

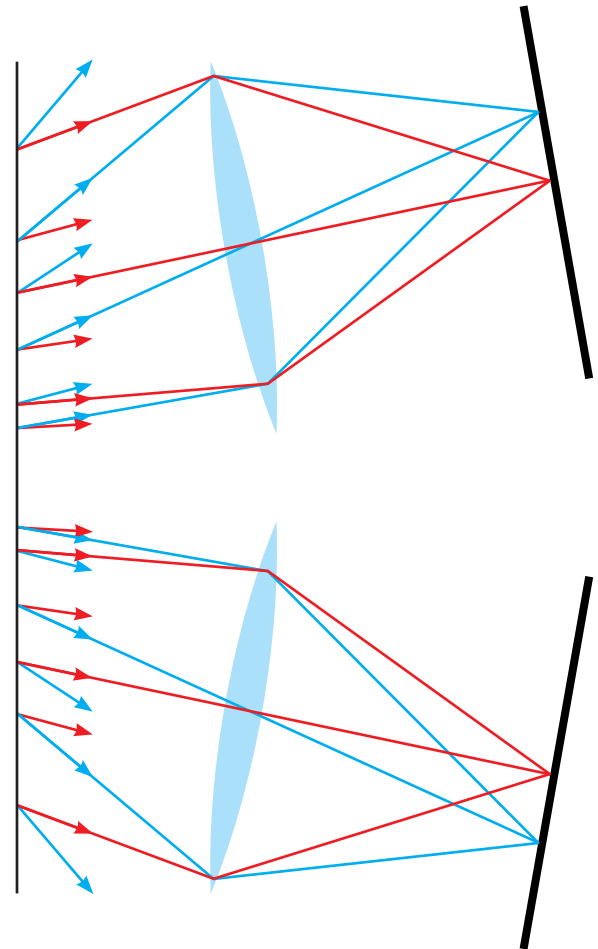
# 3D image



# 3D image

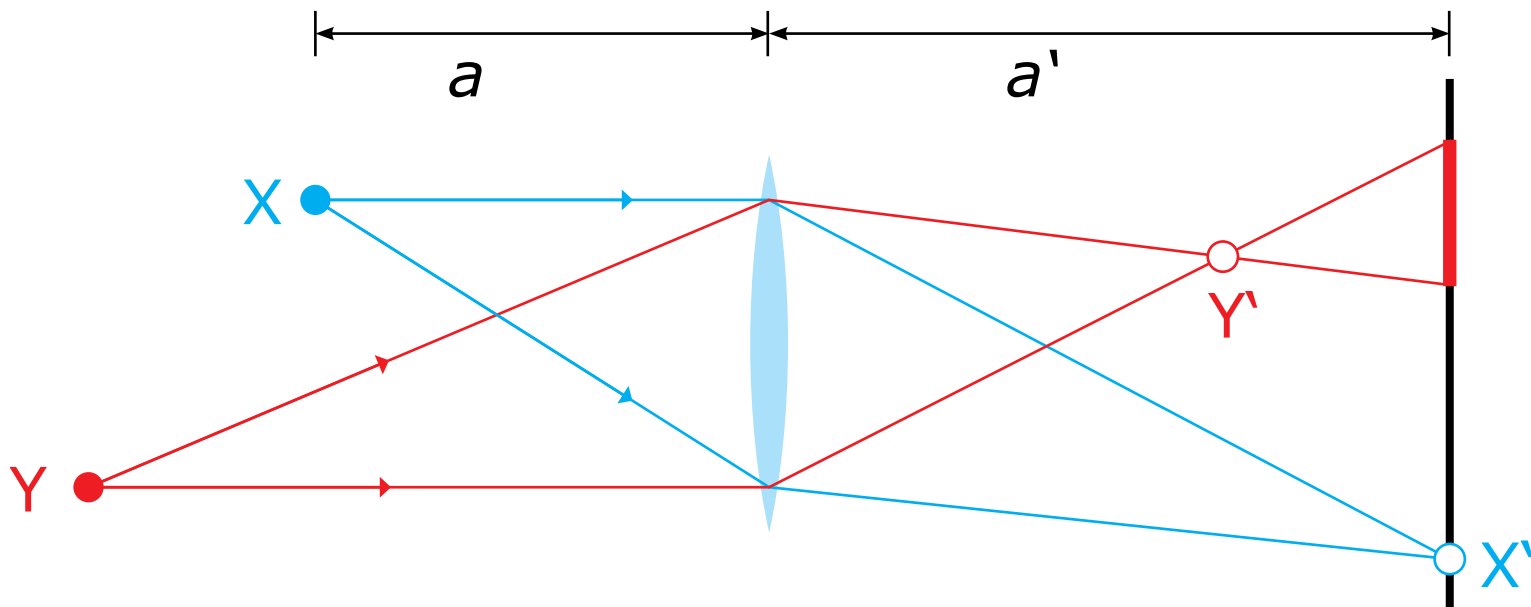


# 3D image



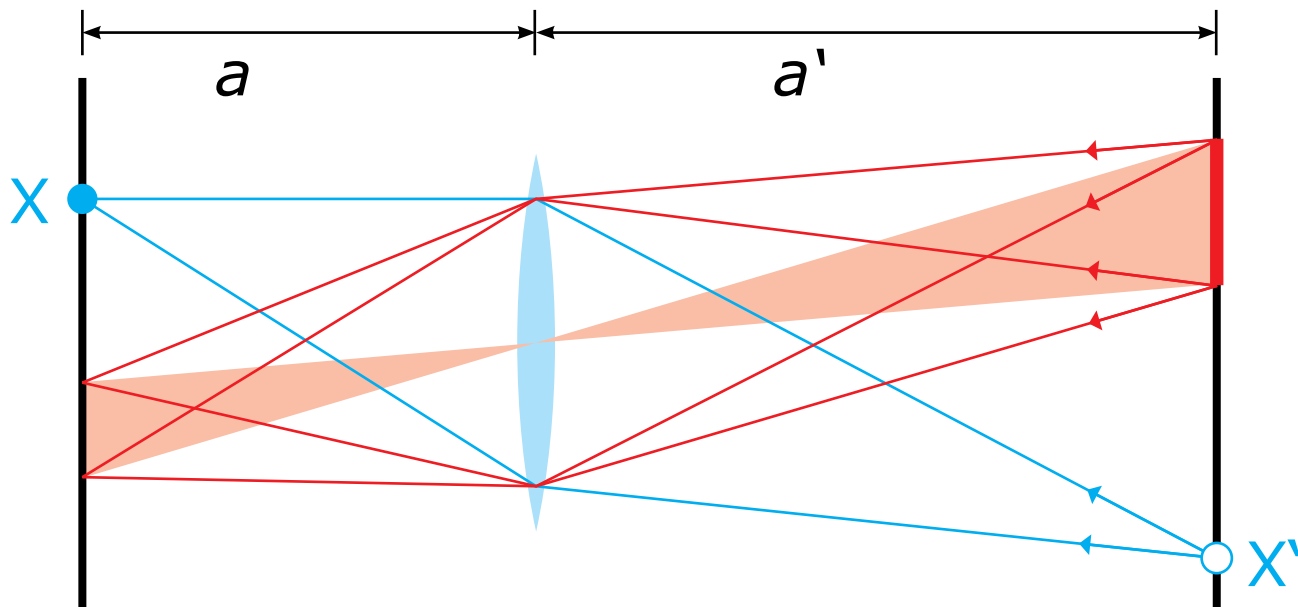
## Thin lens formula

$$\frac{1}{a} + \frac{1}{a'} = \frac{1}{f}$$



## Original points reconstruction

- perfect for points in focus only
- loss of information



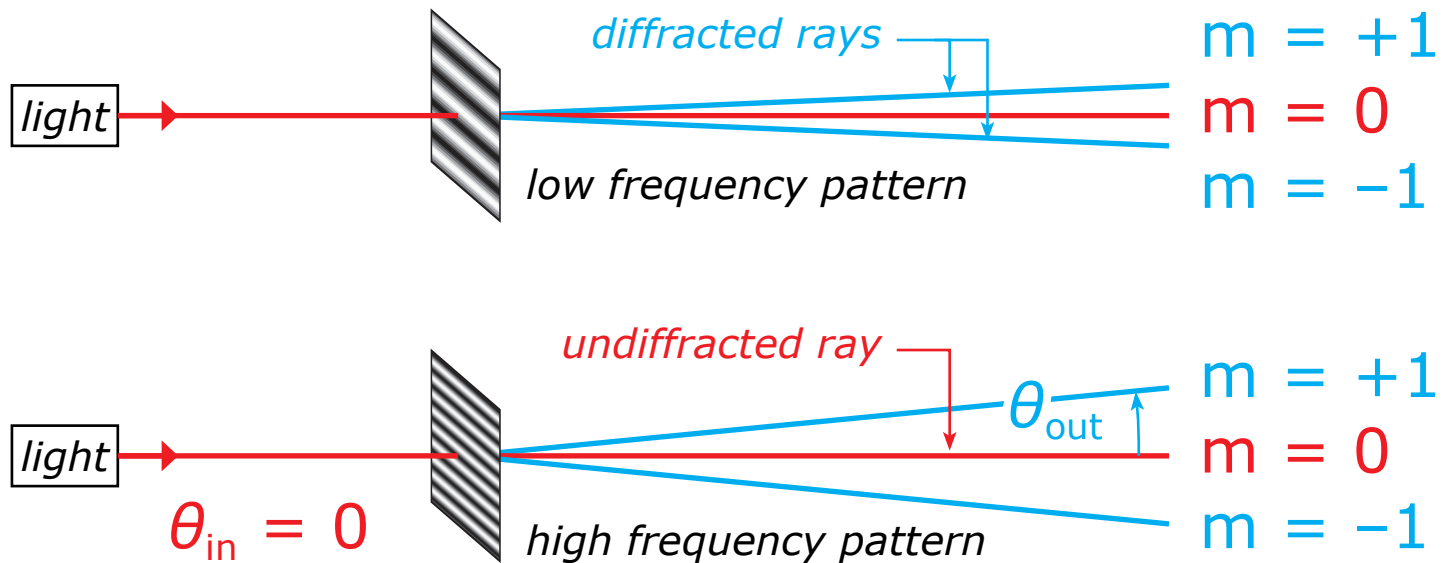
# Hologram principle



## Light diffraction

- depends mainly on frequency  $f$  of the pattern

output angle of the rays:  $\sin \theta_{\text{out}} = m\lambda f + \sin \theta_{\text{in}}$



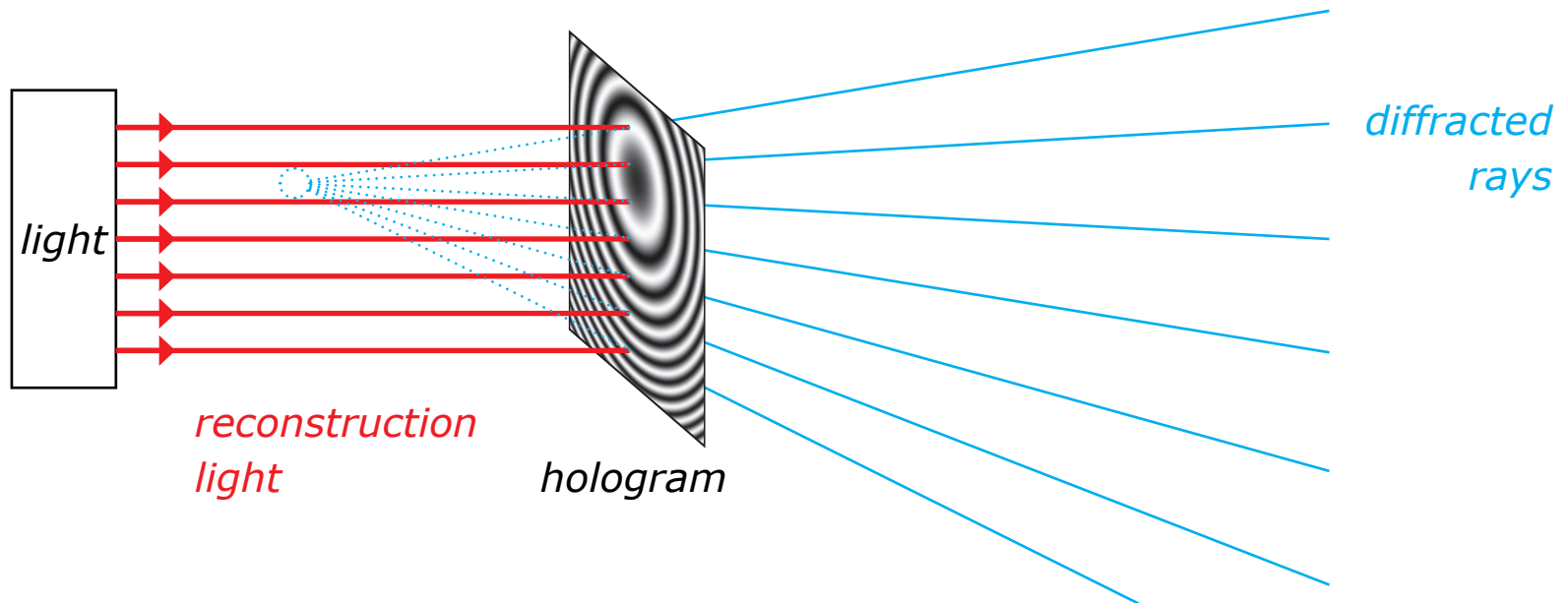


# Hologram principle



## Hologram watching

- illuminate hologram with a light source
- light beams start to diffract on the pattern as if the original object was still present





# ▶ Nature of the light

- force interaction between (oscillating) point charges
- point source of a light:  
movement up and down  $\sim A \cos(\omega t - \varphi)$
- force (field) in a distance  $r$ :

$$u(t, r) = \frac{A}{r} \cos\left(\omega\left[t - \frac{r}{c}\right] - \varphi\right) = A' \cos(\omega t - \varphi'(r))$$

- photographic emulsion reacts on intensity:  $(A')^2$
- ⇒ cannot tell close “darker light”  
from distant “brighter light”

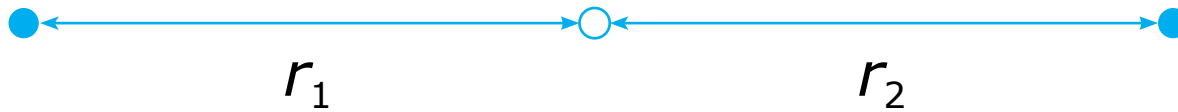
# Interference



$$\frac{A}{r_1} \cos\left(\omega\left[t - \frac{r_1}{c}\right]\right) + \frac{A}{r_2} \cos\left(\omega\left[t - \frac{r_2}{c}\right]\right)$$

- $T$  period of oscillation  $1.7 \cdot 10^{-15} \text{ s}$
- $\omega = 2\pi/T$  angular frequency
- $f = 1/T$  frequency
- $c$  speed of the light
- $\lambda = cT$  wavelength  $0.5 \cdot 10^{-6} \text{ m}$
- $k = 2\pi/\lambda$  wavenumber  $1.2 \cdot 10^7 \text{ m}^{-1}$

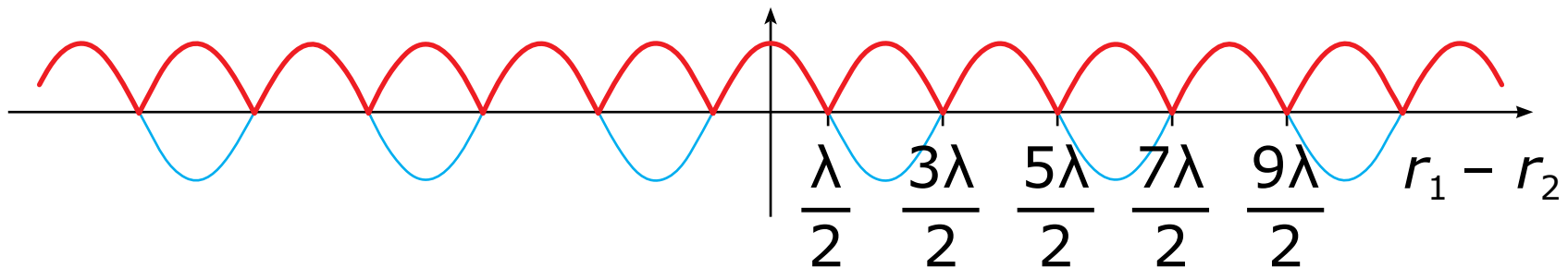
# ► Interference



$$r_1 \approx r_2$$

$$\frac{A}{r_1} \cos(\omega t - kr_1) + \frac{A}{r_2} \cos(\omega t - kr_2)$$

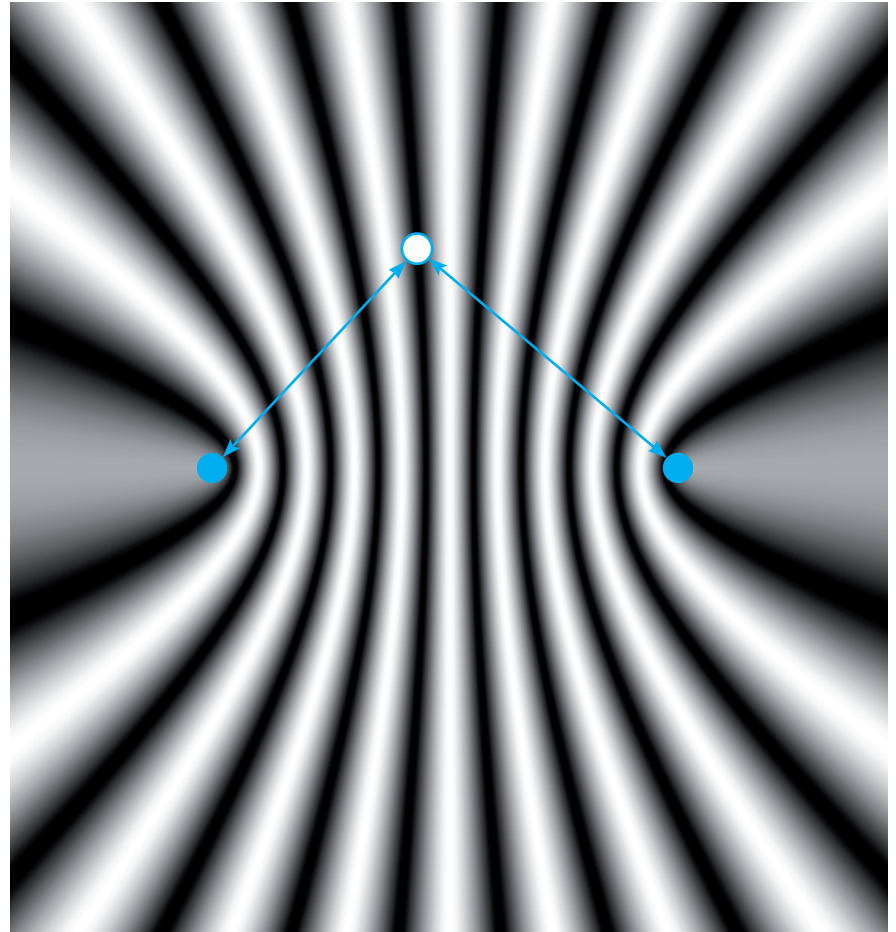
$$\approx 2 \frac{A}{r_1} \cos\left(\frac{k(r_1 - r_2)}{2}\right) \cos\left(\frac{2\omega t - k(r_1 + r_2)}{2}\right)$$



# Interference



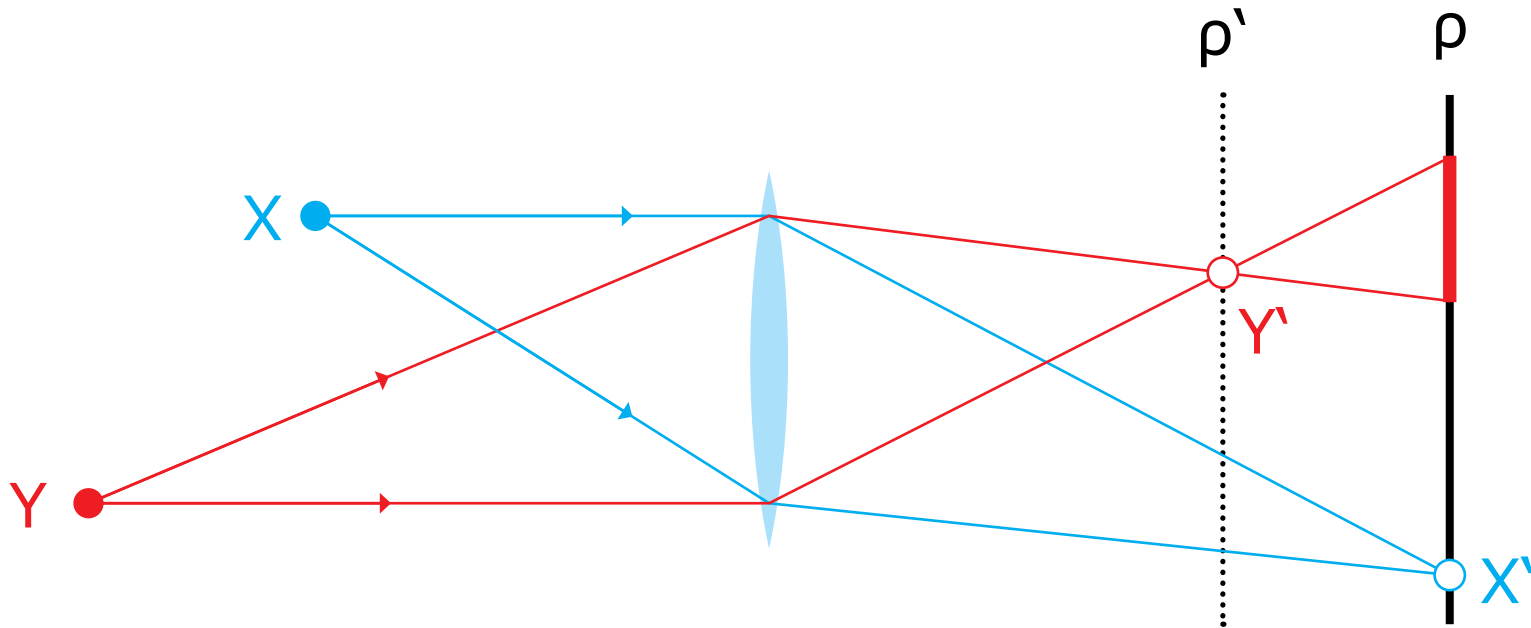
- constructive  
×  
destructive  
interference



# Perfect picture



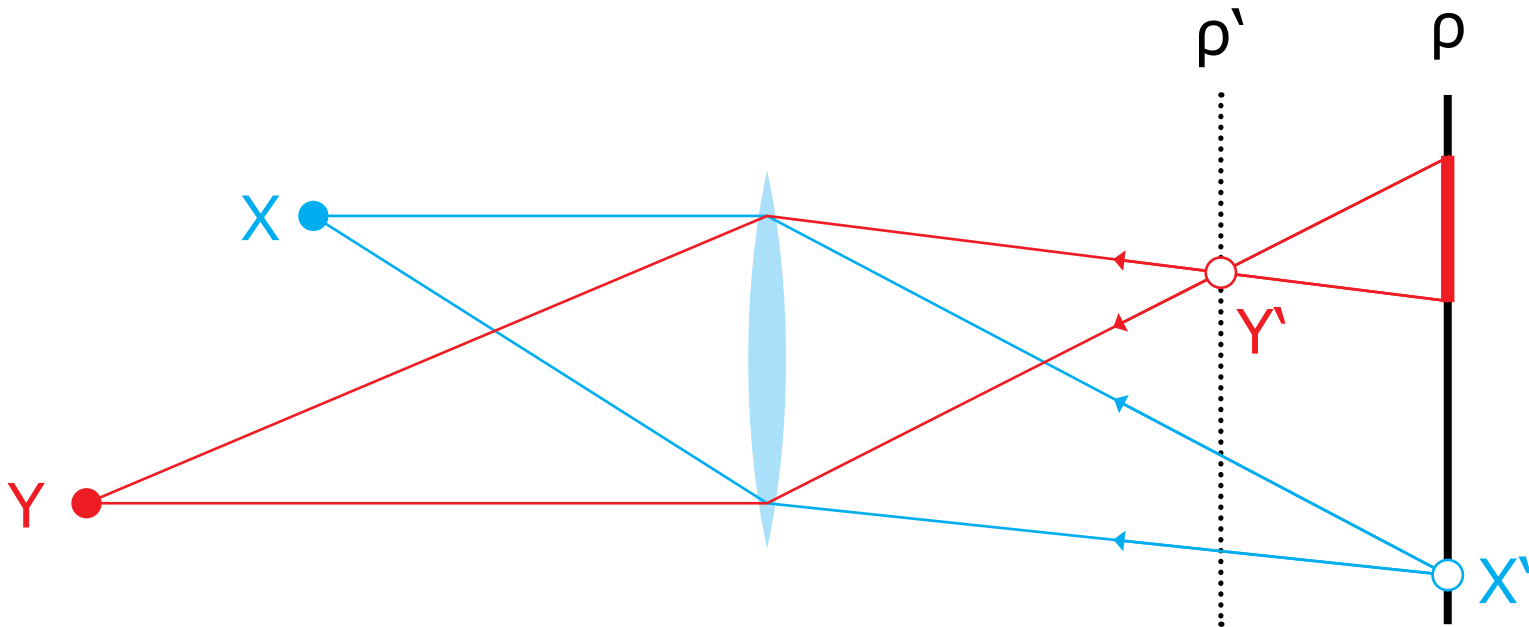
- image of  $X$  in  $\rho$ : amplitude 0 except of  $X'$  ( $\rightarrow \infty$ )
- image of  $Y$  in  $\rho'$ : amplitude 0 except of  $Y'$  ( $\rightarrow \infty$ )
- image of  $Y$  in  $\rho$ : amplitude and phase from  $Y'$



# Perfect picture



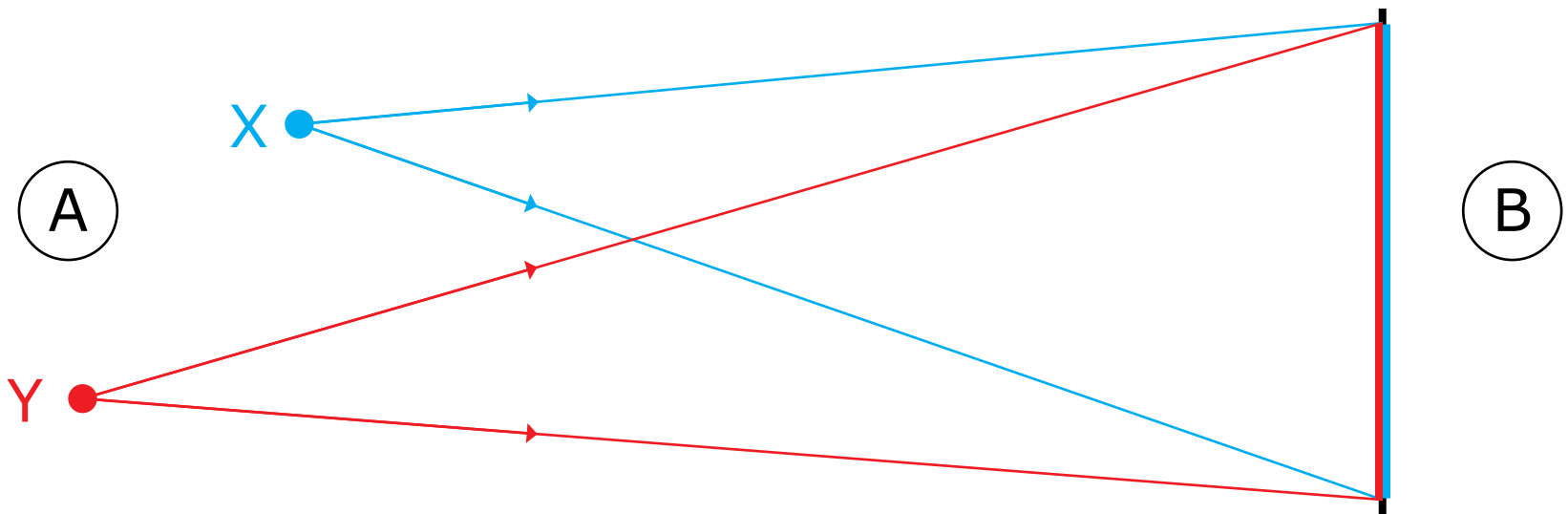
- reconstruction of  $X'$ : point  $X$
- reconstruction of "blurry"  $Y'$ :  
constructive interference in  $Y'$   
 $\Rightarrow$  reconstruction of  $Y$



# Perfect picture



- phase is critical for 3D image – how to capture it?
- no need for a lens anymore
- observation from A: pseudoscopic image
- observation from B: orthoscopic image





# Complex notation



- $j^2 = -1$
- $e^{jx} = \cos x + j \sin x$
- $A \cos(\omega t - \varphi) = \text{Re}\{A e^{j(\omega t - \varphi)}\}$
- $e^{jx} + e^{jy} = 2 \cos\left(\frac{x - y}{2}\right) \exp\left(j \frac{x + y}{2}\right)$
- $e^{jx} + e^{-jx} = 2 \cos x$
- intensity of  $U = A e^{j(\omega t - \varphi)}$   
 $|U|^2 = UU^* = A e^{j(\omega t - \varphi)} A e^{-j(\omega t - \varphi)} = A^2$



## Advantage of phasor arithmetic

- optical field – time dependent function:  
 $u(t, r) = A \cos(\omega t - \varphi(r))$
- its phasor (complex amplitude):  
 $U(r) = A \exp(-j\varphi(r))$
- sum of optical fields:  
 $A_1 \cos(\omega t - \varphi_1(r)) + A_2 \cos(\omega t - \varphi_2(r)) + \dots = ?$
- in phasor arithmetic:  
 $A_1 \exp(-j\varphi_1(r)) + A_2 \exp(-j\varphi_2(r)) + \dots = U_{\text{sum}}(r)$
- optical field (if needed):  
 $u_{\text{sum}}(t, r) = \text{Re}\{U_{\text{sum}}(r) e^{j\omega t}\}$

## Spherical wavefront

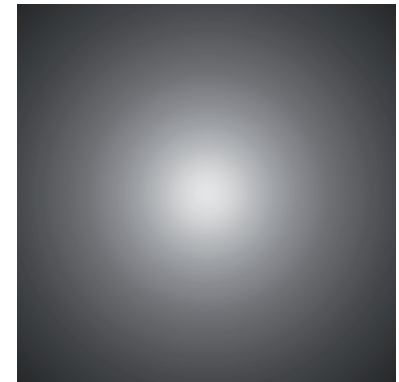
- $u(t, r) = \frac{A}{r} \exp(j[\omega t - kr - \varphi])$

$$= \frac{A}{r} \exp(j\omega t) \exp(-j[kr + \varphi])$$

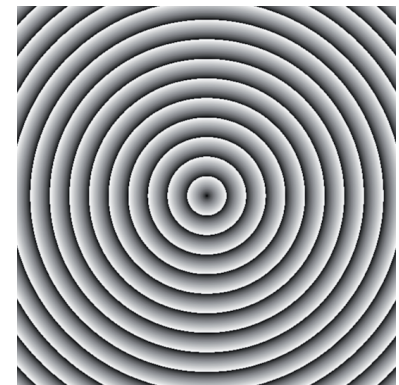
- complex amplitude:

$$U(r) = \frac{A}{r} \exp(-j[kr + \varphi])$$

- resembles a plane  
in a big distance



*amplitude*



*phase*

# Basic wavefront shapes

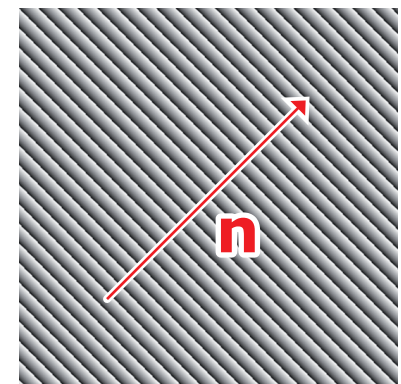


## Plane wavefront

- wavefront normal  $\mathbf{n}$ ,  $|\mathbf{n}| = 1$
- wavefronts period  $\lambda$
- wave vector  $\mathbf{k} = k\mathbf{n} = 2\pi/\lambda\mathbf{n}$
- point in space  $\mathbf{x} = (x, y, z)$
- wavefront plane equation  
 $\mathbf{k} \cdot \mathbf{x} = \text{const.}$
- $U(\mathbf{x}) = A \exp(-j[\mathbf{k} \cdot \mathbf{x} + \varphi])$
- rays: “directions perpendicular to wavefronts”



amplitude



phase

# Single slit diffraction



- complex amplitude at the slit of almost zero width

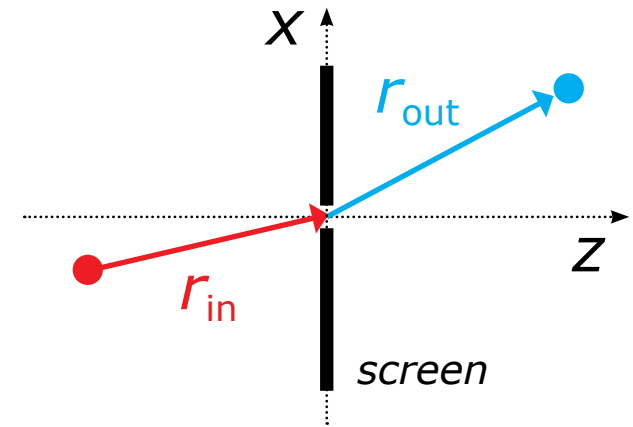
$$U(r_{in}) = \frac{A}{r_{in}} \exp(-j[kr_{in} + \varphi])$$

- after "normalization"

$$U(\mathbf{0}) = A'$$

- complex amplitude behind the opaque screen

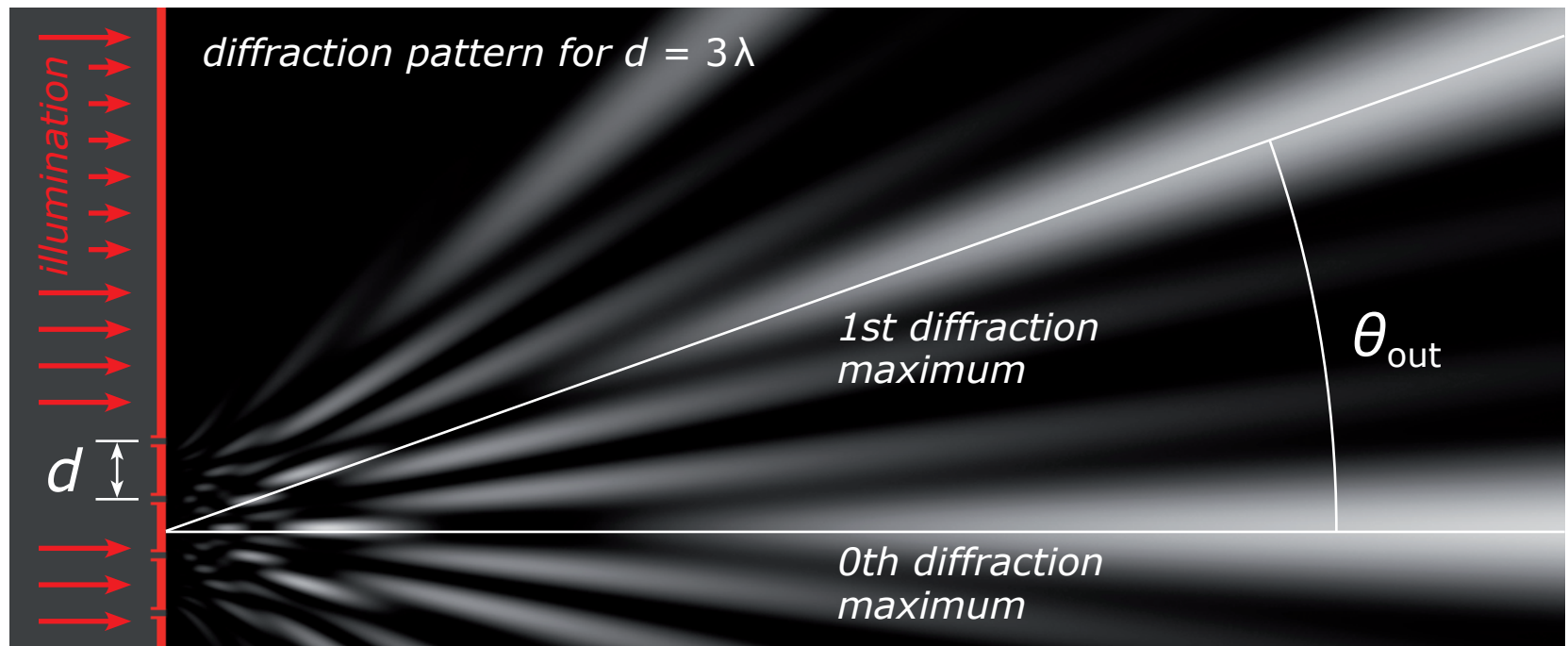
$$U(r_{out}) = \frac{A'}{r_{out}} \exp(-j[kr_{out}])$$



# ▶ Multiple slit diffraction

- $m$ -th diffraction maximum:

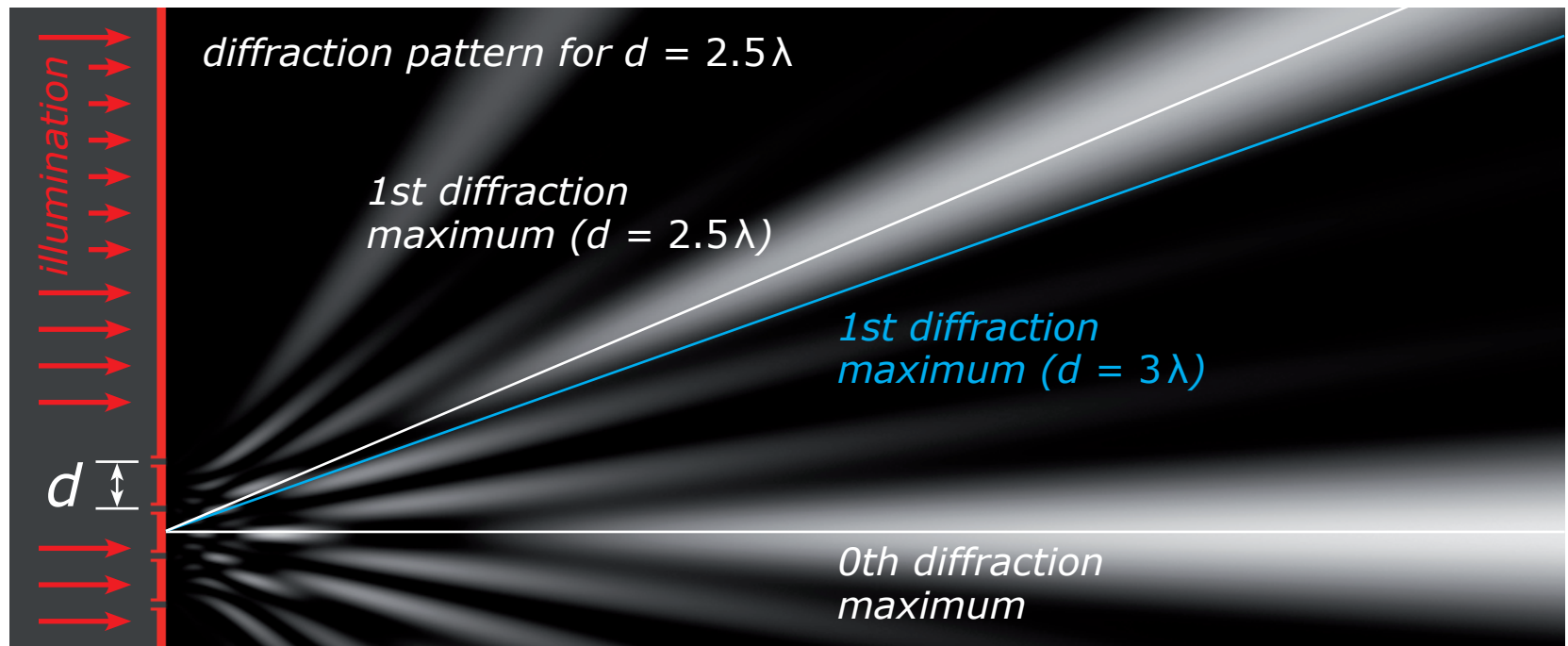
$$\sin \theta_{\text{out}} = m \cdot \lambda / d$$



# Multiple slit diffraction



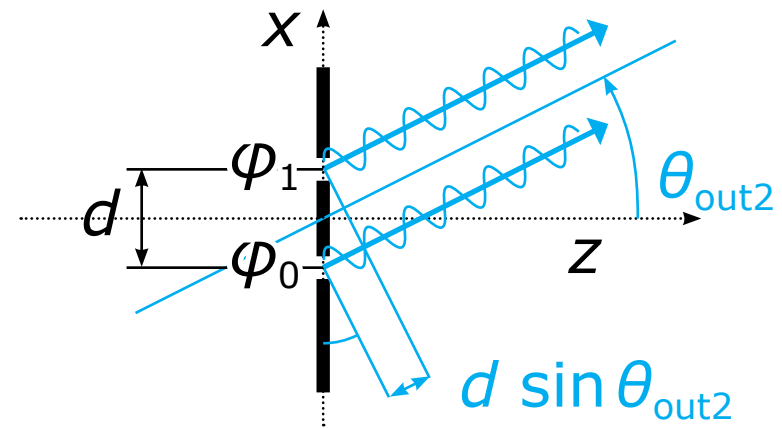
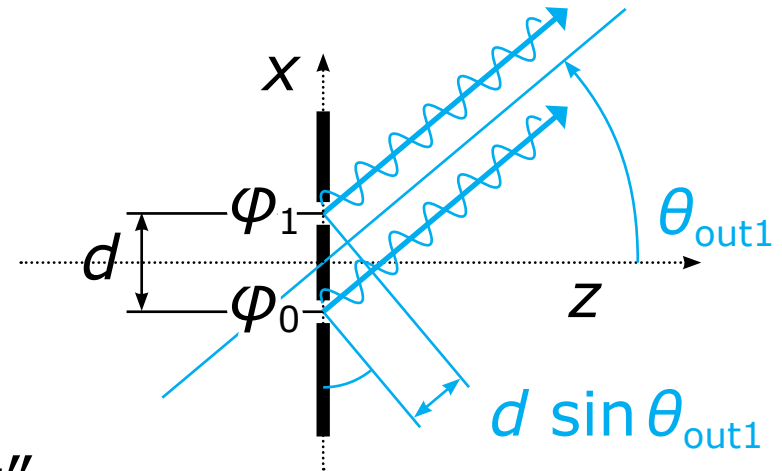
- change of period  $\Rightarrow$  change of diffraction angles
- change of illumination angle (*not shown*)  
 $\Rightarrow \sin \theta_{\text{out}} = m \cdot \lambda / d + \sin \theta_{\text{in}}$  (*grating equation*)



# Double slit diffraction



- screen in a plane  $z = 0$
- two slits in a distance  $d$
- angle of observation  $\theta_{\text{out}}$   
in a distance  $r_{\text{out}} \gg d$
- change in  $\theta_{\text{out}}$   
 $\Rightarrow$  change in mutual "shift"  
of rays  
 $\Rightarrow$  change of interference





# Double slit diffraction



- in fact:

two point sources

common amplitude  $A'$ ,

phases  $\varphi_1, \varphi_2$ :

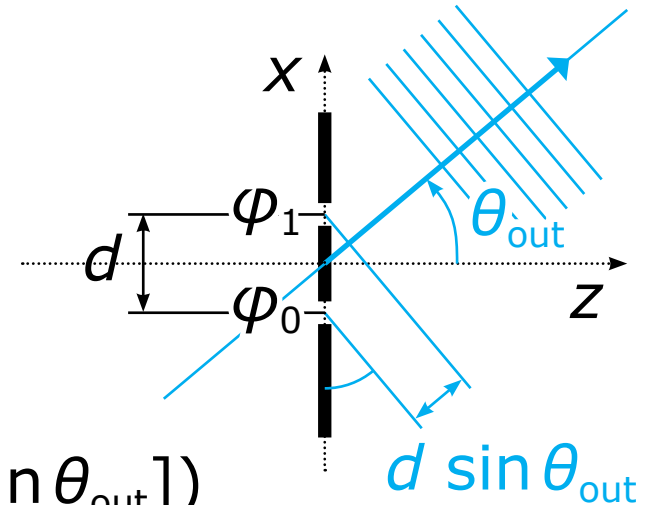
$$A'/r_{\text{out}} \exp(-j[kr_{\text{out}} + \varphi_1])$$

$$A'/r_{\text{out}} \exp(-j[kr_{\text{out}} + \varphi_0 + kd \sin \theta_{\text{out}}])$$

- their sum:

$$2A'/r_{\text{out}} \cos \frac{\varphi_0 - \varphi_1 + kd \sin \theta_{\text{out}}}{2} \times$$

$$\times \exp(-j[kr_{\text{out}} + \frac{\varphi_0 + \varphi_1 + kd \sin \theta_{\text{out}}}{2}])$$



# Double slit diffraction

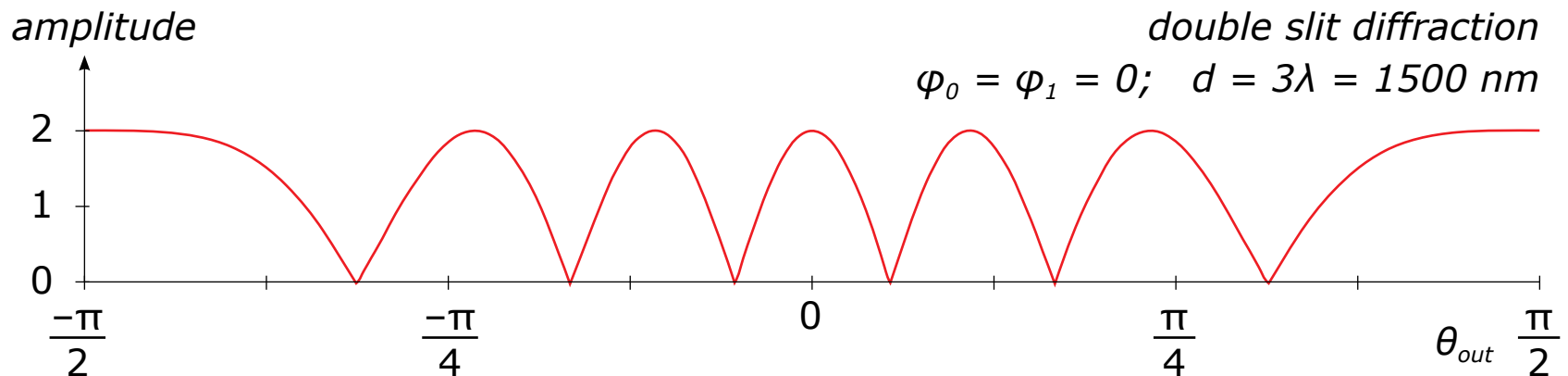


- $\pm 1$ st diffraction maximum:

$$\frac{\varphi_0 - \varphi_1 + kd \sin \theta_{\text{out}}}{2} = \pm \pi$$

- $m$ -th diffraction maximum:

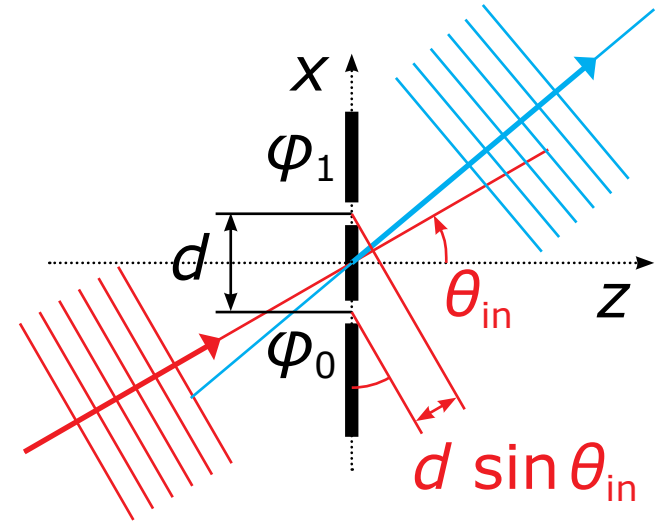
$$\varphi_0 - \varphi_1 + kd \sin \theta_{\text{out}} = m \cdot 2\pi$$



# Double slit diffraction



- screen lighting by a plane wave at an angle  $\theta_{in}$
- $\varphi_1 = \varphi_0 + kd \sin \theta_{in}$
- $m$ -th diffraction maximum:  
$$\varphi_0 - \varphi_1 + kd \sin \theta_{out} = m \cdot 2\pi$$
- after substitution:  
$$-kd \sin \theta_{in} + kd \sin \theta_{out} = m \cdot 2\pi$$
$$\sin \theta_{out} = m\lambda/d + \sin \theta_{in}$$

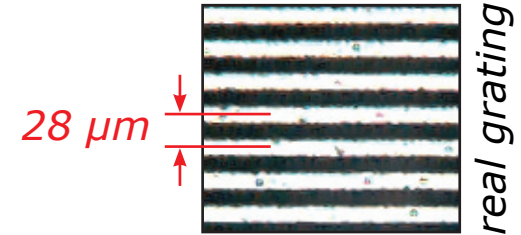
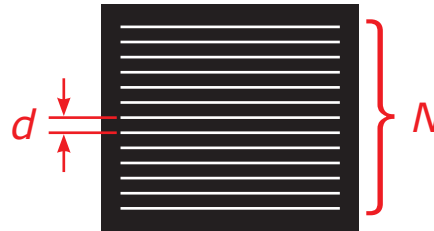


**(grating equation)**

# Amplitude diffraction grating

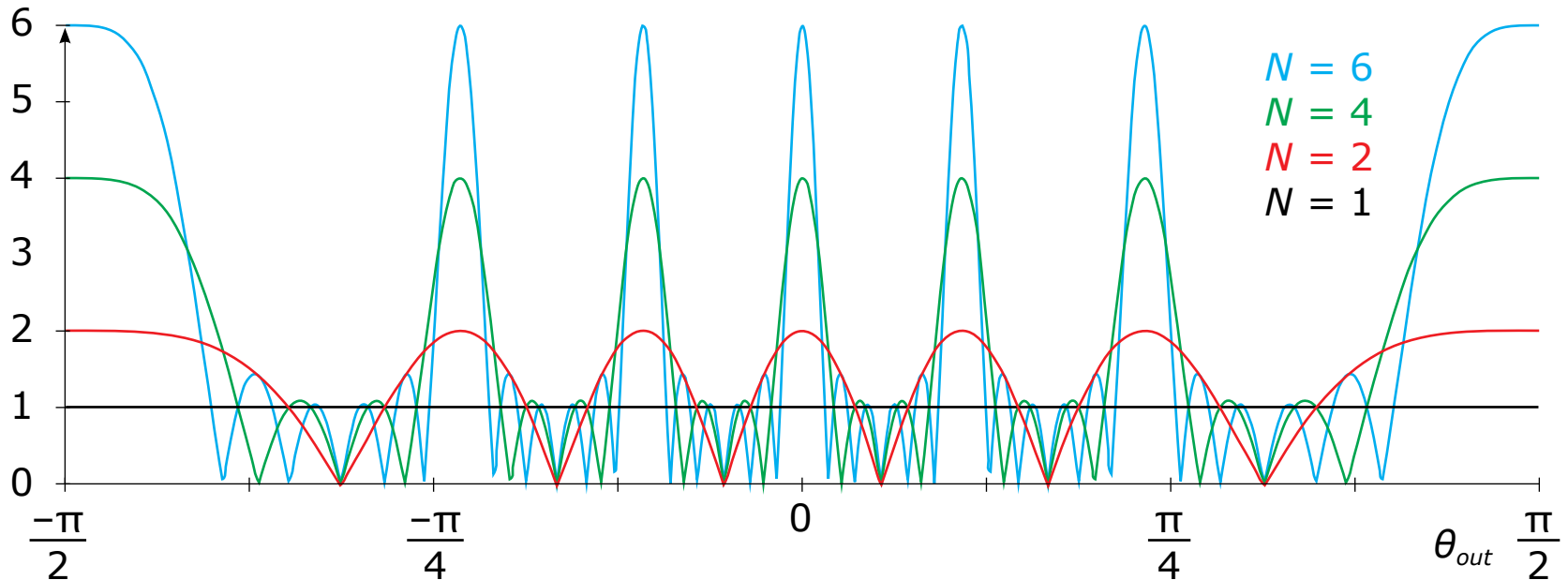


- opaque screen with thin  $N$  slits, period  $d$



amplitude

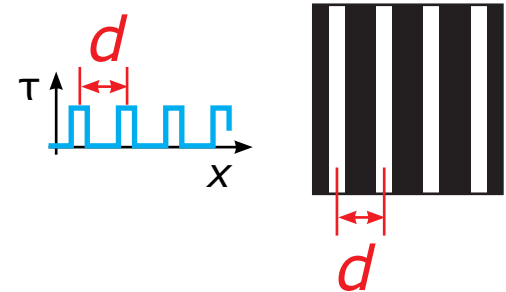
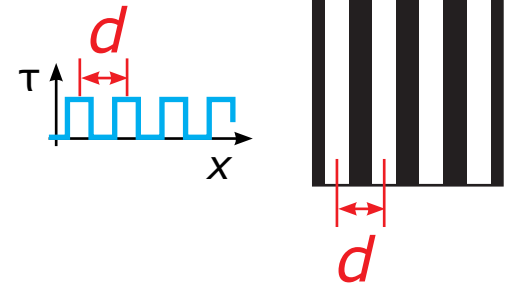
$N$ -thin-slit diffraction,  $d = 3\lambda = 1.5 \mu\text{m}$



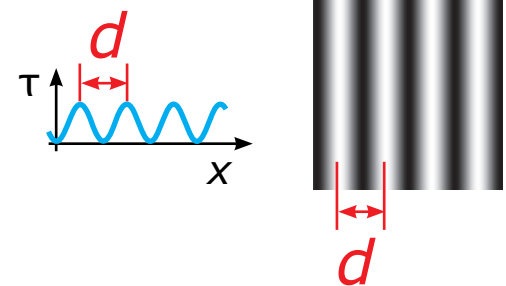
# Amplitude diffraction grating



- other transmittance profiles:
  - different slit width
  - different transmittance shape $\Rightarrow$  different brightness of maxima



- transmittance profile
$$\tau(x) = (1 + \cos(2\pi x/d))/2$$
  - the only important maxima:
$$m \in \{0, +1, -1\}$$



# Cosine pattern diffraction



- plane wave  $U(\mathbf{x}) = \exp(-j[\mathbf{k} \cdot \mathbf{x}])$  passing through a pattern with cosine transmittance profile:

$$U(\mathbf{x})|_{z=0} = [1 + \cos(2\pi x/d)]/2 \exp(-j[\mathbf{k} \cdot \mathbf{x}])$$

$$= \frac{1}{2} \exp(-j[\mathbf{k} \cdot \mathbf{x}]) + \frac{1}{2} \cos(2\pi x/d) \exp(-j[\mathbf{k} \cdot \mathbf{x}])$$

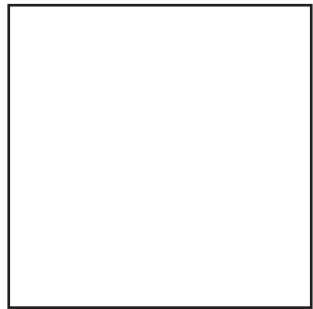
$$= \frac{1}{2} \exp(-j[\mathbf{k} \cdot \mathbf{x}])$$

$$+ \frac{1}{4} [\exp(-j2\pi x/d) + \exp(j2\pi x/d)] \exp(-j[\mathbf{k} \cdot \mathbf{x}])$$

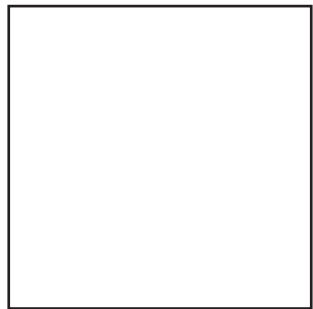
$$= \frac{1}{2} \exp(-j[\mathbf{k} \cdot \mathbf{x}])$$

$$+ \frac{1}{4} \exp(-j[\mathbf{k}_{+1} \cdot \mathbf{x}]) + \frac{1}{4} \exp(-j[\mathbf{k}_{-1} \cdot \mathbf{x}])$$

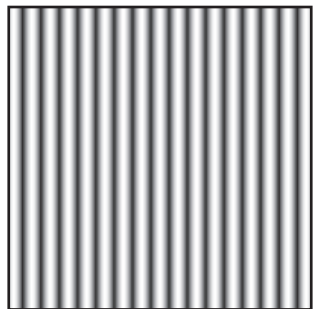
# ▶ Cosine profile recording



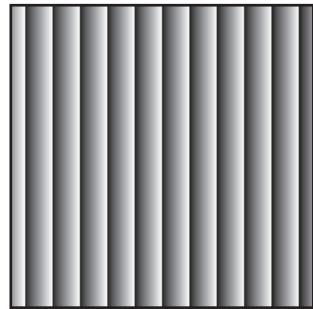
wave A ampl.



wave B ampl.



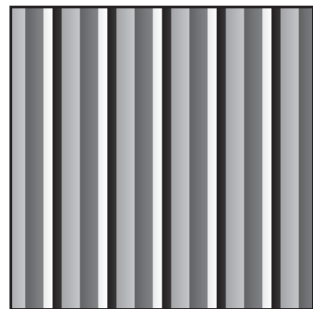
sum amplitude



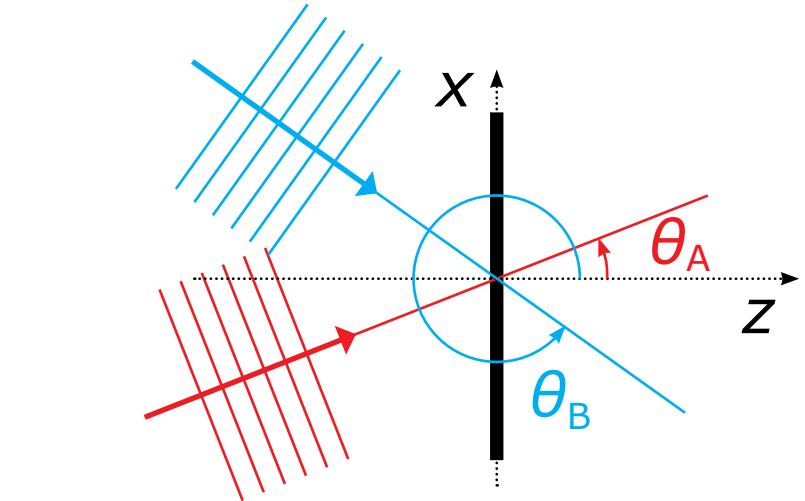
wave A phase



wave B phase

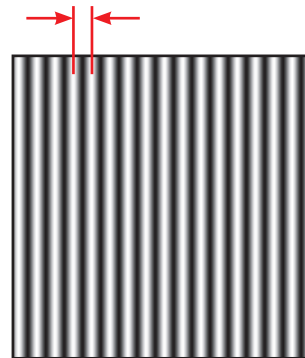


sum phase



$$d = \lambda / (\sin \theta_A - \sin \theta_B)$$

$$f = 1 / d$$



sum intensity

# Cosine profile recording



- plane wave complex amplitude:  $A \exp(-j[\mathbf{k} \cdot \mathbf{x}])$   
inclination  $\theta_A$ :  $\mathbf{k} = k(\sin \theta_A, 0, \cos \theta_A)$   
in the plane  $z = 0$ :  $\mathbf{x} = (x, y, 0)$   
 $\Rightarrow \mathbf{k} \cdot \mathbf{x} = kx \sin \theta_A$
- intensity of sum of plane waves from angles  $\theta_A, \theta_B$ :  
 $|A \exp(-j\mathbf{k}_A \cdot \mathbf{x}) + A \exp(-j\mathbf{k}_B \cdot \mathbf{x})|^2 =$   
 $= (A \exp(-j\mathbf{k}_A \cdot \mathbf{x}) + A \exp(-j\mathbf{k}_B \cdot \mathbf{x})) \times$   
 $(A \exp(j\mathbf{k}_A \cdot \mathbf{x}) + A \exp(j\mathbf{k}_B \cdot \mathbf{x})) =$   
 $= 2A + 2A \cos(\mathbf{k}_A \cdot \mathbf{x} - \mathbf{k}_B \cdot \mathbf{x}) =$   
 $= 2A \{1 + \cos(k[\sin \theta_A - \sin \theta_B]x)\}$
- frequency of the pattern  $f = (\sin \theta_A - \sin \theta_B)/\lambda$



# Sin $\theta$ equation



- grating equation:

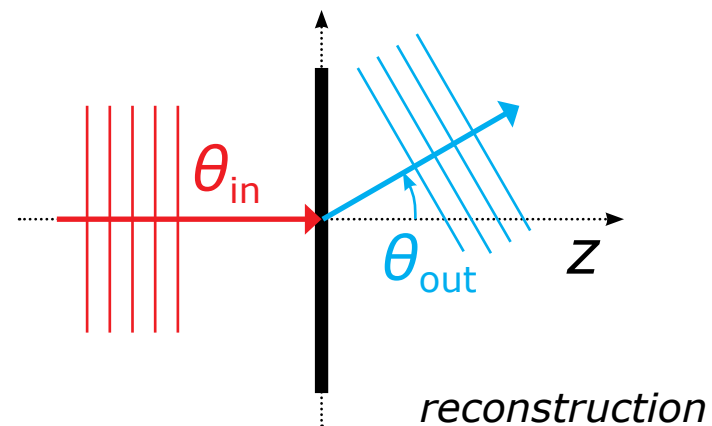
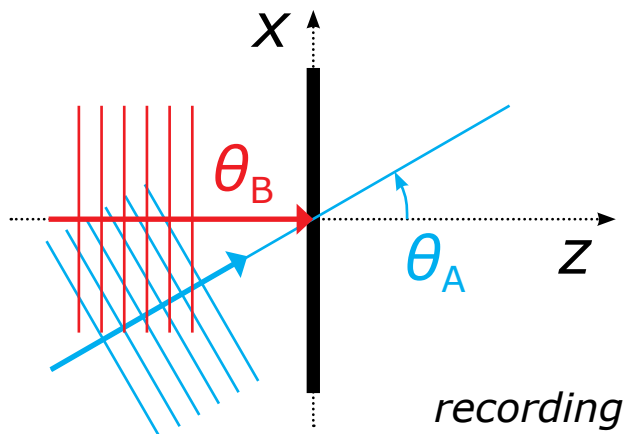
$$\sin \theta_{\text{out}} = m\lambda/d + \sin \theta_{\text{in}} = m\lambda f + \sin \theta_{\text{in}}$$

- after manipulation:

$$\sin \theta_{\text{out}} = m(\sin \theta_A - \sin \theta_B) + \sin \theta_{\text{in}}$$

- example:  $m = +1$ ,  $\sin \theta_B = \sin \theta_{\text{in}}$

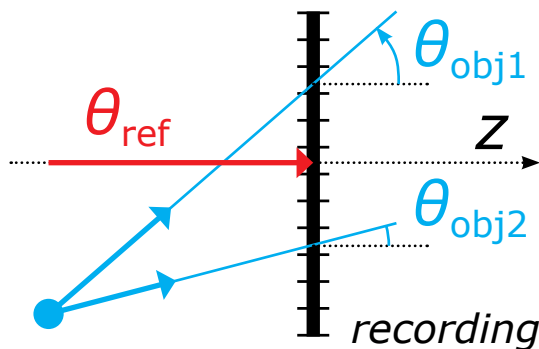
$$\Rightarrow \sin \theta_{\text{out}} = \sin \theta_A$$



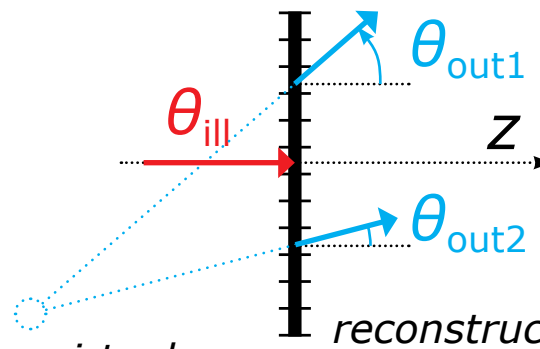
# Hologram



- object wave:  $\theta_{\text{obj}} (= \theta_A)$ ,  $\lambda = \lambda_{\text{ref}}$
- reference wave:  $\theta_{\text{ref}} (= \theta_B)$ ,  $\lambda = \lambda_{\text{ref}}$
- illumination wave:  $\theta_{\text{ill}} (= \theta_{\text{in}})$ ,  $\lambda = \lambda_{\text{ill}}$
- $\sin \theta_{\text{out}} = m \frac{\lambda_{\text{ill}}}{\lambda_{\text{ref}}} (\sin \theta_{\text{obj}} - \sin \theta_{\text{ref}}) + \sin \theta_{\text{ill}}$
- example:  $\lambda_{\text{ill}} = \lambda_{\text{ref}}$ ,  $\theta_{\text{ill}} = \theta_{\text{ref}} = 0$

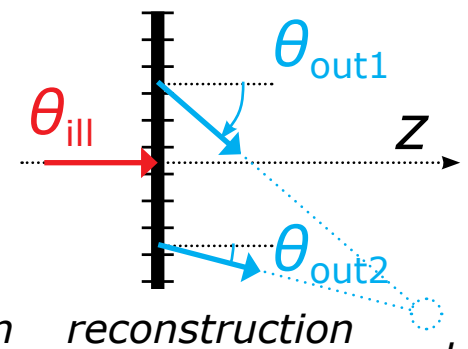


recording



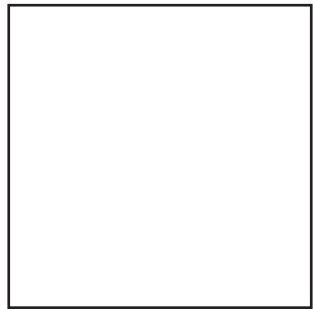
virtual  
image

reconstruction  
 $m = +1$

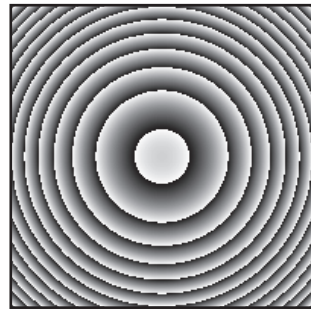


reconstruction  
 $m = -1$   
real  
image

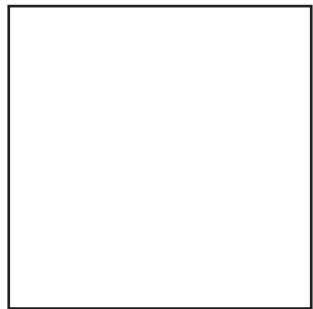
# Hologram recording



*obj. w. amp.*



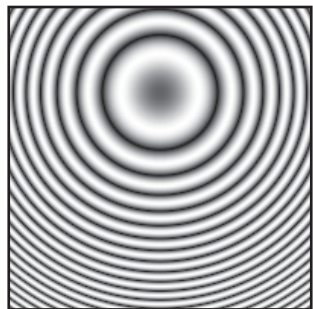
*obj. w. phase*



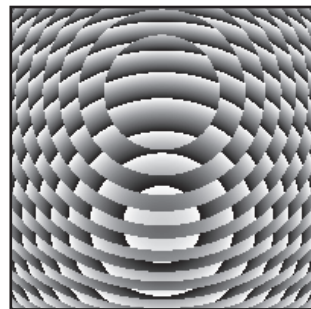
*ref. w. amp.*



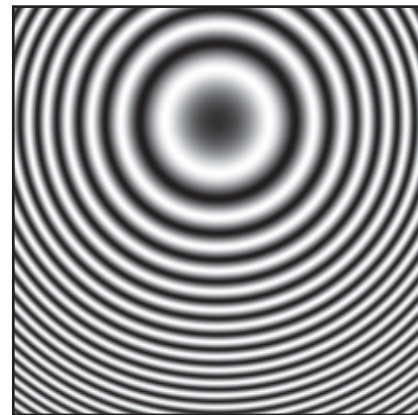
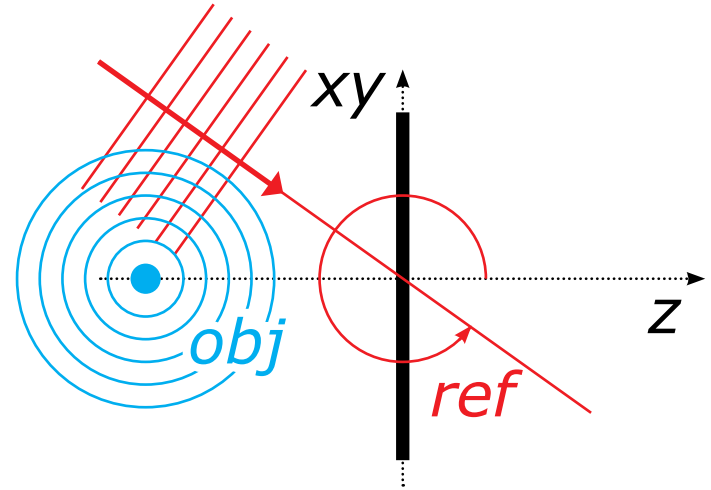
*ref. w. phase*



*sum amplitude*



*sum phase*



*hologram (intensity)*

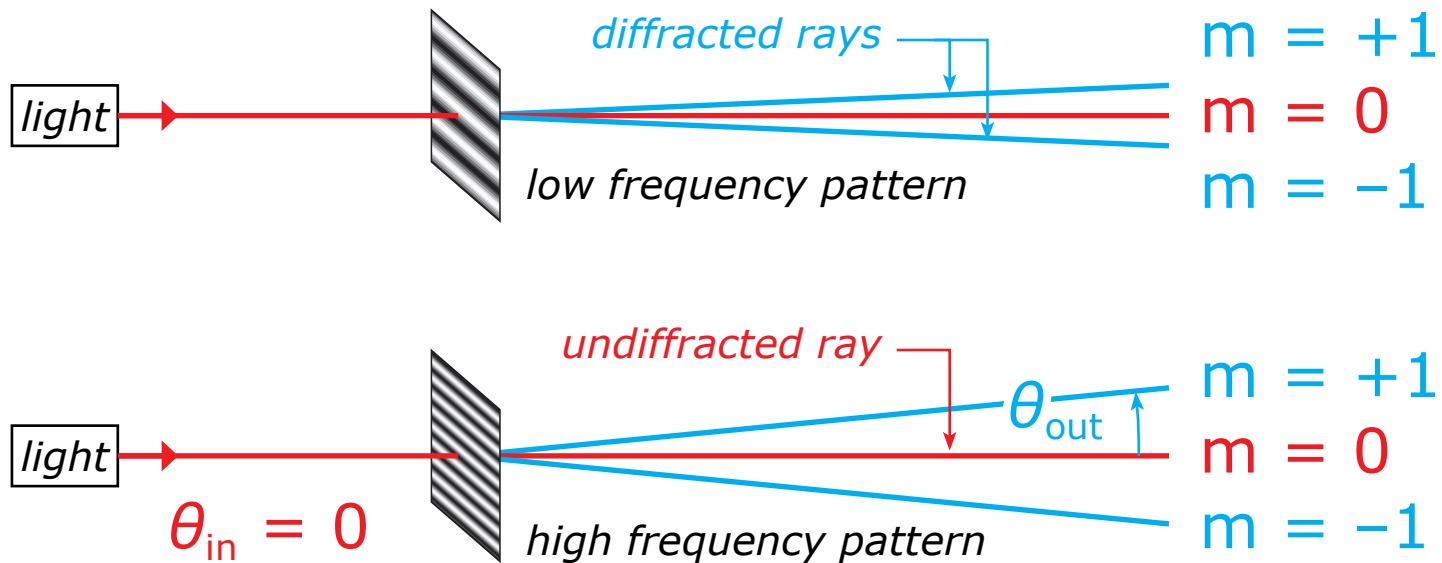
# Hologram watching



## Light diffraction

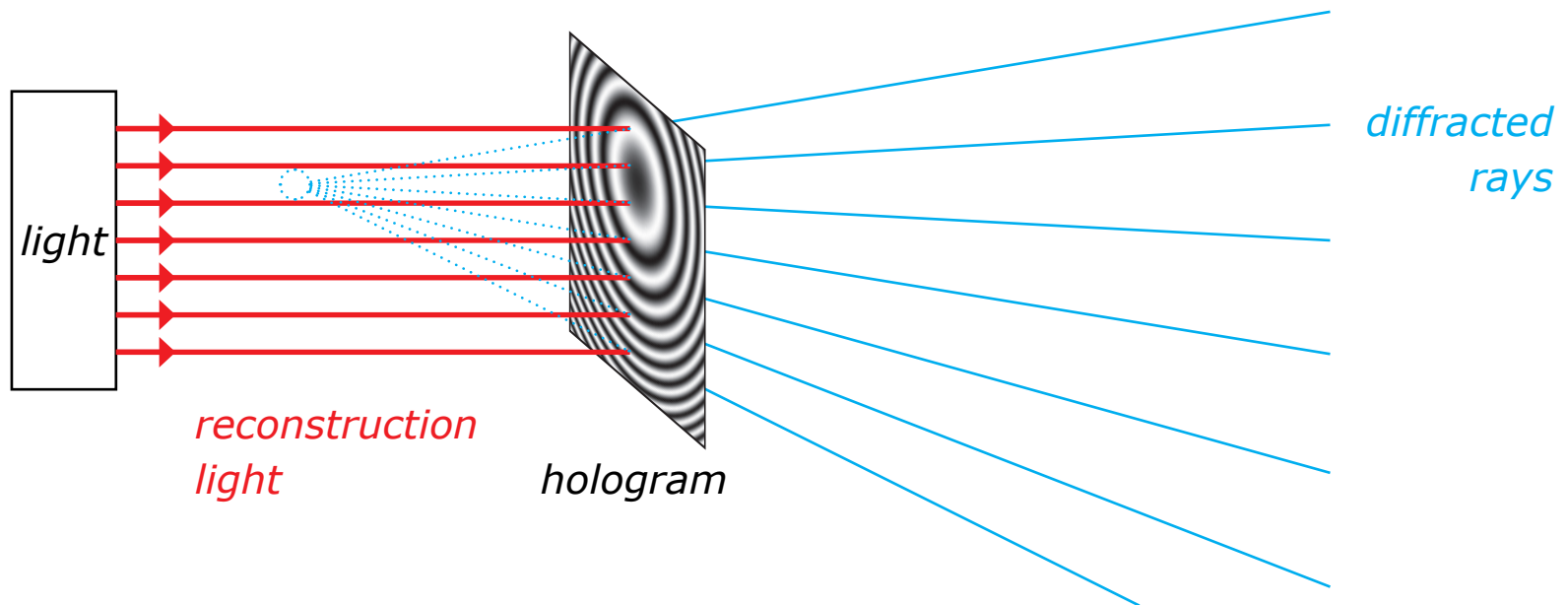
- depends mainly on frequency  $f$  of the pattern

output angle of the rays:  $\sin \theta_{\text{out}} = m\lambda f + \sin \theta_{\text{in}}$



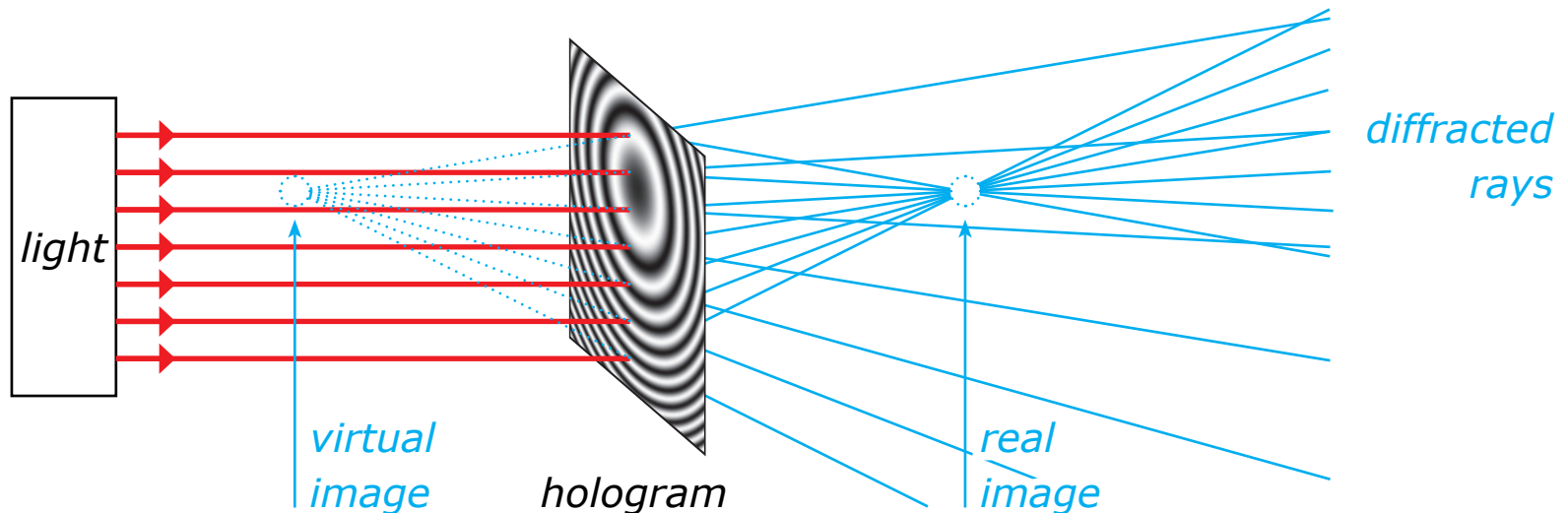
## Virtual image creation

- illuminate hologram with a light source
- light beams start to diffract on the interference pattern as if the original object was still present



## Real image creation

- output angle of the rays:  $\sin \theta_{\text{out}} = m\lambda f + \sin \theta_{\text{in}}$
- for  $m = -1$ , rays create real image of the scene
- both rays for  $m = +1$  and  $-1$  appear at once  
⇒ no need to distinguish between them

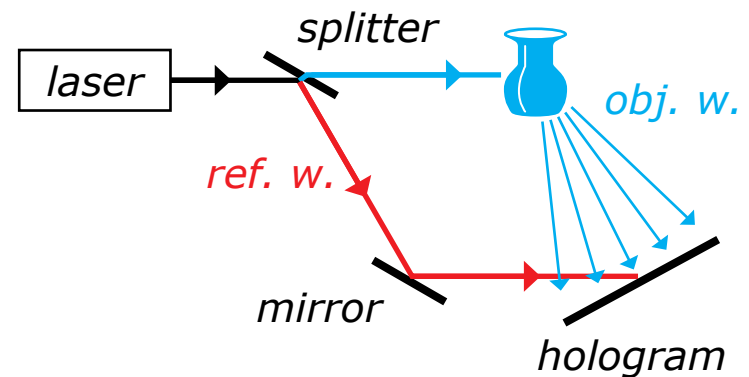
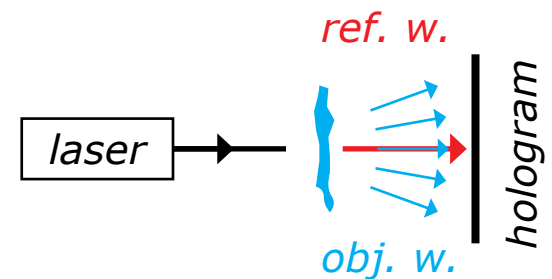


# Hologram recording



## Basic setups

- in-line (Gabor) hologram
  - for transparent objects
  - image damaged by 0th order
  - low spatial frequencies
- off-axis (Leith-Upatnieks) hologram
  - for opaque objects
  - clear image
  - high spatial frequencies (over 1000 lines/mm)
  - aberrations



# Hologram principle proof



- hologram: recording of the interference of the object wave  $O$  and the reference wave  $R$ :

$$I = (O + R) (O + R)^* = OO^* + RR^* + OR^* + O^*R$$

- after illumination by the copy of the reference wave:

$$U = IR$$

$$= (OO^*)R$$

$$+ (RR^*)R$$

$$+ O(RR^*)$$

$$+ O^*(RR)$$

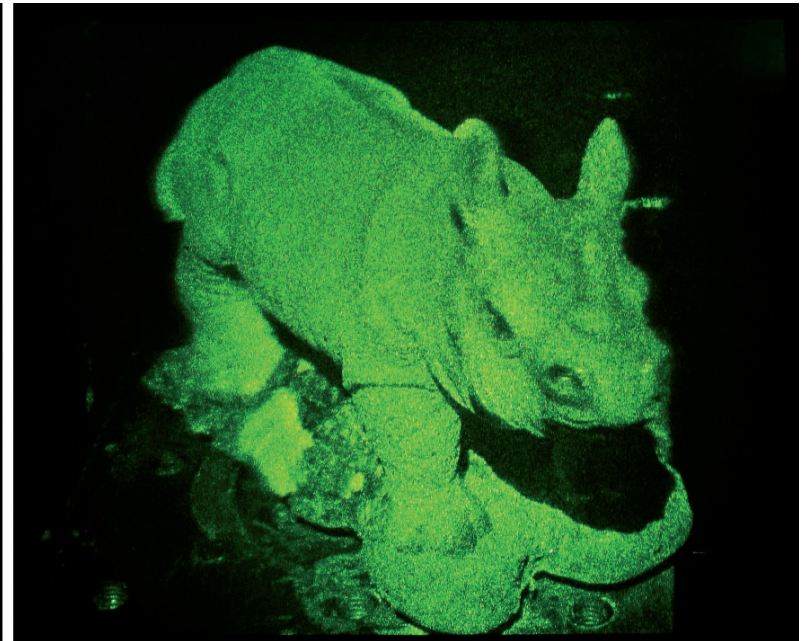
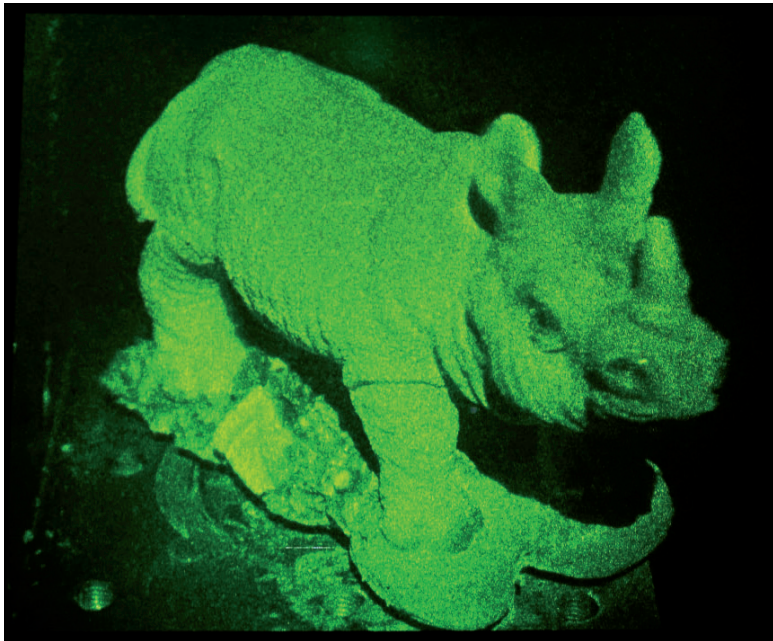
diffracted illumination wave  
attenuated illumination wave  
**copy of the object wave**  
conjugate image



# 3D display holography



- reconstruction wave (hologram illumination) the same as reference wave (in recording process)  
⇒ observation of the original object

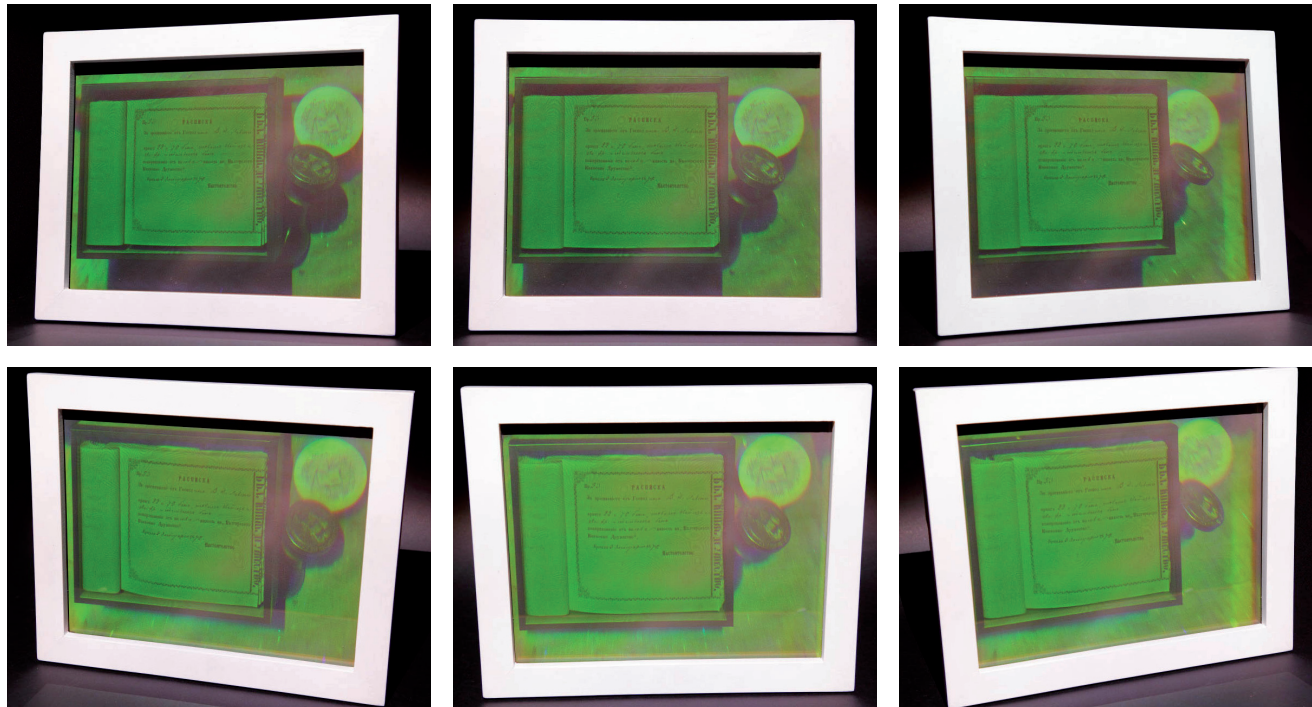


*Hologram created by Šárka Němcová, ČVUT Praha*

# 3D display holography



- reconstruction wave changes the angle  
⇒ observation of the (deformed) original object from a varying viewpoint



*Hologram created in The Central  
Laboratory of Optical Storage  
and Processing of Information,  
Bulgarian Academy of Sciences*

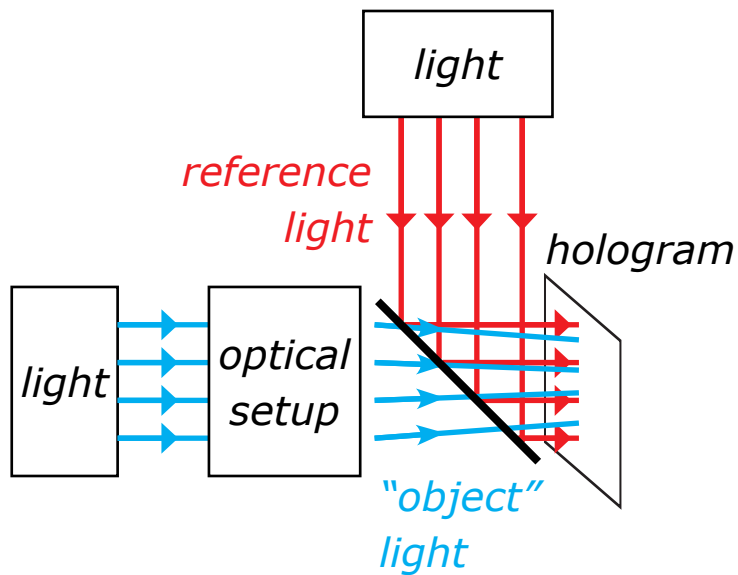


- microscopy, optical metrology
  - perfect light recording (biological sample, bubble chamber, ...)
  - hologram examination (unlimited time of observation, safe environment, ...)
- enhancing electron microscopy
  - original Gabor idea behind holography
  - hologram recording with electron beam ( $\lambda$  is 100 000 $\times$  smaller than for visible light)
  - hologram enlargement, visible light illumination  
 $\Rightarrow$  image 100 000 $\times$  bigger

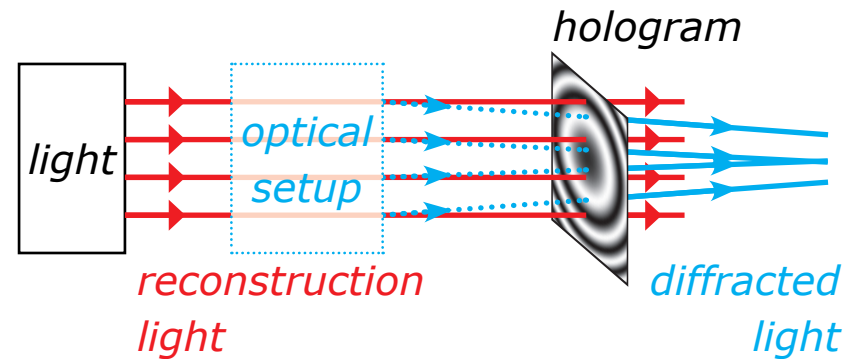
# Holography applications



- diffractive (holographic) optical elements
  - mimicking any optical element
  - cheaper, easier aberration correction, ...



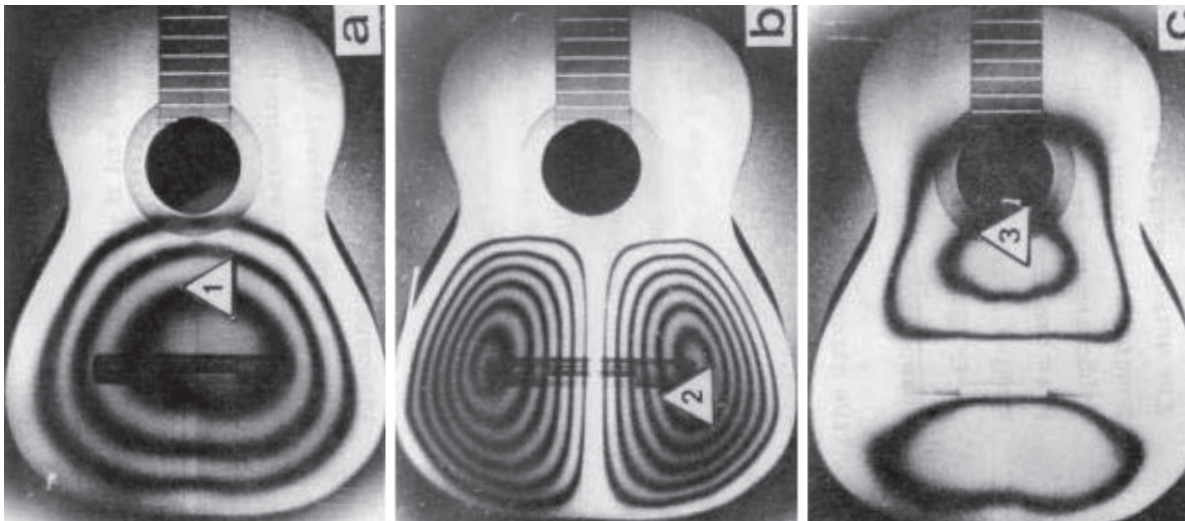
*diffractive optical element recording*



*diffractive optical element usage*

# ▶ Holography applications

- non-destructive testing
  - double object recording on one hologram
  - microshifts cause interference strips
  - vibration causes loss of interference strips



*Molin and Stetson,  
Institute of Optical  
Research, Stockholm  
(1971)*

## Hologram creation mathematically

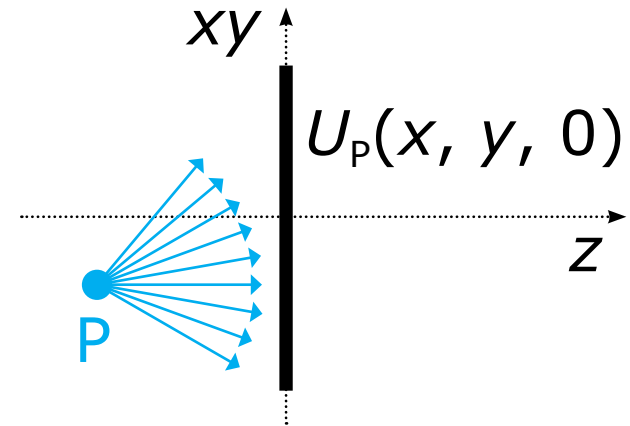
for every point  $(x, y)$  of the hologram:

- get the amplitude  $A_{\text{obj}}$  and the phase  $\varphi_{\text{obj}}$  of the object wave in  $(x, y)$
- get the amplitude  $A_{\text{ref}}$  and the phase  $\varphi_{\text{ref}}$  of the reference wave in  $(x, y)$
- calculate captured intensity in  $(x, y)$

$$I(x, y) = |A_{\text{obj}} \exp(-j \varphi_{\text{obj}}) + A_{\text{ref}} \exp(-j \varphi_{\text{ref}})|^2$$

## Complex amplitude of a point source

- point source P  
at  $(x_P, y_P, z_P)$ ,  $z_P < 0$
- light amplitude  $A_P$ , phase  $\varphi_P$   
wavelength  $\lambda \cong 630$  nm  
( $\Rightarrow k = 2\pi / \lambda \cong 10^7$ )
- hologram plane  $z = 0$



- $$U_P(x, y, 0) = \frac{A_P}{r_P} \exp(-j[kr_P + \varphi_P])$$

$$r_P = [(x - x_P)^2 + (y - y_P)^2 + z_P^2]^{1/2}$$

## Really unoptimized Matlab code

```
lambda      = 630e-9;  
k           = 2*pi/lambda;  
res_x       = 200;  
res_y       = 200;  
hologram_z  = 0;  
sampling    = 20e-6;  
corner_x    = -(res_x-1) * sampling / 2;  
corner_y    = -(res_y-1) * sampling / 2;  
sources     = [0, 0, -0.5; 20*sampling, 0, -0.5;  
              -40*sampling, 20*sampling, -0.5];
```

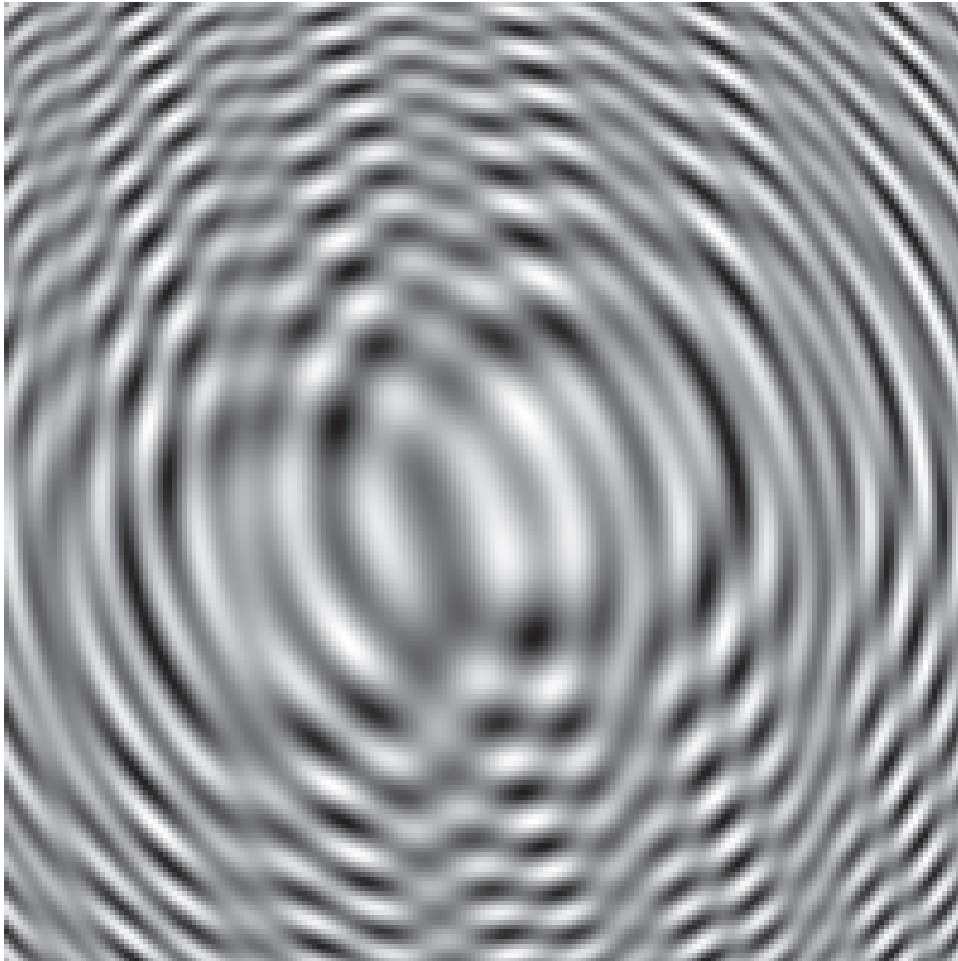


# Object wave



```
objectwave = zeros(res_y, res_x);
for source = 1:rows(sources)
    for column = 1:res_x
        for row = 1:res_y
            x = (column-1) * sampling + corner_x;
            y = (row-1) * sampling + corner_y;
            objectwave(row,column) +=
                exp(i*k*sqrt((x-sources(source, 1))**2
                    + (y-sources(source, 2))**2
                    + (hologram_z - sources(source, 3))**2));
        endfor
    endfor
endfor
```

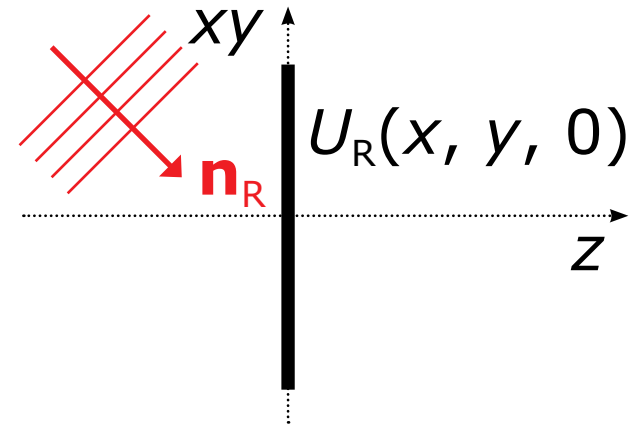
# Object wave



*Real part of the object wave  
(Just for information;  
it has no physical meaning!)*

## Complex amplitude of a reference wave

- plane wave with direction vector  $\mathbf{n}_R = (n_{Rx}, n_{Ry}, n_{Rz})$ ,  $|\mathbf{n}_R| = 1$  and amplitude  $A_R$
- let us ignore constant phase ( $\Rightarrow \varphi = 0$ )
- $$U_R(x, y, 0) = A_R \exp(-j[k\mathbf{n}_R \cdot \mathbf{x} + \varphi]) = A_R \exp(-jk[xn_{Rx} + yn_{Ry}])$$



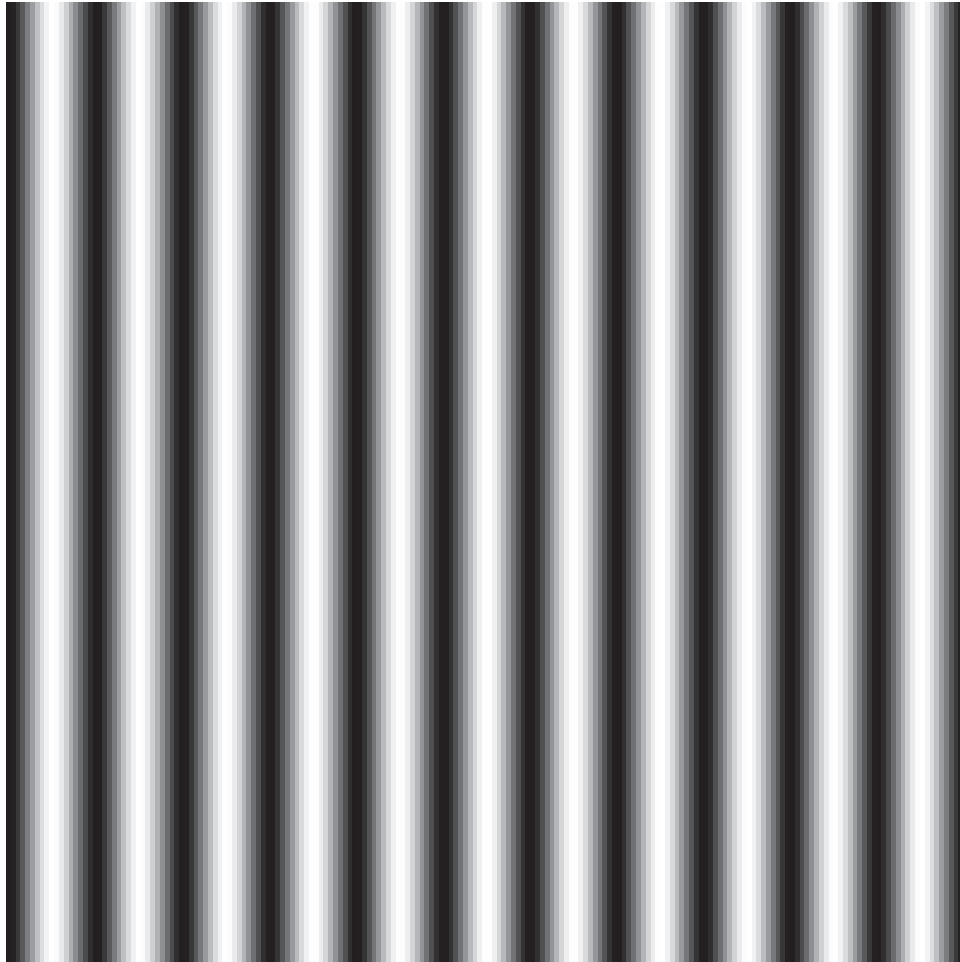
# Reference wave



```
refwave = zeros(res_y, res_x);
ref_x = cos(89.9 * pi/180) * k;
ref_y = cos(90 * pi/180) * k;

for column = 1:res_x
    for row = 1:res_y
        x = (column-1) * sampling + corner_x;
        y = (row-1) * sampling + corner_y;
        refwave(row,column) =
            exp(i*(ref_x * x + ref_y * y));
    endfor
endfor
```

# Reference wave



*Real part of the reference wave  
(Just for information;  
it has no physical meaning!)*

## Intensity calculation

- $$\begin{aligned} I(x, y, 0) &= |U_R(x, y, 0) + U_P(x, y, 0)|^2 \\ &= [U_R(x, y, 0) + U_P(x, y, 0)] \times \\ &\quad \times [U_R(x, y, 0) + U_P(x, y, 0)]^* \\ &= \underbrace{U_R U_R^*}_a + \underbrace{U_P U_P^*}_b + \underbrace{U_R U_P^* + U_P U_R^*}_c \end{aligned}$$

a) reference wave intensity

b) object points interference (if  $U_P$  is a complex wave)

c) object points and reference wave interference  
(bipolar intensity)

# Hologram calculation

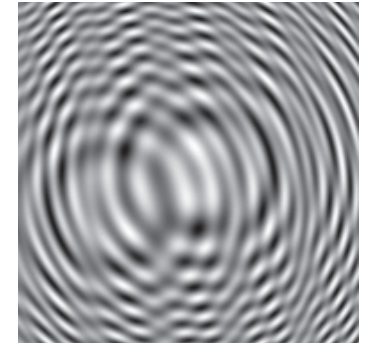
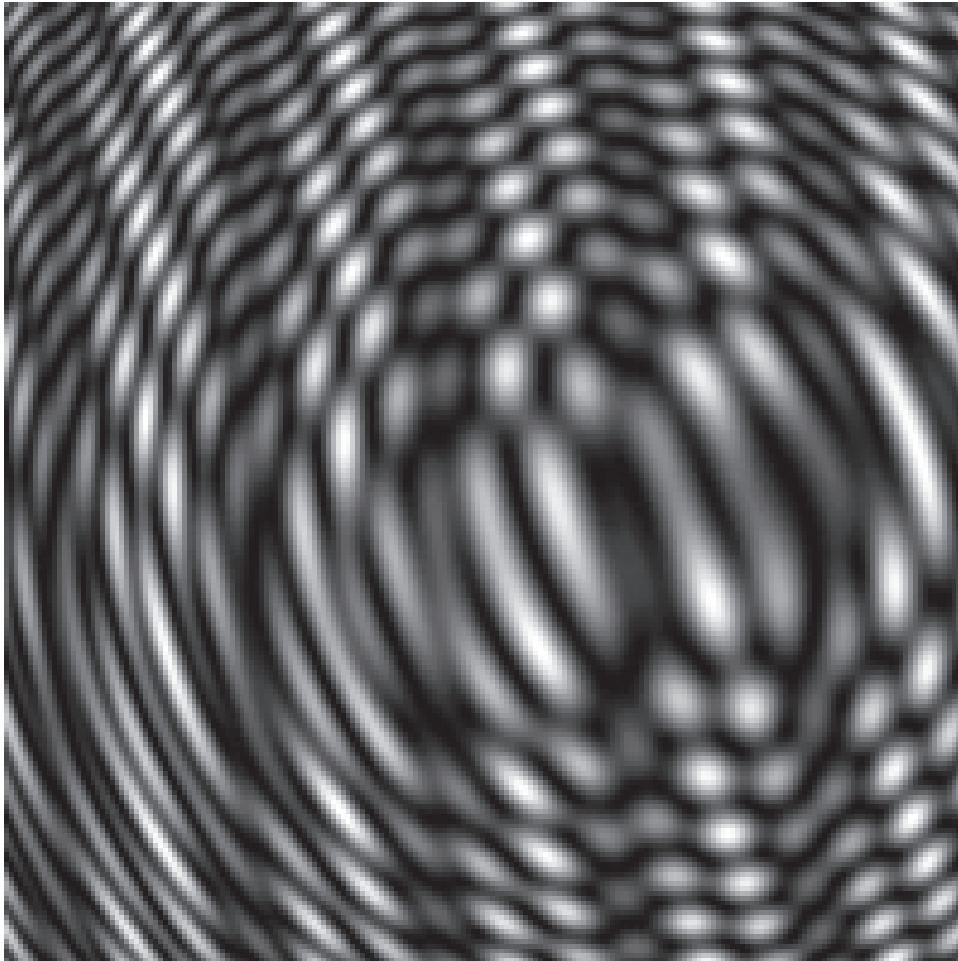


```
hologram = objectwave + refwave;  
hologram = hologram .* conj(hologram);
```

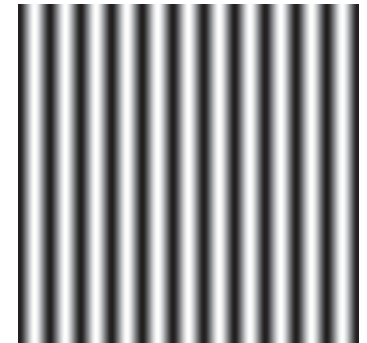
- alternative (bipolar intensity):

```
hologram = real(objectwave) .* real(refwave) +  
           imag(objectwave) .* imag(refwave)
```

# Hologram calculation



*Object wave*

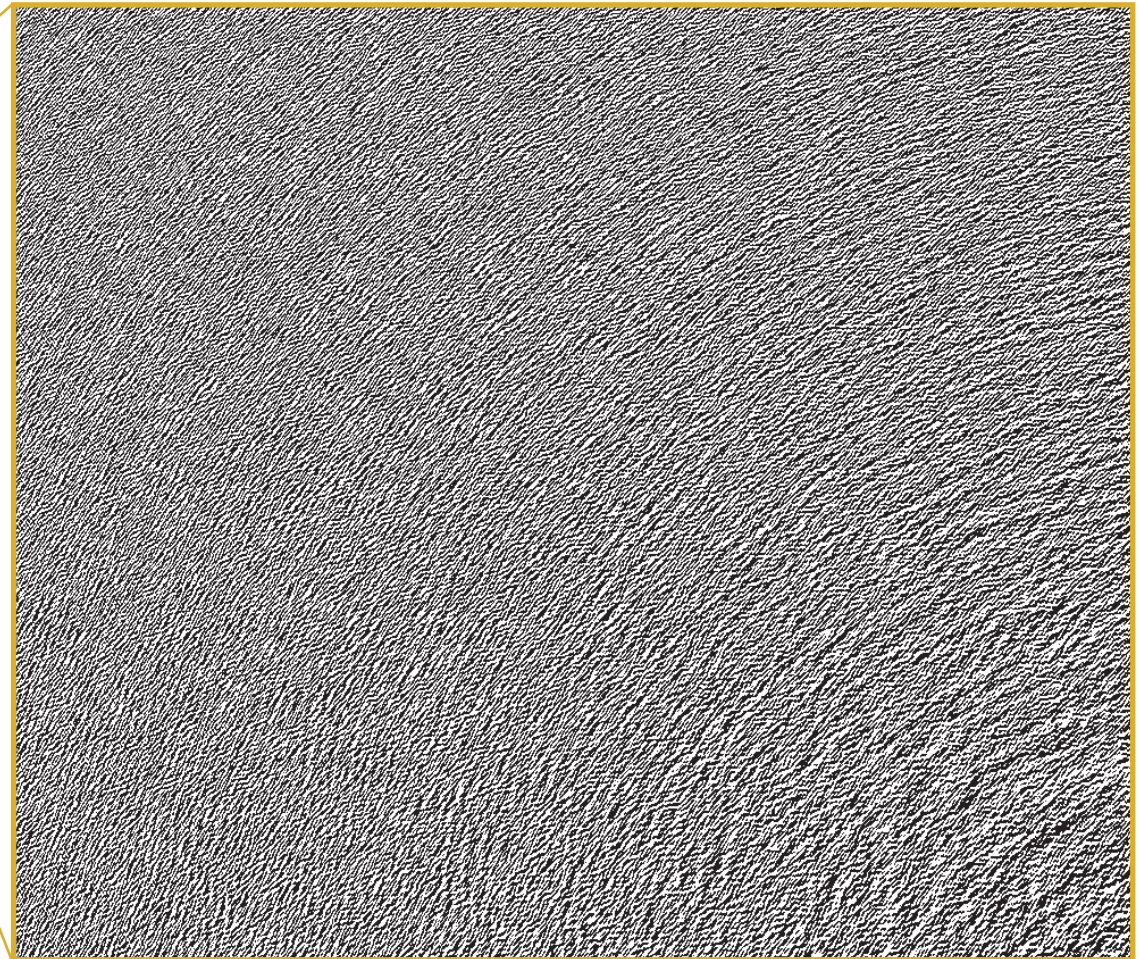
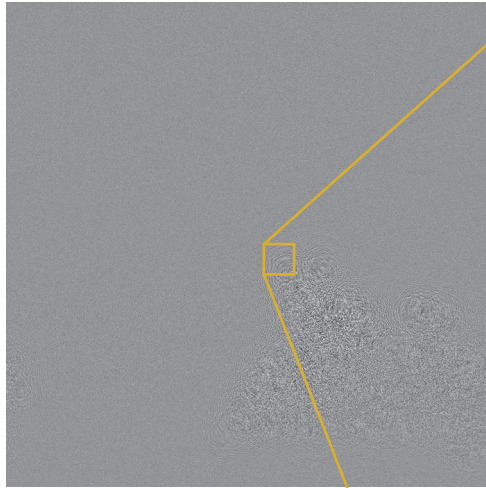


*Reference wave*

*The hologram (intensity picture)*



# Hologram calculation



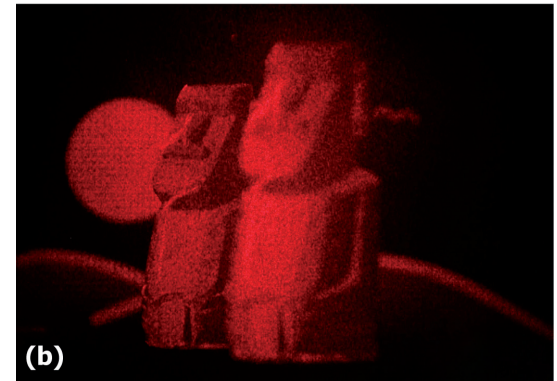
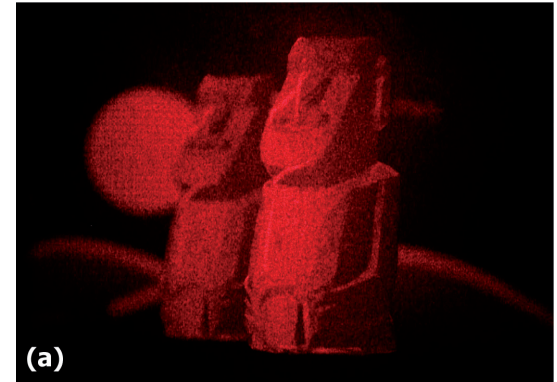
*Computer  
generated  
hologram*

*6144 × 6144 pixels  
Size 4,3 × 4,3 cm<sup>2</sup>  
(resolution 3600 dpi  
~ pixel size 7 μm)*

# ▶ Hologram portrayal

## Static high resolution holograms

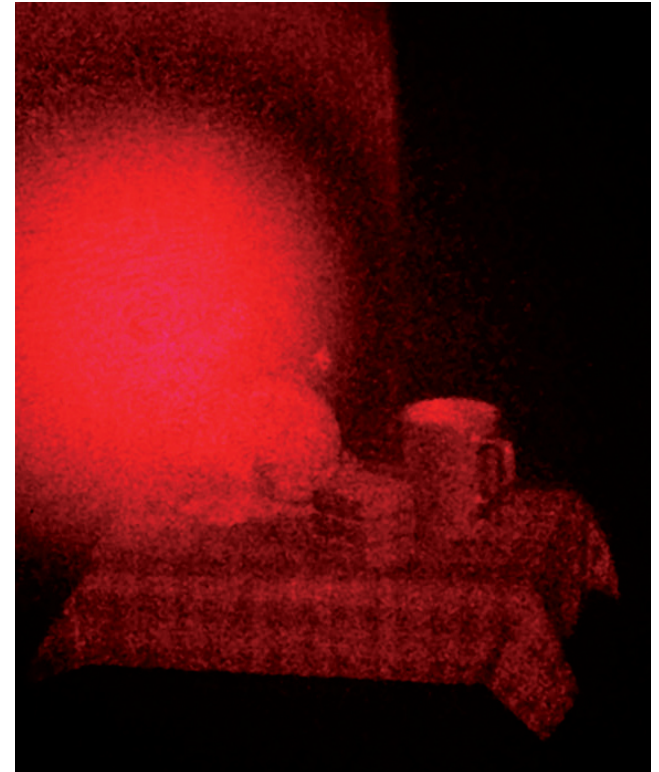
- electron beam lithography
  - 0.1  $\mu\text{m}$  details
    - $\Rightarrow$  diffraction up to  $90^\circ$
  - extremely expensive, recording 1  $\text{mm}^2/\text{min}$
- laser lithography
  - 1  $\mu\text{m}$  details
    - $\Rightarrow$  diffraction up to  $20^\circ$
  - very expensive, recording 4  $\text{mm}^2/\text{min}$



*Hologram by K. Matsushima*

## Home made static holograms

- imagesetter
  - 10  $\mu\text{m}$  details
    - $\Rightarrow$  diffraction up to  $2^\circ$
  - price  $\sim$  5 € per A4
- laser printer
  - 100  $\mu\text{m}$  details
    - $\Rightarrow$  diffraction up to  $0.5^\circ$

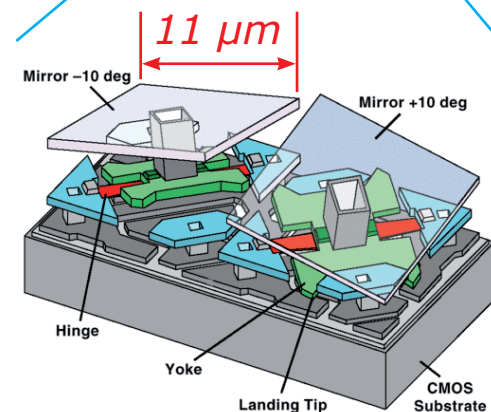
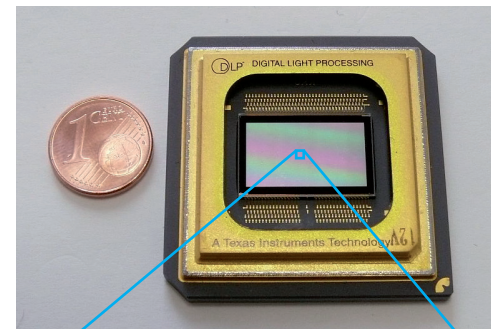


*Hologram by I. Hanák, M. Janda*

# ▶ Hologram portrayal

## Laboratory holographic displays

- based on DMD chips (DLP projectors), phase only spatial light modulators or acousto-optic modulators: (Bilkent University, MIT Media Lab, ...)
- based on intermediate optical photorefractive memory (University of Arizona)



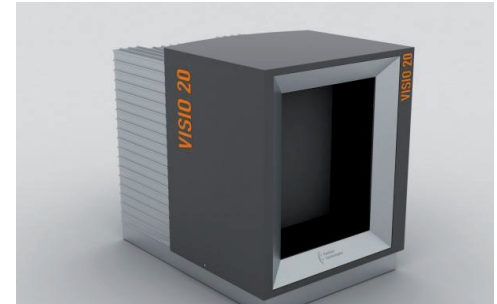
*DMD chip by Texas Instruments*

# Hologram portrayal



## Early stage commercial displays

- Zebra Imaging
- SeeReal Technologies  
spatial light modulators  
plus eye tracking
- QinetiQ  
spatial light  
modulator  
plus intermediate  
optical memory



*SeeReal Visio 20"*

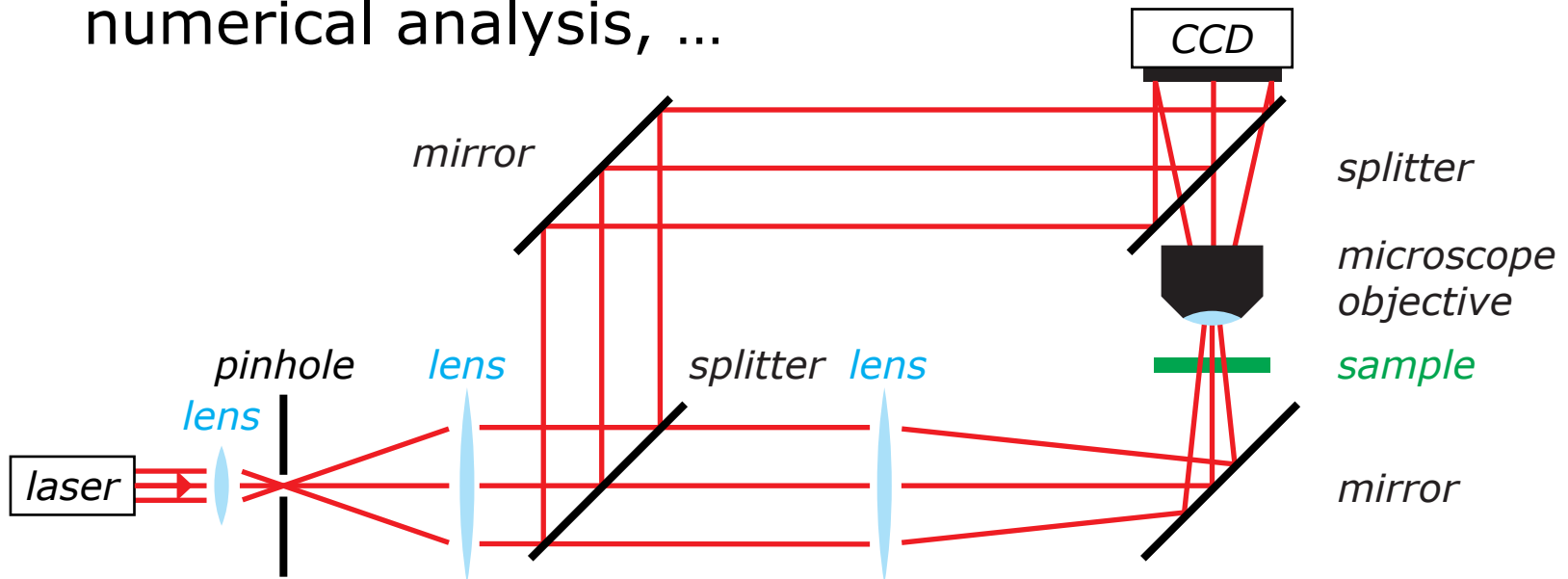


*Zebra Imaging ZScape motion display*



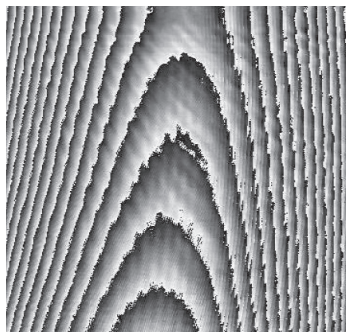
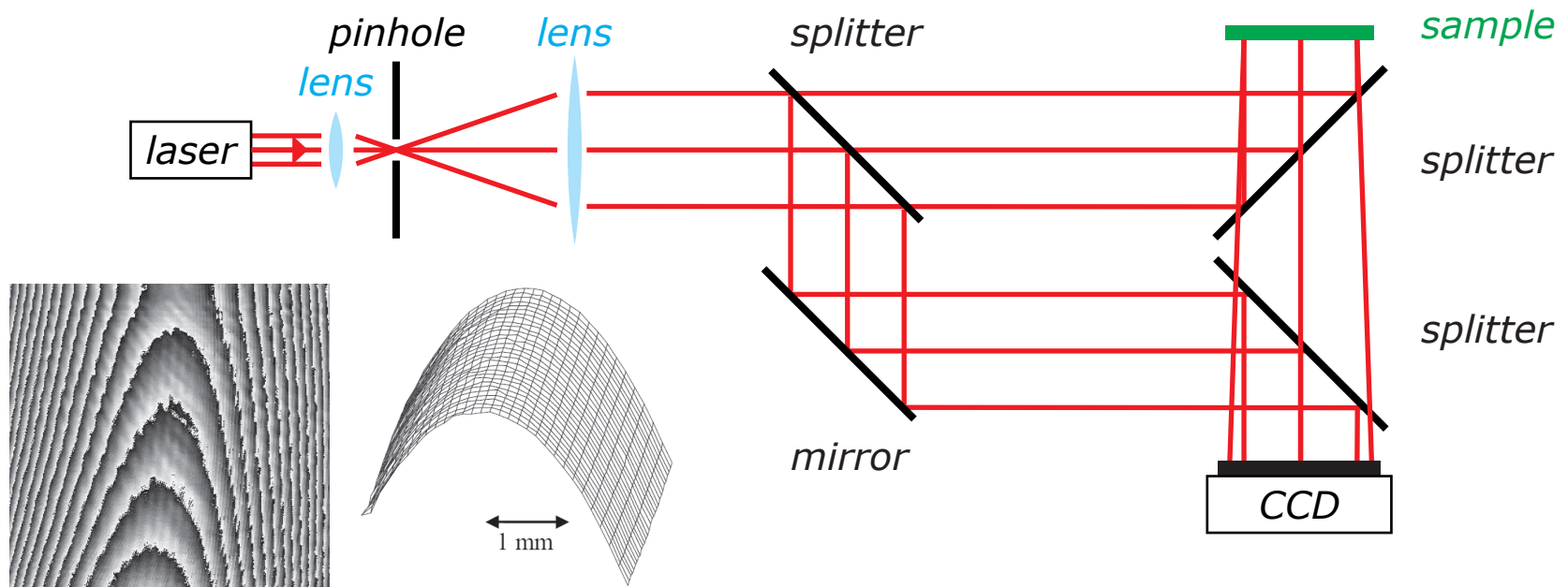
## Digital holographic microscopy

- acquisition of digital hologram
  - numerical reconstruction
- ⇒ signal filtering, unwanted diffraction removal, numerical analysis, ...

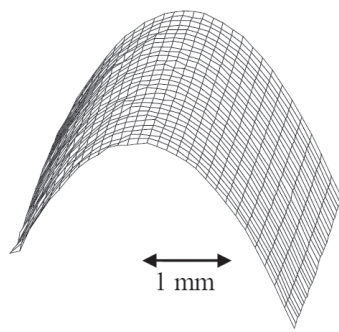


## Surface metrology

- real object numerical reconstruction
- reconstructed phase  $\sim$  surface bumpiness



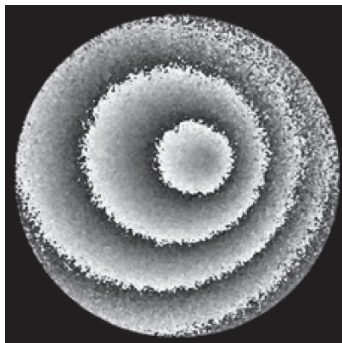
*captured phase*



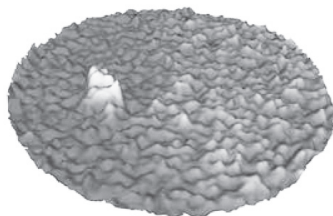
*unwrapped phase (Jüptner, Schnars: Digital Holography)*

## Comparative digital holography

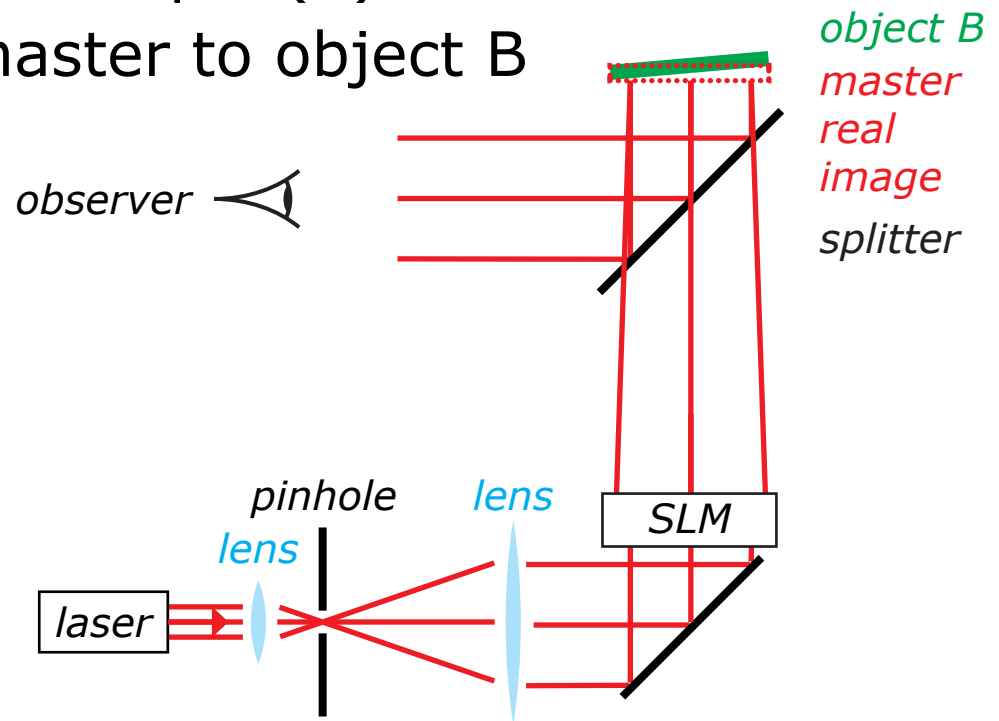
- hologram of master sample (A)
- reconstruction of master to object B



*captured phase*



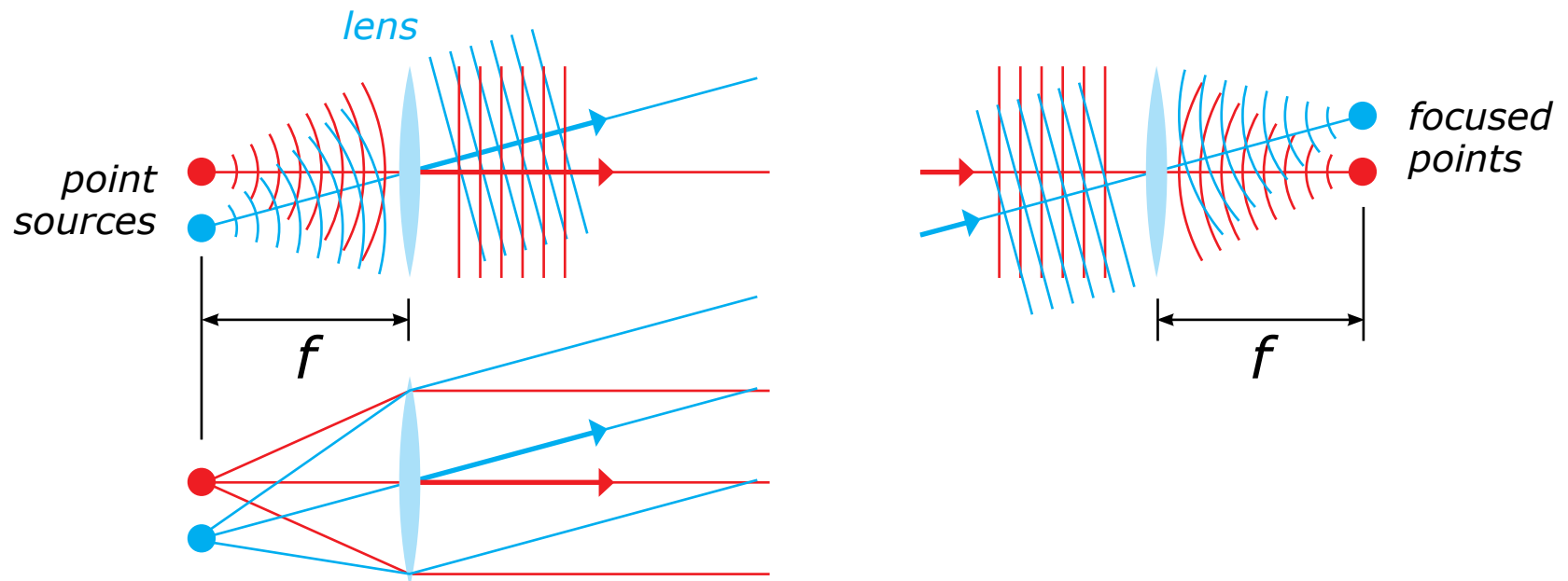
*unwrapped phase (Jüptner, Schnars: Digital Holography)*





## Signal processing

- conversion between plane and spherical wave:  
convex lens of focal length  $f$





## Signal processing

- complex amplitude of plane wave at plane  $z = 0$ :

$$U(x, y) = A_{ab} \exp(-j[ax + by])$$

- $a, b$  depend on wave inclination
- illumination with many plane waves:

$$U(x, y) = \int_a \int_b A_{ab} \exp(-j[ax + by]) da db$$

⇒ can be considered as Fourier transform of  $A_{ab}$

- 
- Fourier transform (**not a proper definition!**):

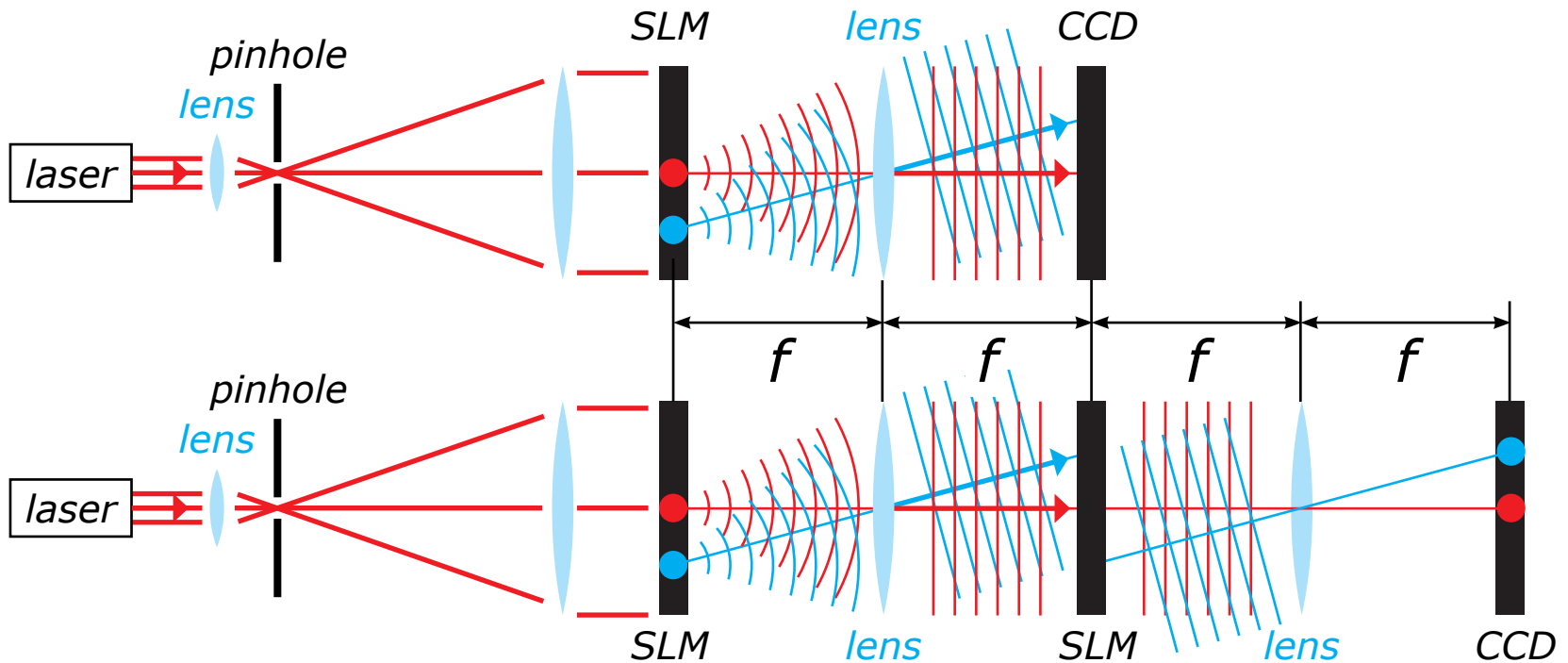
$$\text{FT}\{A(a, b)\} = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} A_{ab} \exp(-j[ax + by]) da db$$

# Digital holography applications

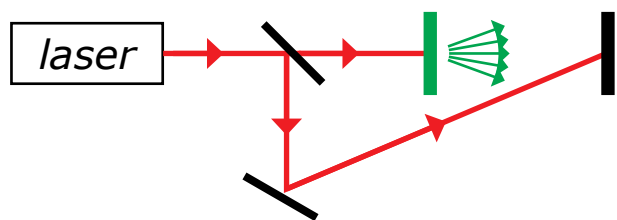
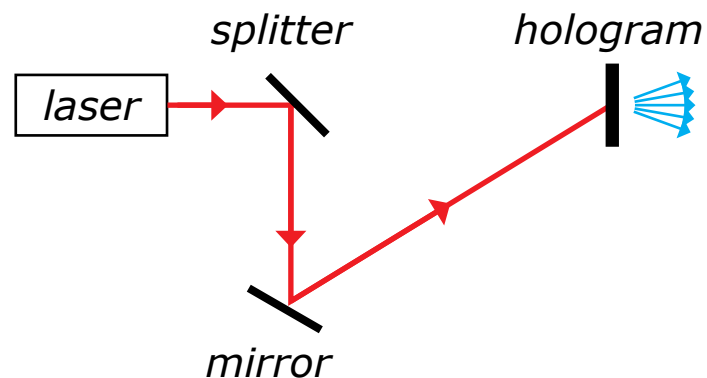
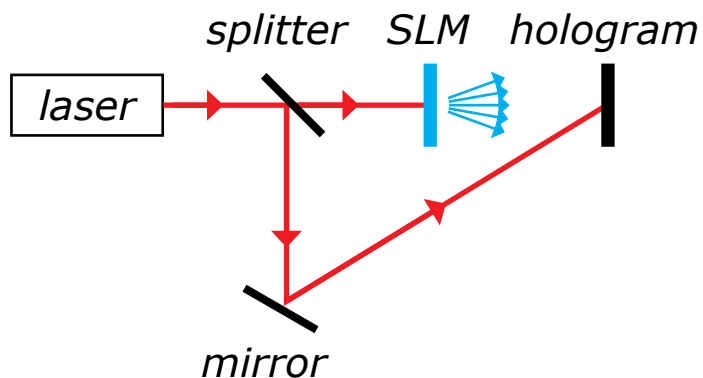


## Signal processing

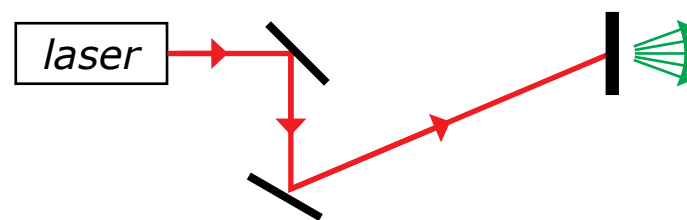
- $2f$  system – optical Fourier transform unit
- $4f$  system – optical filtering system



## Holographic memory



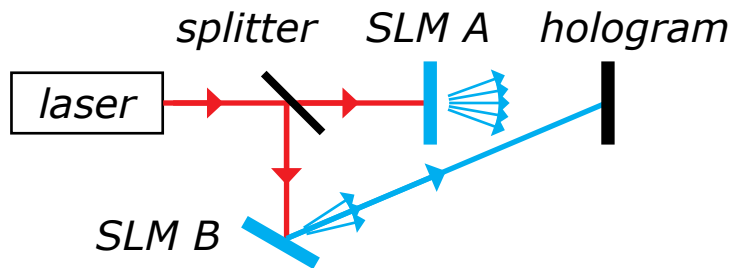
*multiple exposure  
of single hologram*



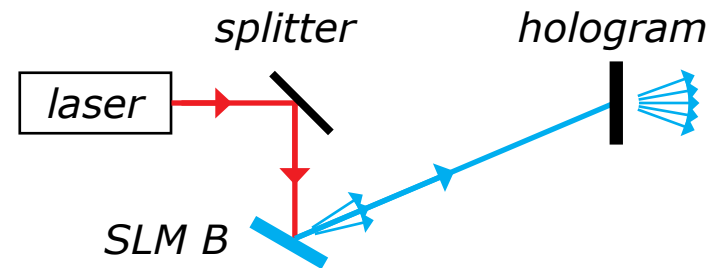
*selective reconstruction by  
reconstruction wave change*

## Holographic memory

- spatial light modulator (SLM) A: data
- SLM B: address



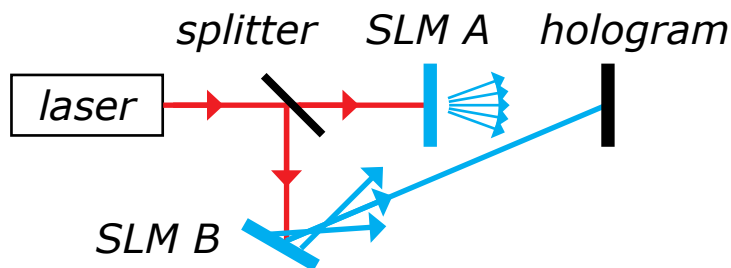
*multiple exposure  
of single hologram*



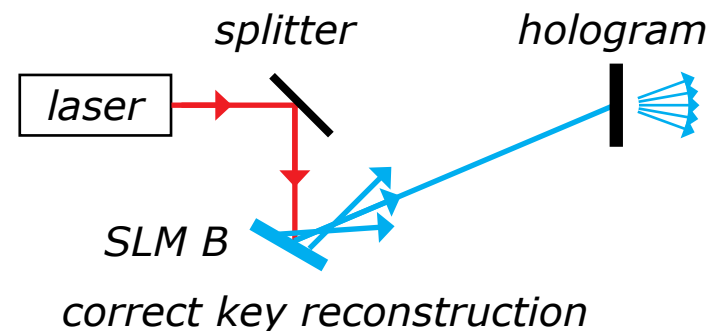
*selective reconstruction by  
reconstruction wave change*

## Holographic cryptography

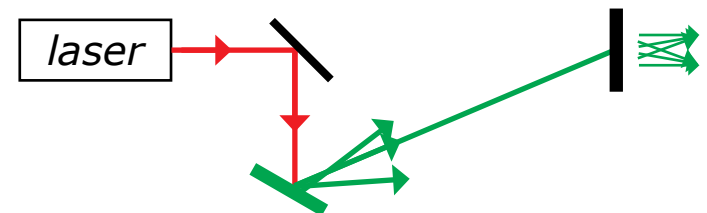
- SLM A: data, SLM B: key
- wrong key reconstruction: scrambled output



*encryption*



*correct key reconstruction*

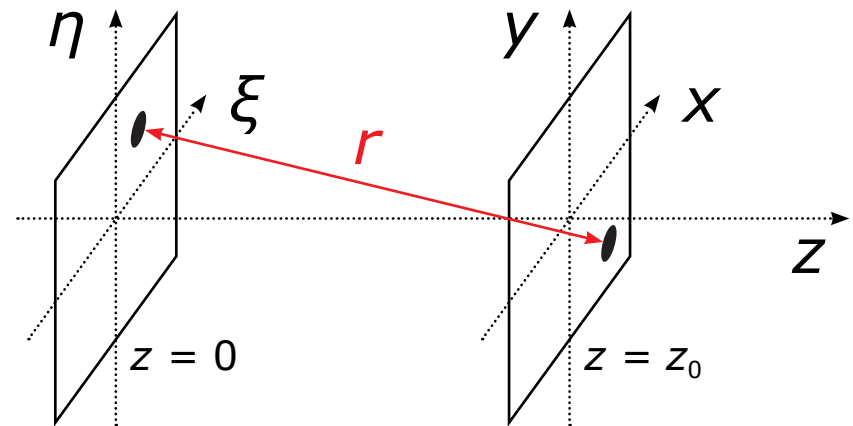


*wrong key reconstruction*

## Rayleigh-Sommerfeld integral

$$U(x, y, z_0) = -\frac{1}{2\pi} \iint_{\text{hologram}} U(\xi, \eta, 0) \times$$
$$\times \left(-jk - \frac{1}{r}\right) \frac{\exp(-jkr)}{r} \frac{z_0}{r} d\xi d\eta$$

$$r = [(x - \xi)^2 +$$
$$+ (y - \eta)^2$$
$$+ z_0^2]^{1/2}$$





## Discrete calculation

- discretization of areas to  $M \times N$  samples
- samples distance  $\Delta$
- $x = (m - M/2)\Delta$ ,  $y = (n - N/2)\Delta$

- $$U'[p, q] = -\frac{1}{2\pi} \sum_{m=0}^{M-1} \sum_{n=0}^{N-1} U[m, n] K[p - m, q - n]$$

$$K[p, q] = \left(-jk - \frac{1}{r}\right) \frac{\exp(-jkr) z_0}{r} \frac{z_0}{r}$$

$$r = [(p\Delta)^2 + (q\Delta)^2 + z_0^2]^{1/2}$$



## Discrete calculation

- $$U'[p, q] = -\frac{1}{2\pi} \sum_{m=0}^{M-1} \sum_{n=0}^{N-1} U[m, n] K[p - m, q - n]$$
- $p = 0, m = M - 1; \quad q = 0, n = N - 1$   
⇒ minimal indices  $K$ :  $-(M - 1), -(N - 1)$
- $p = M - 1, m = 0; \quad q = N - 1, n = 0$   
⇒ maximal indices  $K$ :  $+(M - 1), +(N - 1)$
- $K$  has to be known in  $(2M - 1) \times (2N - 1)$  samples



## Discrete cyclic convolution

- padding  $U[m, n]$  with zeros to  $(2M - 1) \times (2N - 1)$

$$\begin{aligned} \bullet \quad U'[p, q] &= -\frac{1}{2\pi} \sum_{m=0}^{2M-2} \sum_{n=0}^{2N-2} U[m, n] \times \\ &\quad \times K_c[p - m \pmod{2M - 1}, q - n \pmod{2N - 1}] \\ &= -\frac{1}{2\pi} \mathbf{IDFT}\{\mathbf{DFT}(U) \odot \mathbf{DFT}(K)\} \end{aligned}$$

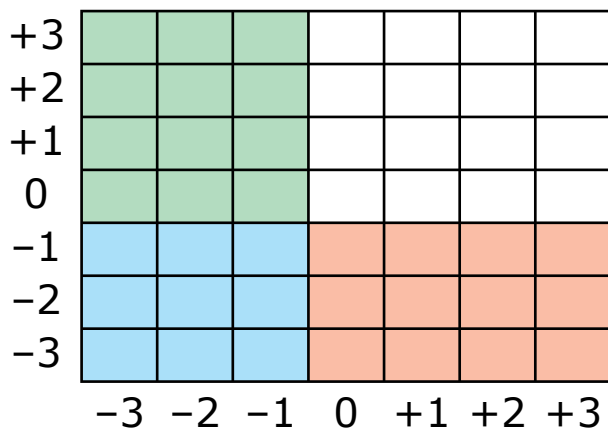
**DFT** discrete Fourier transform

**IDFT** inverse discrete Fourier transform

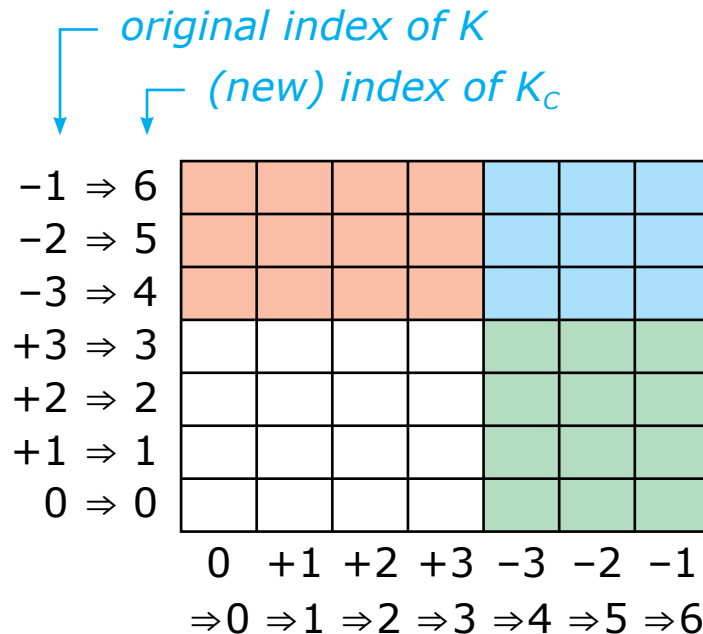
$\odot$  element-by-element multiplication

## Discrete cyclic convolution

- example for  $M = N = 4$



structure of  $K$



structure of  $K_c$

# Numerical propagation



```
propag_z    = -0.5;

kernel = zeros(2*res_y, 2*res_x);
if (propag_z < 0) ii = -i; else ii = i; endif

for column = 1:2*res_x
    for row = 1:2*res_y

        if (column < res_x)
            x = (column-1) * sampling;
        else
            x = (column-2*res_x-1) * sampling;
        endif
    end
end
```

# Numerical propagation

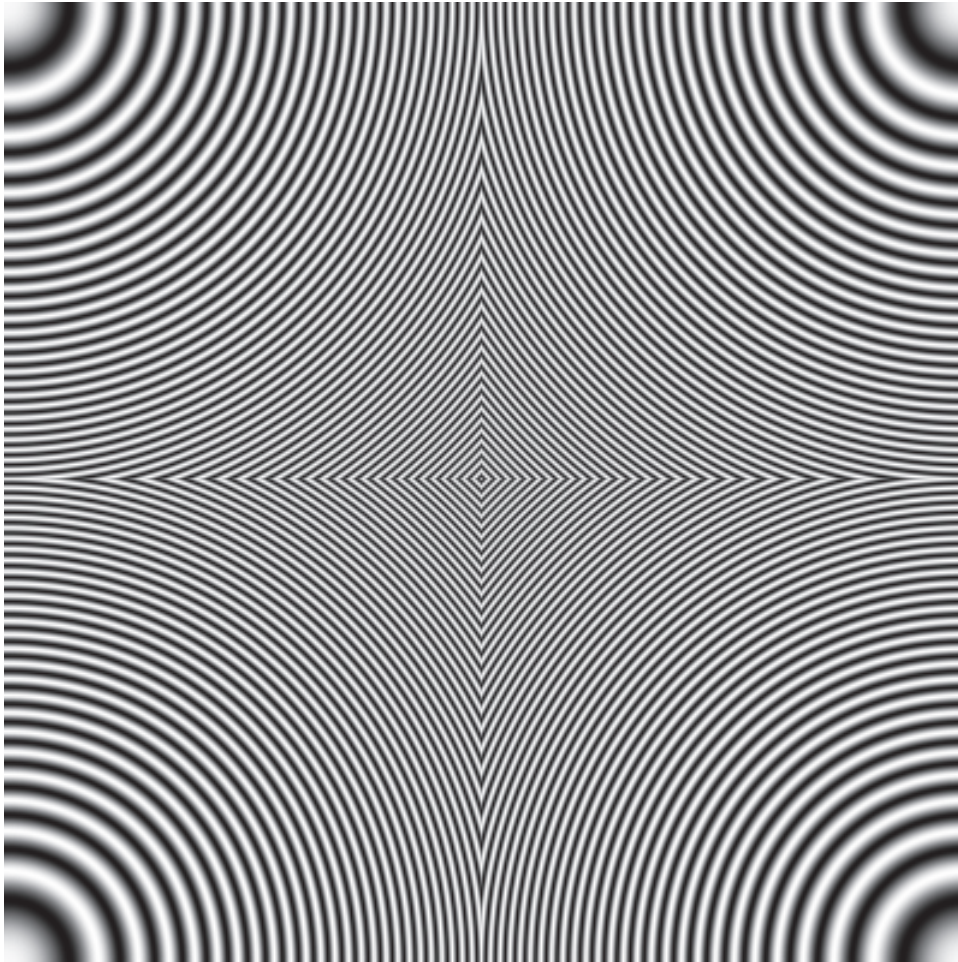


```
if (row < res_y)
    y = (row-1) * sampling;
else
    y = (row-2*res_y-1) * sampling;
endif

r2 = x**2 + y**2 + propag_z**2;
kernel(row,column) =
    ii * k * exp(ii*k*sqrt(r2)) / r2;

endfor
endfor
```

# Numerical propagation



*Real part of the  
Rayleigh-Sommerfeld  
cyclic convolution kernel  
(Just for information;  
it has no physical meaning!)*

# Numerical propagation



```
field = zeros(2*res_y, 2*res_x);  
field(1:res_y, 1:res_x) = hologram;
```

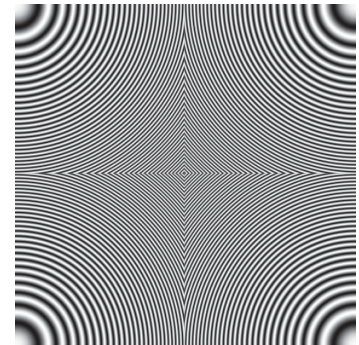
```
FTfield = fft2(field);  
FTkernel = fft2(kernel);
```

```
FTfield2 = FTfield .* FTkernel;
```

```
field2 = ifft2(FTfield2);  
image = field2(1:res_y, 1:res_x);
```

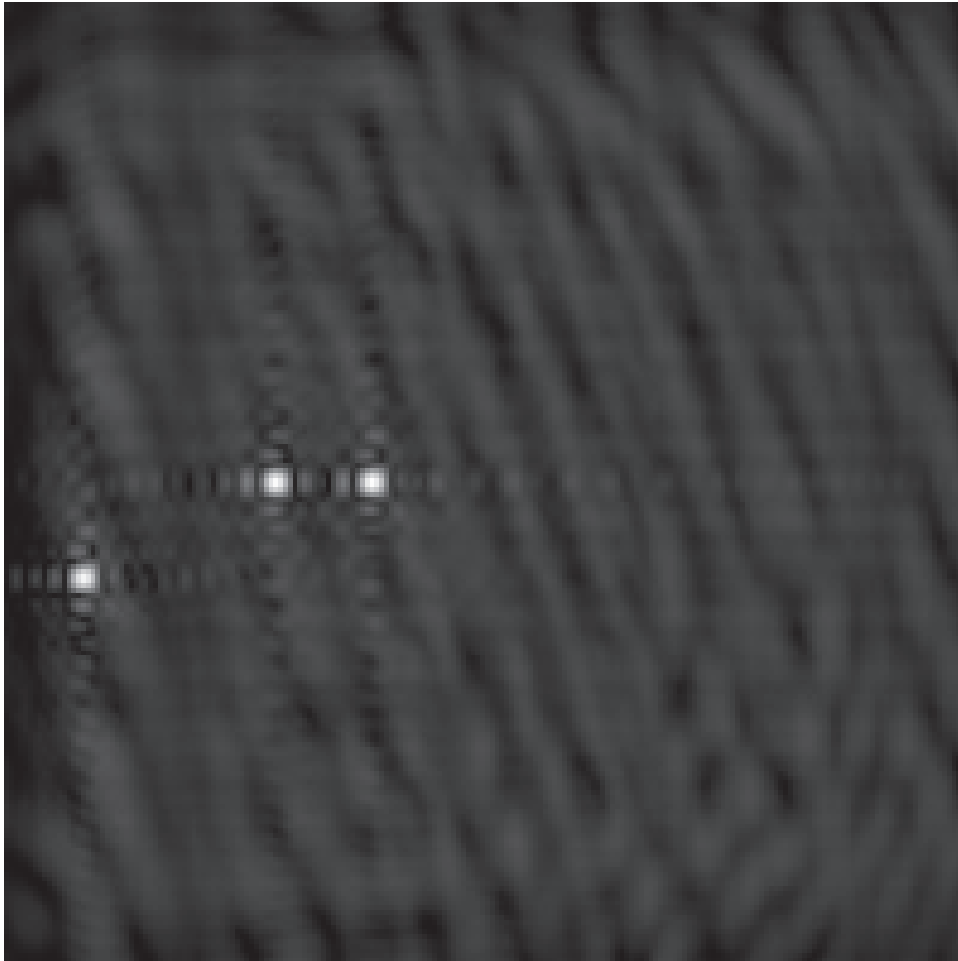


*real(field)*



*real(kernel)*

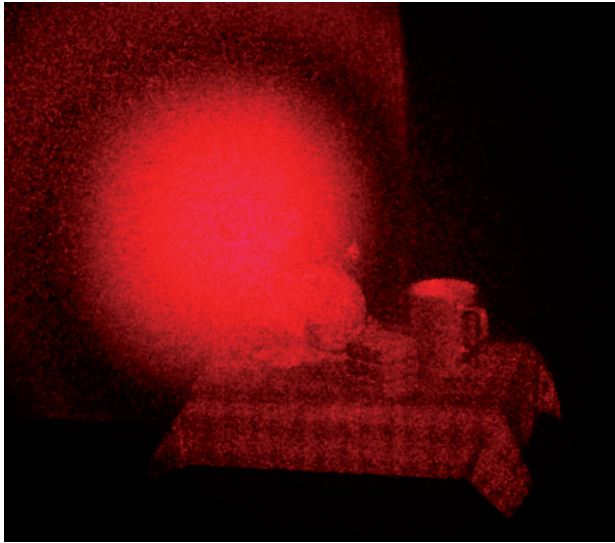
# Numerical propagation



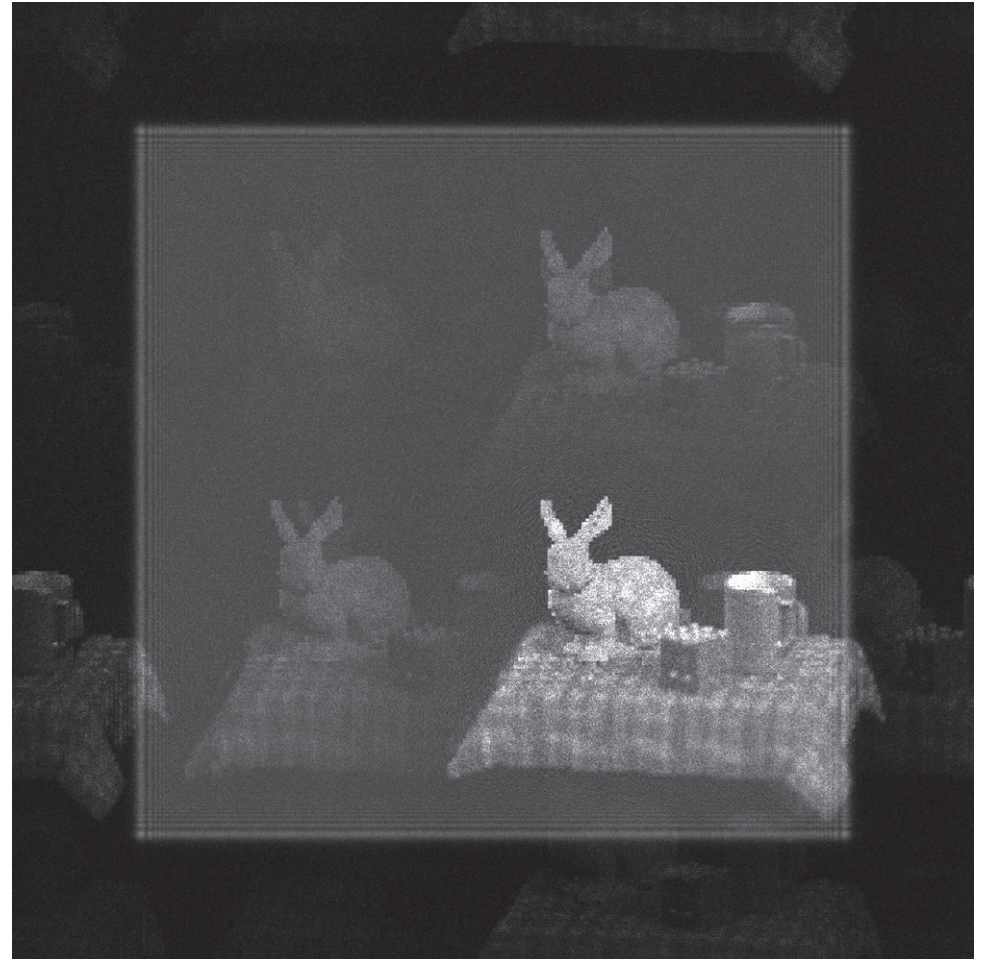
*Numerical simulation  
of hologram propagation  
(Intensity picture – this  
would be actually captured)*



# Numerical propagation



*Optical reconstruction*



*Numerical reconstruction*

# Numerical propagation



- forward propagation
  - in the  $z+$  axis direction
  - hologram propagation – in a distance  $z_0 > 0$   
real image appears – on-screen projection
  - original complex field propagation  
 $U_p(x, y, 0)$  – no real image on  $z+$  axis
- backward propagation
  - propagation to a distance  $z_0 < 0$
  - convolution kernel  $K_C$  has to be complex conjugate

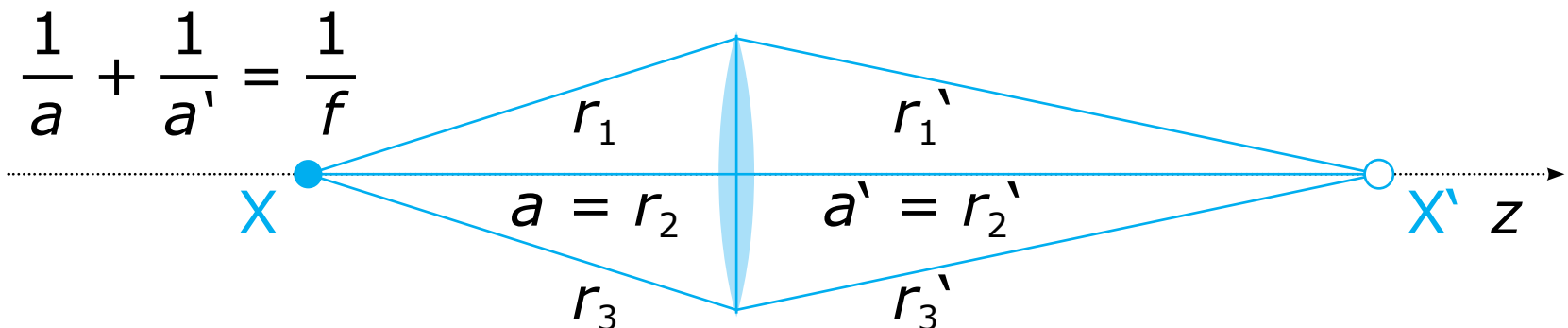
## Lens simulation

1. propagation to a distance  $r$ : phase shift  $kr$
2. propagation in a lens: phase shift  $\varphi$
3. propagation to a distance  $r'$ : phase shift  $kr'$

- all contributions in phase in point  $X'$

$\Rightarrow$  phase function of a lens  $\varphi = -(kr + kr')$

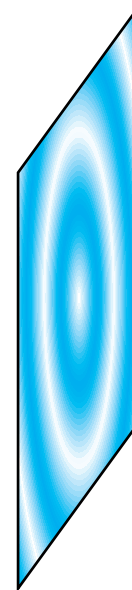
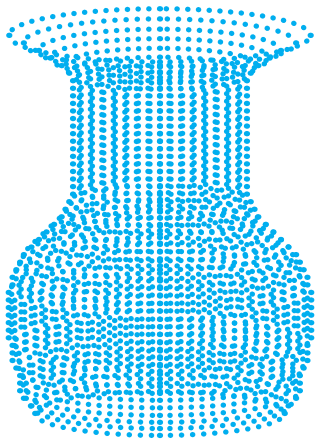
$\Rightarrow$  in  $(x, y, 0)$ :  $\varphi = -k[(x^2+y^2+a^2)^{1/2} + (x^2+y^2+a'^2)^{1/2}]$



# Hologram of a 3D scene



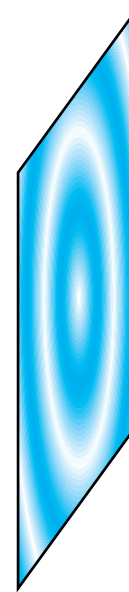
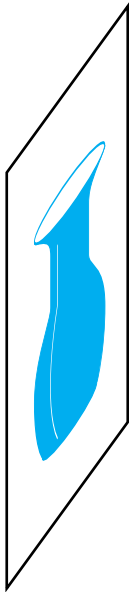
- object replacement with a point cloud
  - extraordinary number of lights needed  $\Rightarrow$  slow
  - does not count with visibility
  - easy parallelization  $\Rightarrow$  fast for thousands of points



# Hologram of a 3D scene



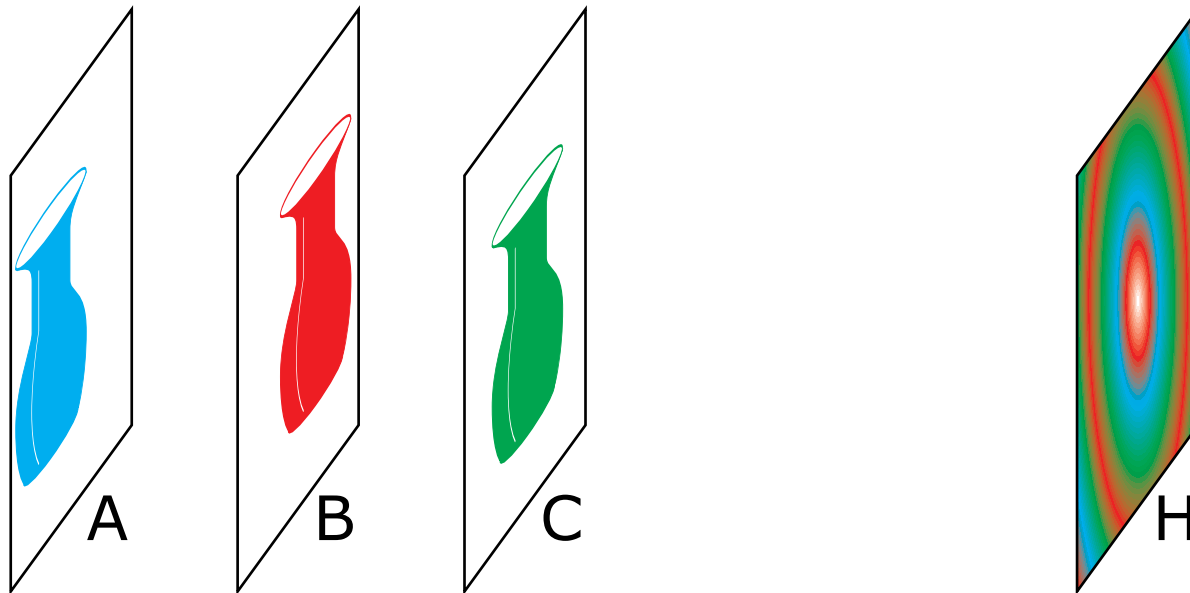
- object replacement with a flat image
  - the same as hologram propagation – use of DFT
  - not for a 3D scene



# Hologram of a 3D scene



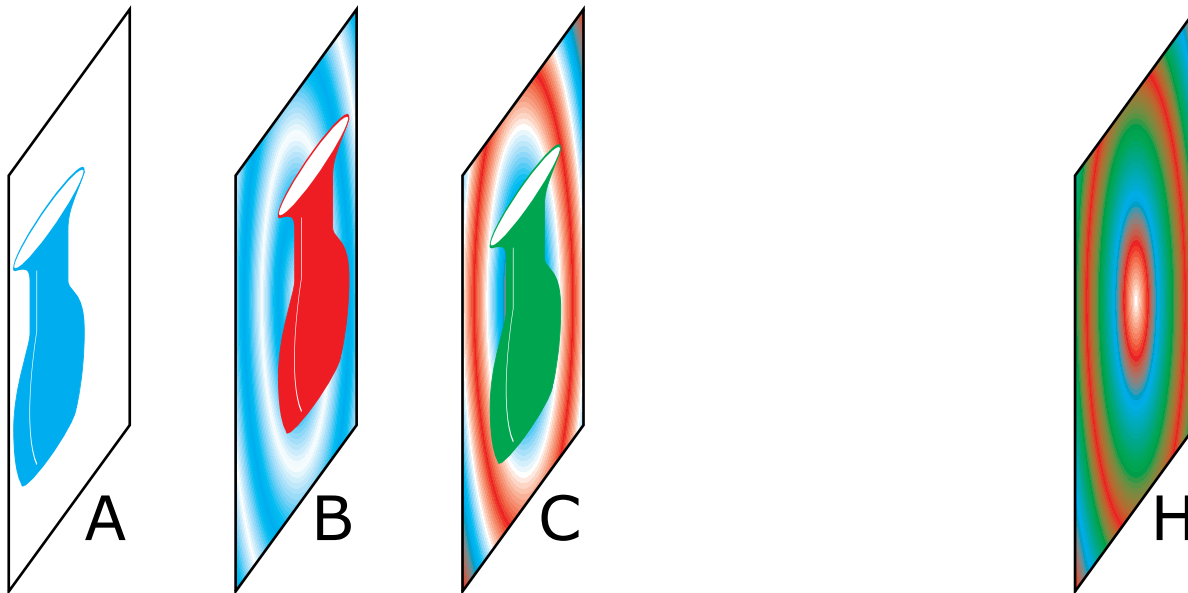
- object replacement with series of flat images
  - propagation  $A \rightarrow H$ ,  $B \rightarrow H$ ,  $C \rightarrow H$ , sum
  - simulation of 3D scene, use of DFT
  - does not count with visibility



# Hologram of a 3D scene



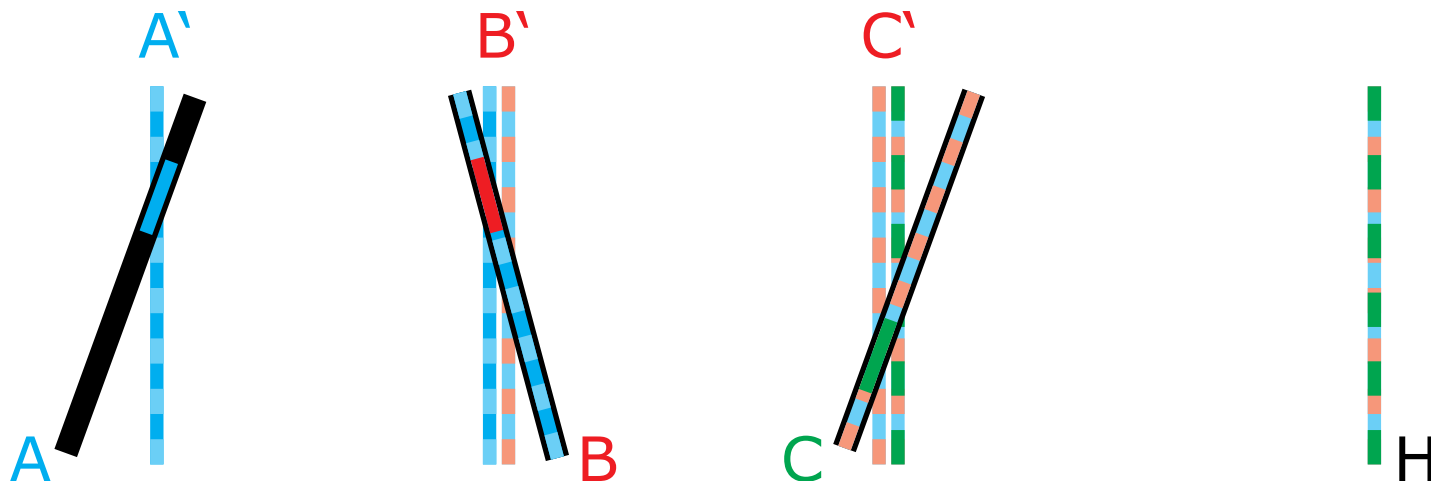
- step-by-step propagation
  - propagation  $A \rightarrow B$ , masking,
  - $B \rightarrow C$ , masking,  $C \rightarrow H$
  - enables to replace 3D scene with several slices



# Hologram of a 3D scene



- general step-by-step propagation
  - rotation  $A \rightarrow A'$ , propagation  $A' \rightarrow B'$ , rotation  $B' \rightarrow B$ , masking, rotation  $B \rightarrow B'$ , propagation  $B' \rightarrow C'$ , ...
  - enables to render a scene with textured polygons

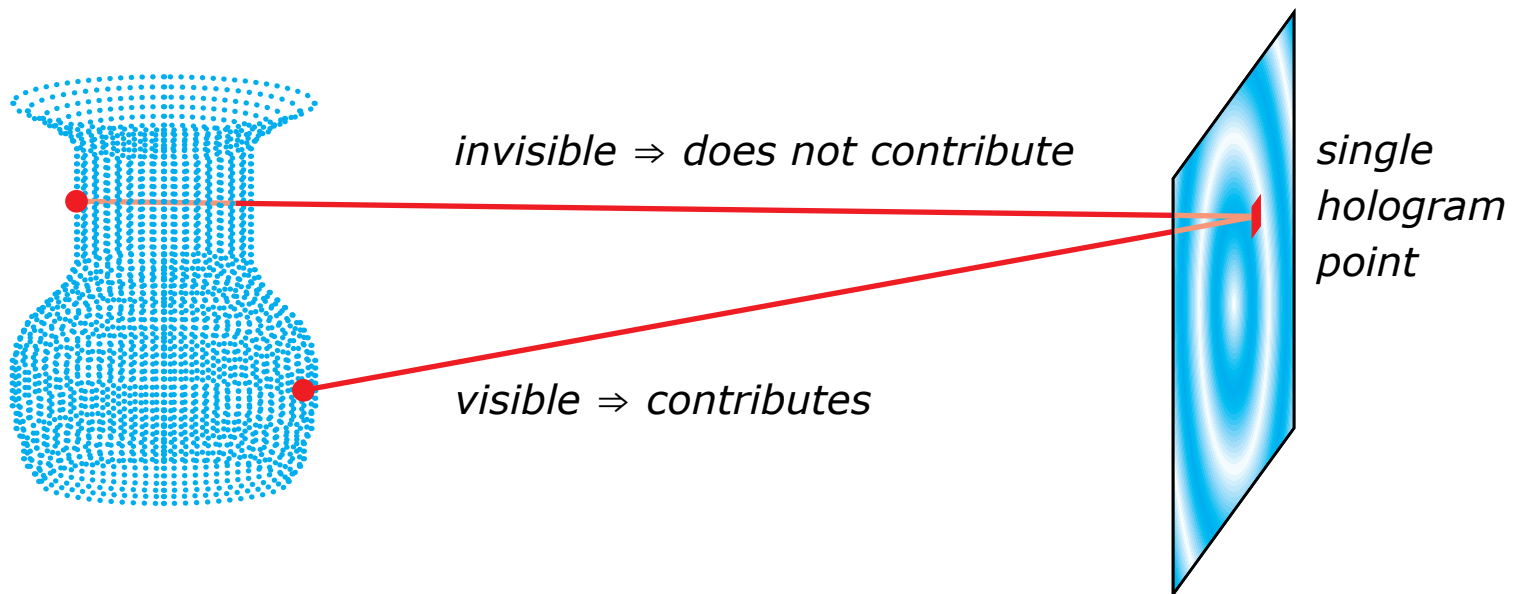




# Hologram of a 3D scene



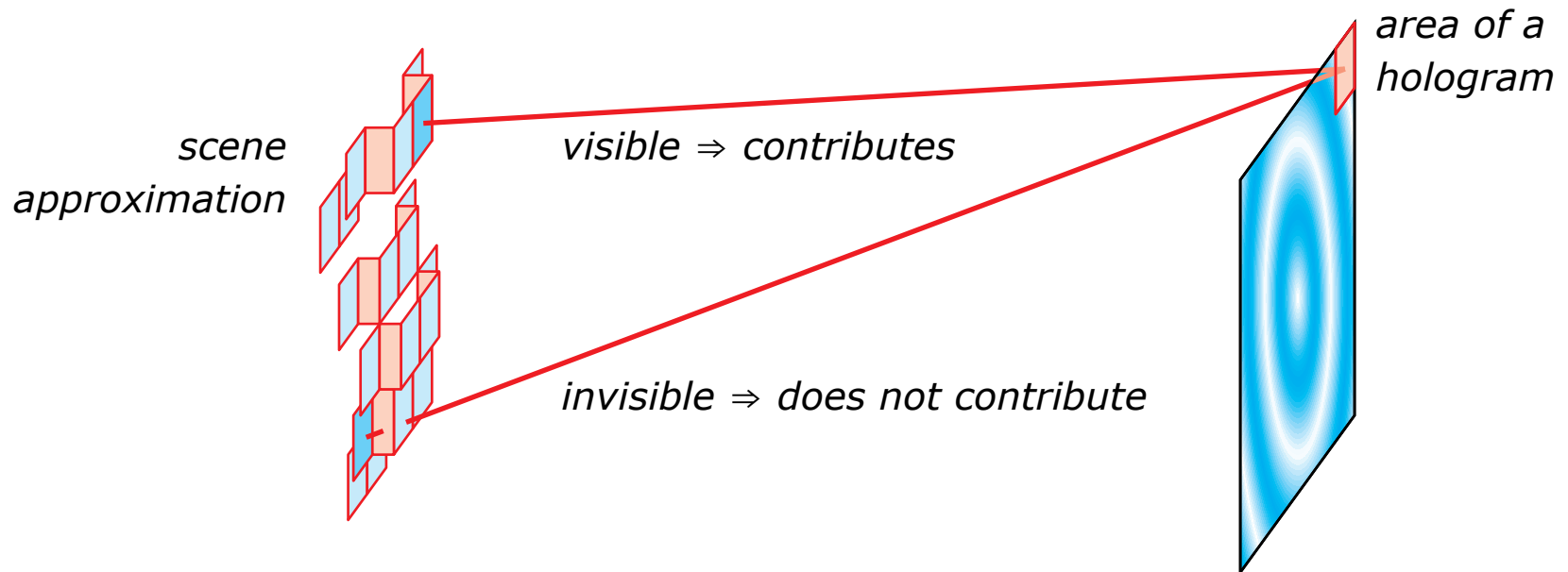
- point cloud rendering enhanced with ray casting for visibility testing
  - extremely slow



# Hologram of a 3D scene



- scene breakup to rectangular patches
  - common visibility solution for a number of point sources and a number of hologram points



# Hologram of a 3D scene



- analytic triangle patch propagation formula
  - visibility solution in one view only (mostly)
  - problem with diffuse surface reflection
- analytic line propagation formula
  - for wireframe models

# Hologram of a 3D scene



- precalculated table of point sources fields, their fast summation on GPU
- approximation of light propagation
  - Rayleigh-Sommerfeld convolution  $3\times$  DFT
  - angular spectrum decomposition  $2\times$  DFT, direct calculation of DFT(kernel)
  - Fresnel approximation  $1\times$  DFT, paraxial
  - Fraunhofer approximation  $1\times$  DFT, paraxial, big distances

# Angular spectrum decomposition



- a plane wave hitting plane  $z = 0$ :

$$U(x, y, 0) = A \exp\{-jk(ax + by)\}$$

propagation vector  $\mathbf{n} = (a, b, [1 - a^2 - b^2]^{1/2})$

$$\left. \begin{aligned} a &= \mathbf{n} \cdot (1, 0, 0) = \cos \theta_x \\ b &= \mathbf{n} \cdot (0, 1, 0) = \cos \theta_y \end{aligned} \right\} \text{direction cosines}$$

- many plane waves hitting plane  $z = 0$ :

$$U(x, y, 0) = \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} A(a/\lambda, b/\lambda) \exp\{-jk(ax + by)\} da db$$

with  $A(a/\lambda, b/\lambda) = 0$  for  $|a| > 1$ ,  $|b| > 1$

- definition of  $A(a/\lambda, b/\lambda)$  instead of clearer  $A(a, b)$  will be advantageous in a while

# Angular spectrum decomposition



- more often:  $f_x = a/\lambda$ ,  $f_y = b/\lambda$

i.e.

$$\begin{aligned} U(x, y, 0) &= \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} A(f_x, f_y) \\ &\quad \exp\{-2\pi j(f_x x + f_y y)\} df_x df_y \\ &= \text{FT}\{ A(f_x, f_y) \} \end{aligned}$$

i.e.

$$\begin{aligned} A(f_x, f_y) &= \text{FT}^{-1}\{ U(x, y, 0) \} \\ &= \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} U(x, y, 0) \\ &\quad \exp\{2\pi j(f_x x + f_y y)\} dx dy \end{aligned}$$

# Angular spectrum decomposition



- a plane wave hitting plane  $z = z_0$ :

$$\begin{aligned}U(x, y, z_0) &= A \exp\{-jk(ax + by + cz_0)\} \\ &= A \exp\{-jk(ax + by)\} \exp\{-jkz_0c\} \\ &= A \exp\{-jk(ax + by)\} \\ &\quad \exp\{-jkz_0[1 - a^2 - b^2]^{1/2}\}\end{aligned}$$

- many planes hitting plane  $z = z_0$ :

$$\begin{aligned}U(x, y, z_0) &= \int_{-\infty}^{+\infty} \int_{-\infty}^{+\infty} A(f_x, f_y) \\ &\quad \exp\{-j2\pi z_0[1/\lambda^2 - f_x^2 + f_y^2]^{1/2}\} \\ &\quad \exp\{-j2\pi(f_x x + f_y y)\} df_x df_y \\ &= \text{FT}\{ A(f_x, f_y) \\ &\quad \exp\{-j2\pi z_0[1/\lambda^2 - f_x^2 + f_y^2]^{1/2}\}\}\end{aligned}$$



## Angular spectrum propagation

input:  $U(x, y, 0)$

output:  $U(x, y, z_0)$

calculation:

$$A(f_x, f_y) = \text{FT}^{-1}\{ U(x, y, 0) \}$$

$$U(x, y, z_0) = \text{FT}\{ A(f_x, f_y) \exp\{-j2\pi z_0[1/\lambda^2 - f_x^2 + f_y^2]^{1/2}\}\}$$

- mathematically equivalent to the R-S convolution
- just two Fourier transforms
- numerically easier for small  $z_0$   
(R-S is better for bigger  $z_0$  – see kernel sampling)



## Rayleigh-Sommerfeld solution

$$U(x, y, z_0) = -\frac{1}{2\pi} \iint_{\text{hologram}} U(\xi, \eta, 0) \times \\ \times \left(-jk - \frac{1}{r}\right) \frac{\exp(-jkr)}{r} \frac{z_0}{r} d\xi d\eta$$

$$\begin{aligned} r &= [(x - \xi)^2 + (y - \eta)^2 + z_0^2]^{1/2} \\ &= z_0 [1 + (x - \xi)^2/z_0^2 + (y - \eta)^2/z_0^2]^{1/2} \\ &\doteq z_0 [1 + (x - \xi)^2/2z_0^2 + (y - \eta)^2/2z_0^2] \\ &= z_0 + (x - \xi)^2/2z_0 + (y - \eta)^2/2z_0 \\ &= z_0 + (x^2 + y^2)/2z_0 + (\xi^2 + \eta^2)/2z_0 - (x\xi + y\eta)/z_0 \end{aligned}$$

# Fresnel approximation



For  $z_0 \gg x, y$ :

$$\begin{aligned} U(x, y, z_0) &= -\frac{1}{2\pi} \iint_{\text{hologram}} U(\xi, \eta, 0) \times \\ &\quad \times \left(-jk - \frac{1}{r}\right) \frac{\exp(-jkr)}{r} \frac{z_0}{r} d\xi d\eta \\ &\doteq \frac{jkz_0}{2\pi} \iint_{\text{hologram}} U(\xi, \eta, 0) \frac{\exp(-jkr)}{r^2} d\xi d\eta \\ &\doteq \frac{jk}{2\pi z_0} \iint_{\text{hologram}} U(\xi, \eta, 0) \exp(-jkr) d\xi d\eta \end{aligned}$$

# Fresnel approximation



$$\begin{aligned} & \doteq \frac{jk}{2\pi z_0} \iint_{\text{hologram}} U(\xi, \eta, 0) \times \\ & \quad \exp(-jk[z_0 + (x^2 + y^2)/2z_0 + \\ & \quad \quad (\xi^2 + \eta^2)/2z_0 - (x\xi + y\eta)/z_0]) d\xi d\eta \\ & = \frac{jk}{2\pi z_0} \exp(-jkz_0) \exp(-jk(x^2 + y^2)/2z_0) \times \\ & \quad \iint_{\text{hologram}} U(\xi, \eta, 0) \exp(-jk(\xi^2 + \eta^2)/2z_0) \times \\ & \quad \exp(-j2\pi(x\xi + y\eta)/\lambda z_0) d\xi d\eta \end{aligned}$$

# Fresnel approximation



$$= \frac{jk}{2\pi z_0} \exp(-jkz_0) \exp(-jk(x^2 + y^2)/2z_0) \times \\ \text{FT}\{ U(\xi, \eta, 0) \exp(-jk(\xi^2 + \eta^2)/2z_0) \}$$

where after FT calculation substitute

$$f_x = x/\lambda z_0$$

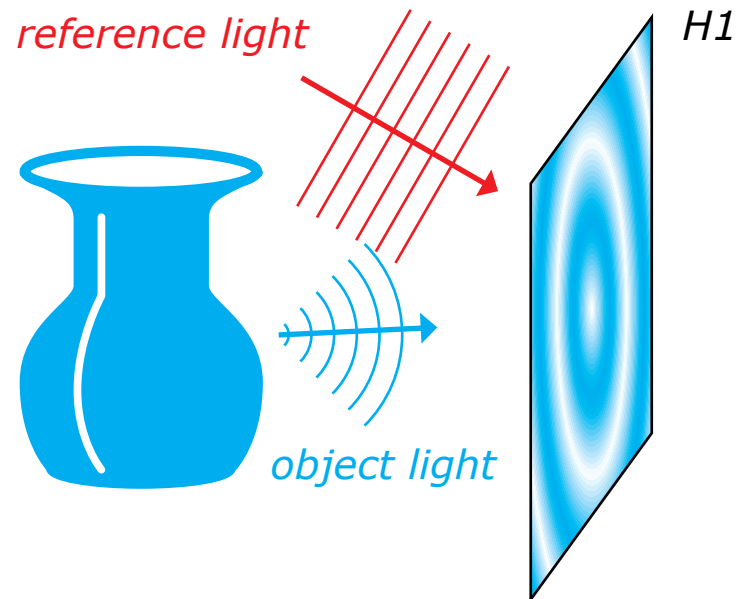
$$f_y = y/\lambda z_0$$

- approximation valid for on-axis case, big  $z_0$   
 $z_0^3 \gg \pi/4\lambda \max\{[(x - \xi)^2 + (y - \eta)^2]^2\}$
- just one Fourier transform

# Hologram of a 3D scene



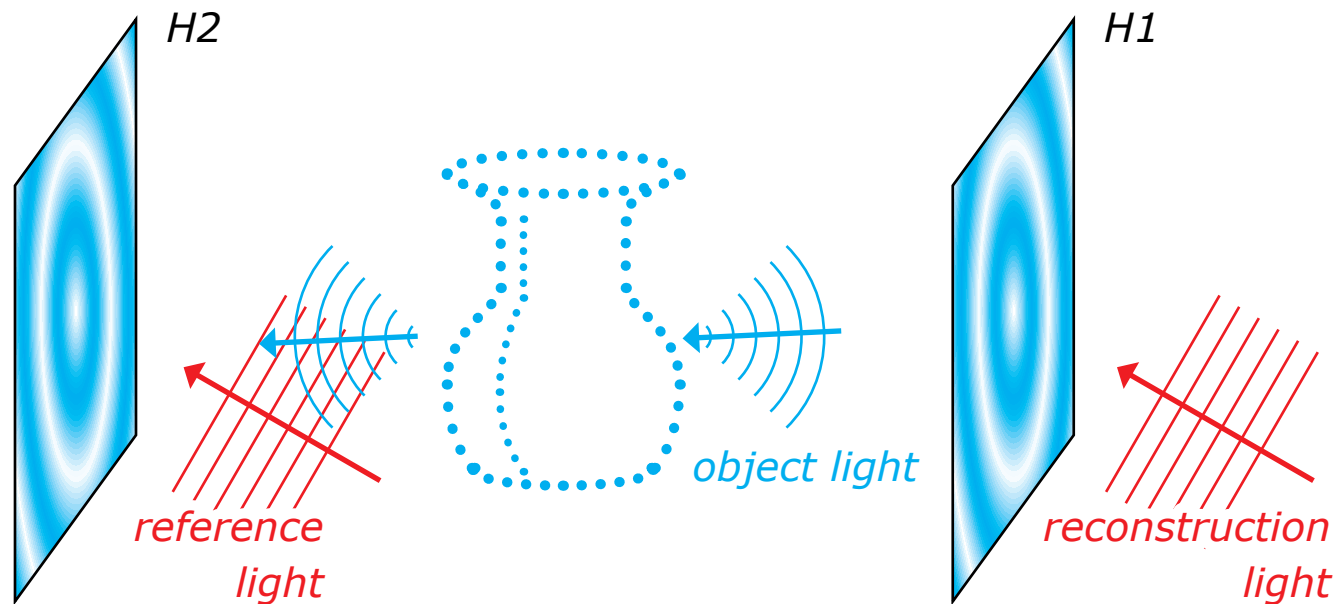
- classical H1 – H2 process
  1. make a classical hologram (H1)



# Hologram of a 3D scene



- classical H1 – H2 process
  1. illuminate H1 with a conjugate wave
  2. illuminate H1 with a conjugate wave
  3. make a hologram of a hologram (H2)

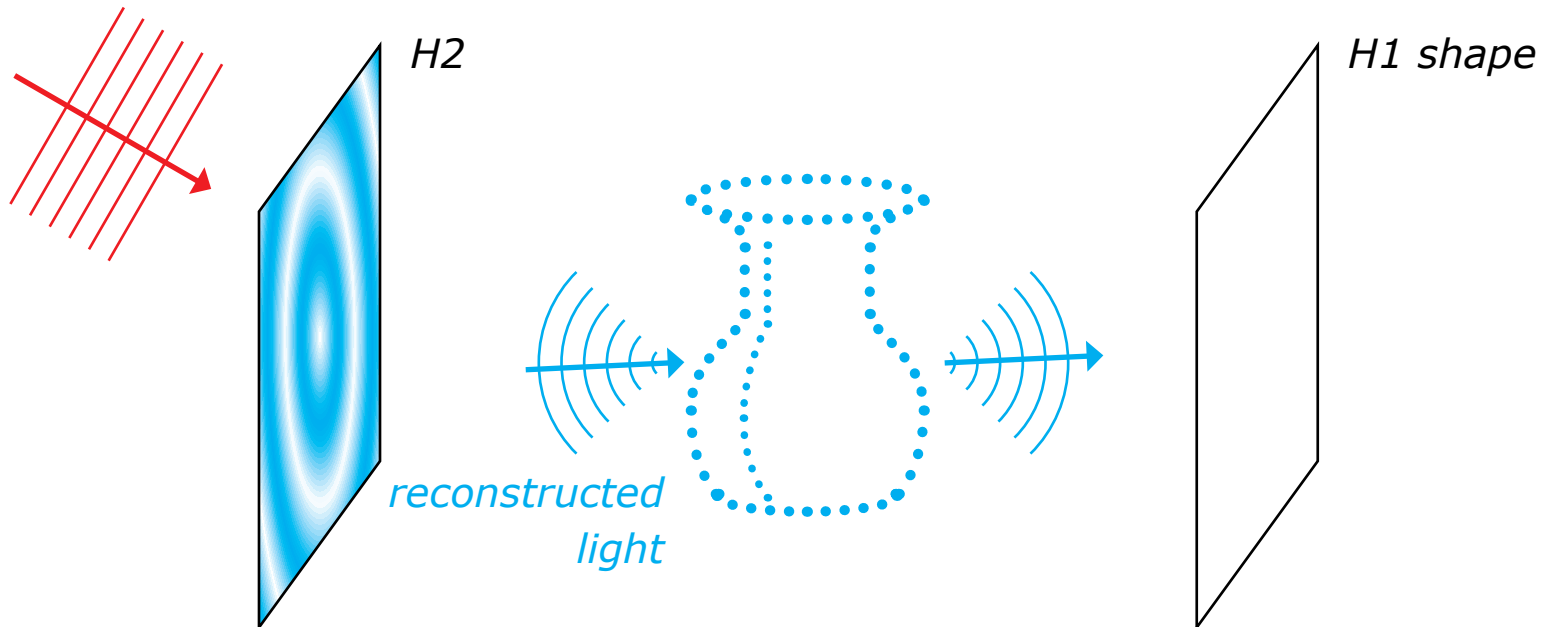


# Hologram of a 3D scene



- classical H1 – H2 process
  4. illuminate H2 with a conjugate wave
    - an orthoscopic image, viewing aperture H1

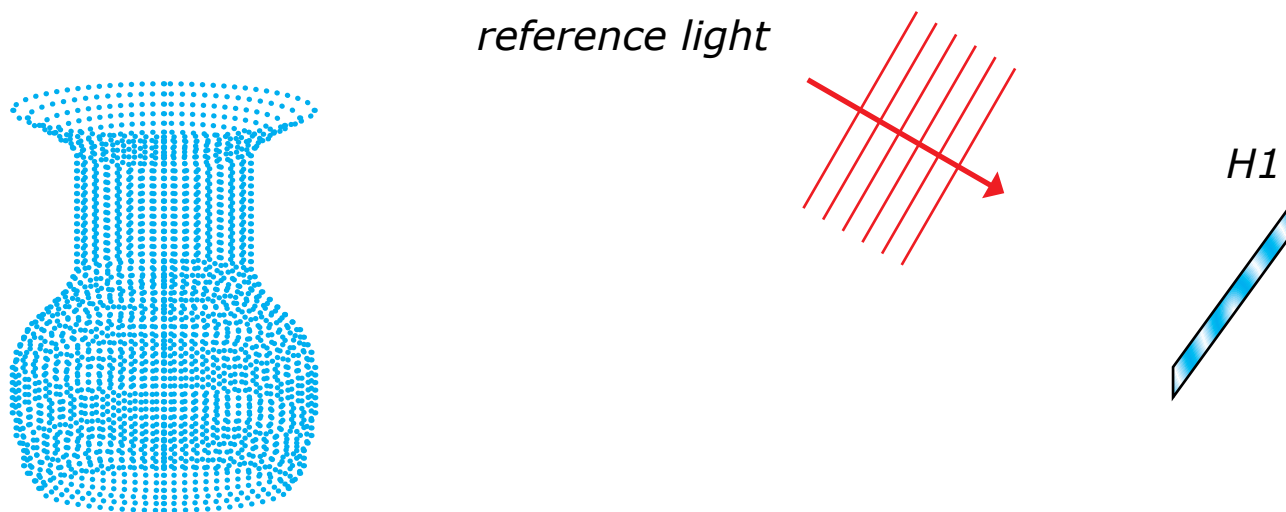
*reconstruction light*



# Hologram of a 3D scene



- classical white light hologram
  - H1 – hologram of a scene  
“viewed through a narrow window”
- digitally: slow calculation, small H1 surface

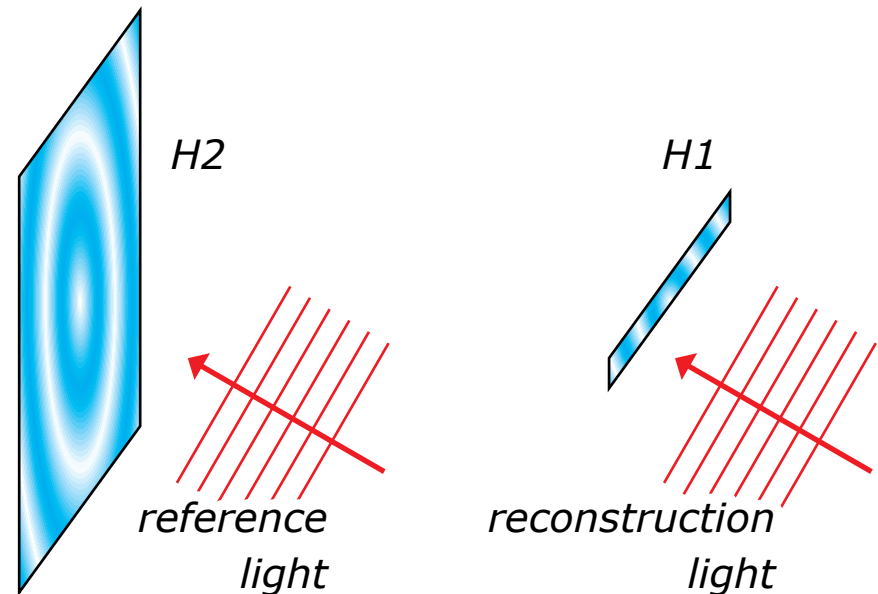




# Hologram of a 3D scene



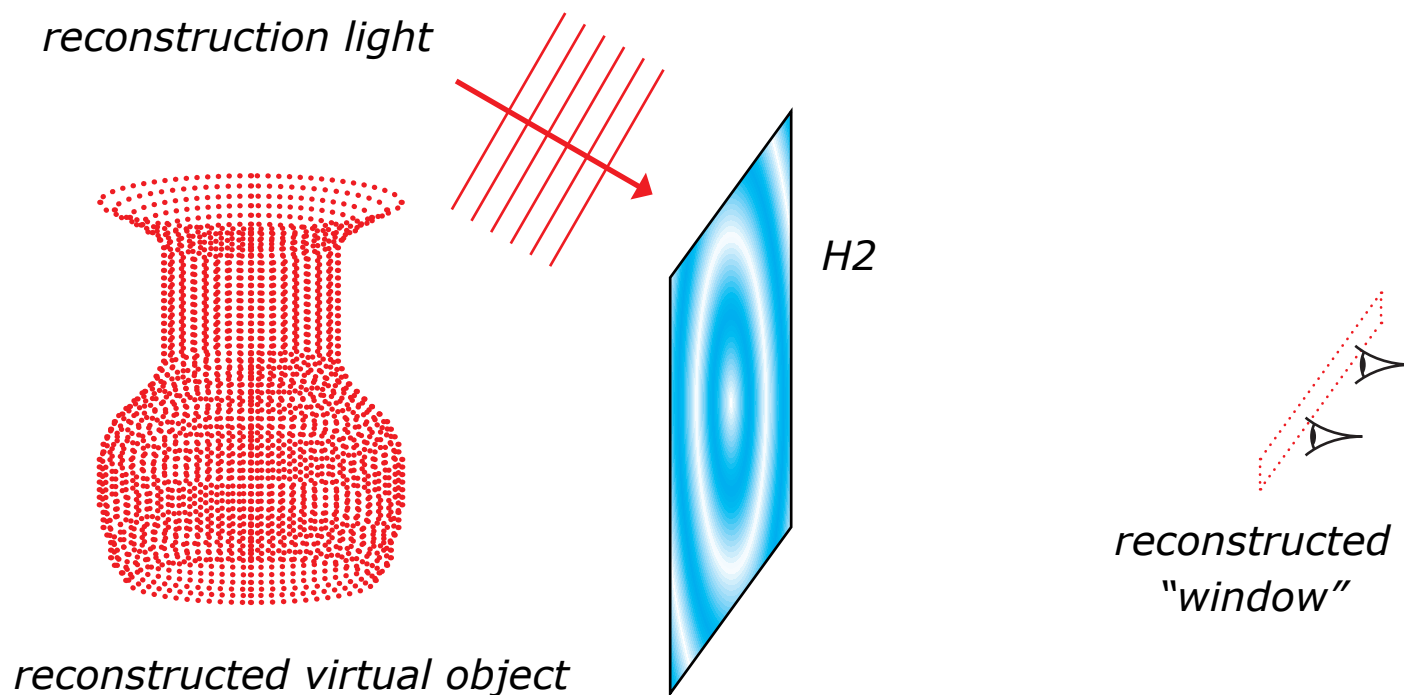
- classical white light hologram
  - H2 – hologram of the H1 hologram
- digitally: no visibility checks  $\Rightarrow$  fast calculation



# Hologram of a 3D scene



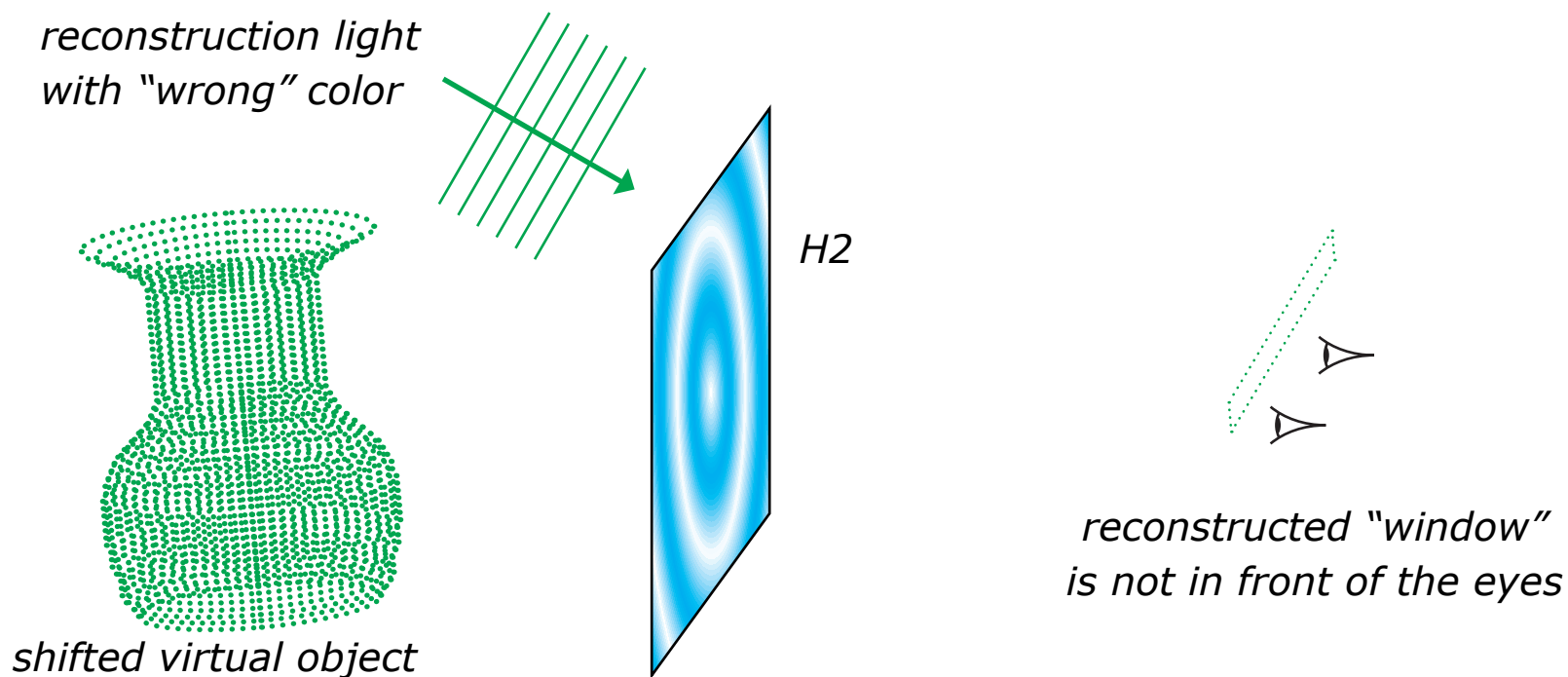
- classical white light hologram reconstruction
  - resembles view through a narrow window
  - horizontal parallax only image



# Hologram of a 3D scene



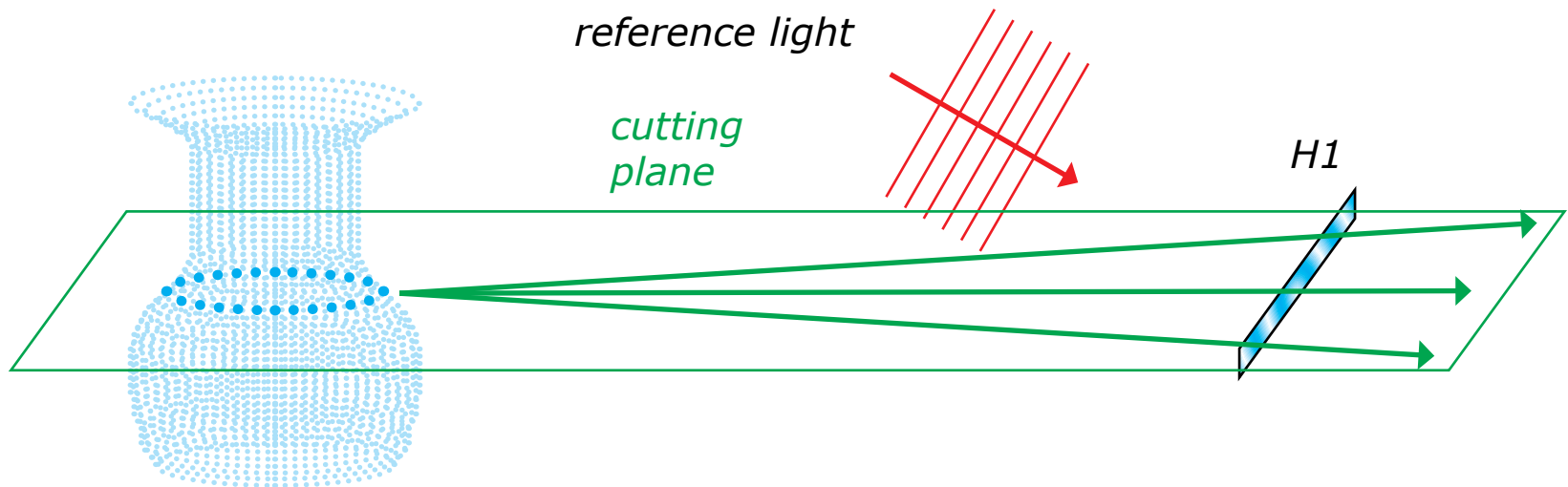
- classical white light hologram reconstruction
  - “wrong” reconstruction color shifts reconstruction
  - H2 extracts “the right” color from white light



# Hologram of a 3D scene



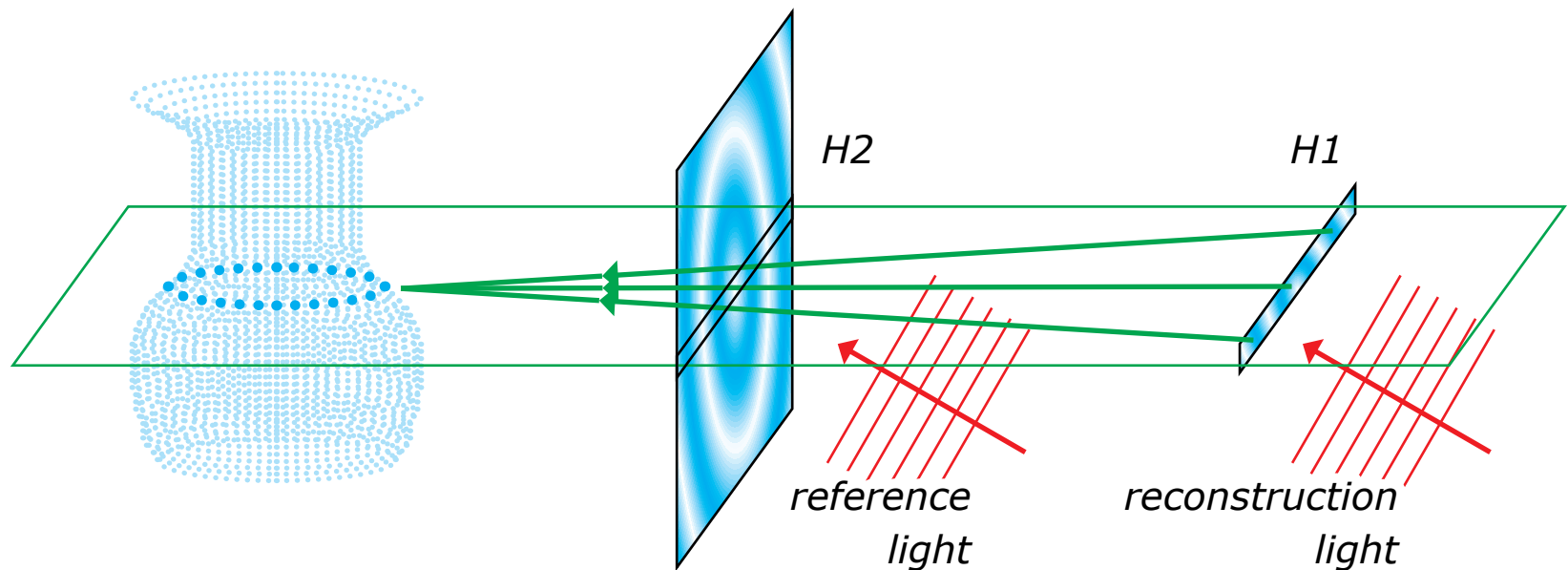
- white light hologram structure
  - just “bold” points will be visible due to rays in the cutting plane



# Hologram of a 3D scene



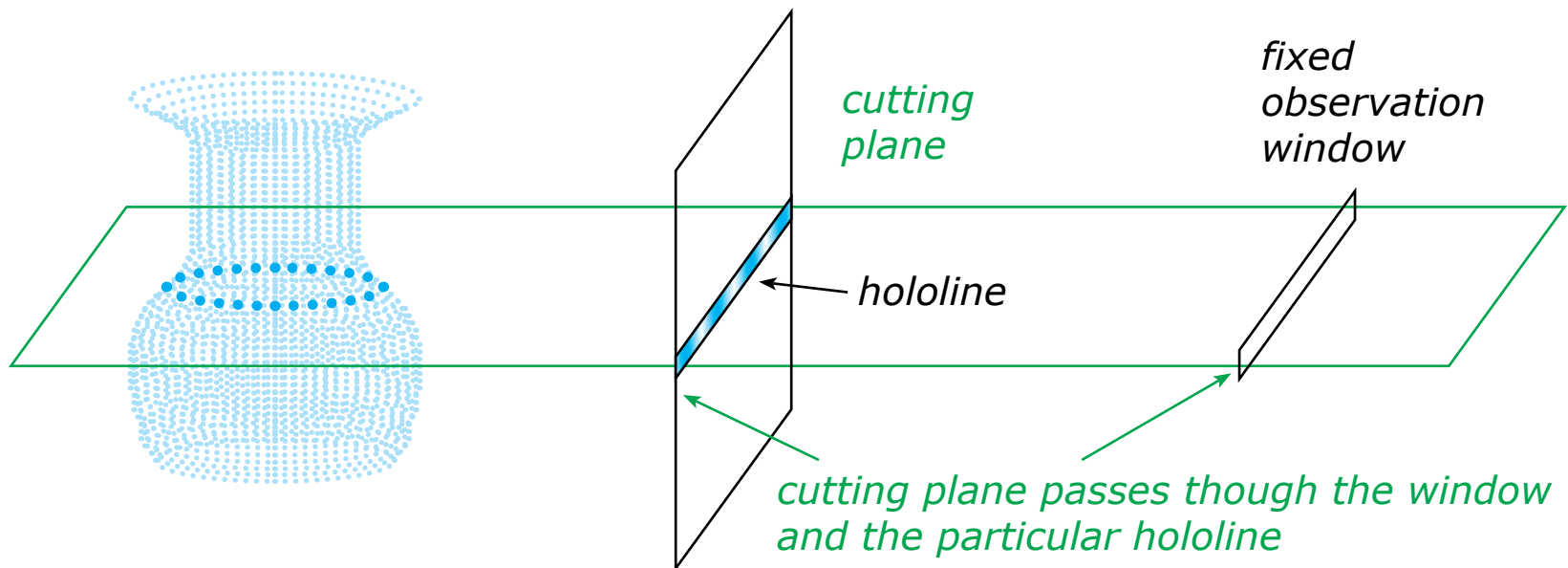
- white light hologram structure
  - in H2 recording, those “bold” point will affect only a part of the H2
  - ⇒ “bold” points affect a part of H2 only



# Hologram of a 3D scene



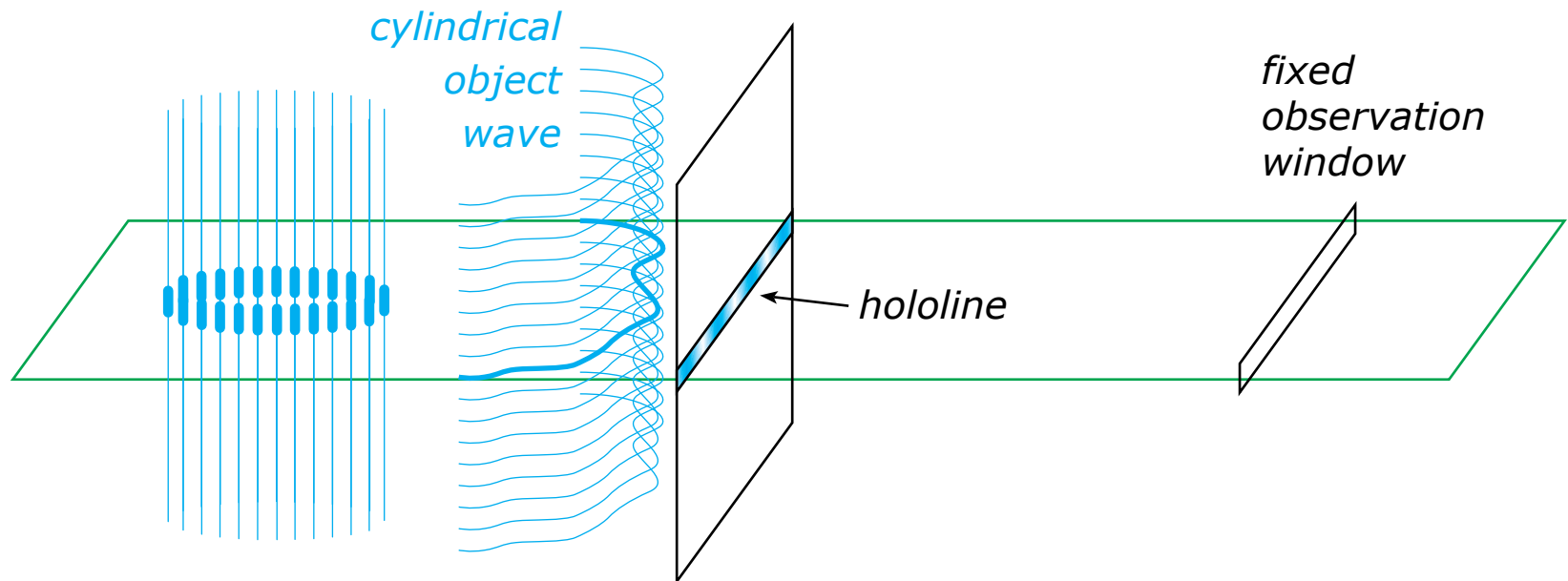
- digital white light HPO hologram (1)
  - split the H2 into parts – hololines
  - just one line of the hololine is considered
  - calculate the hololine using “bold” points only



# Hologram of a 3D scene



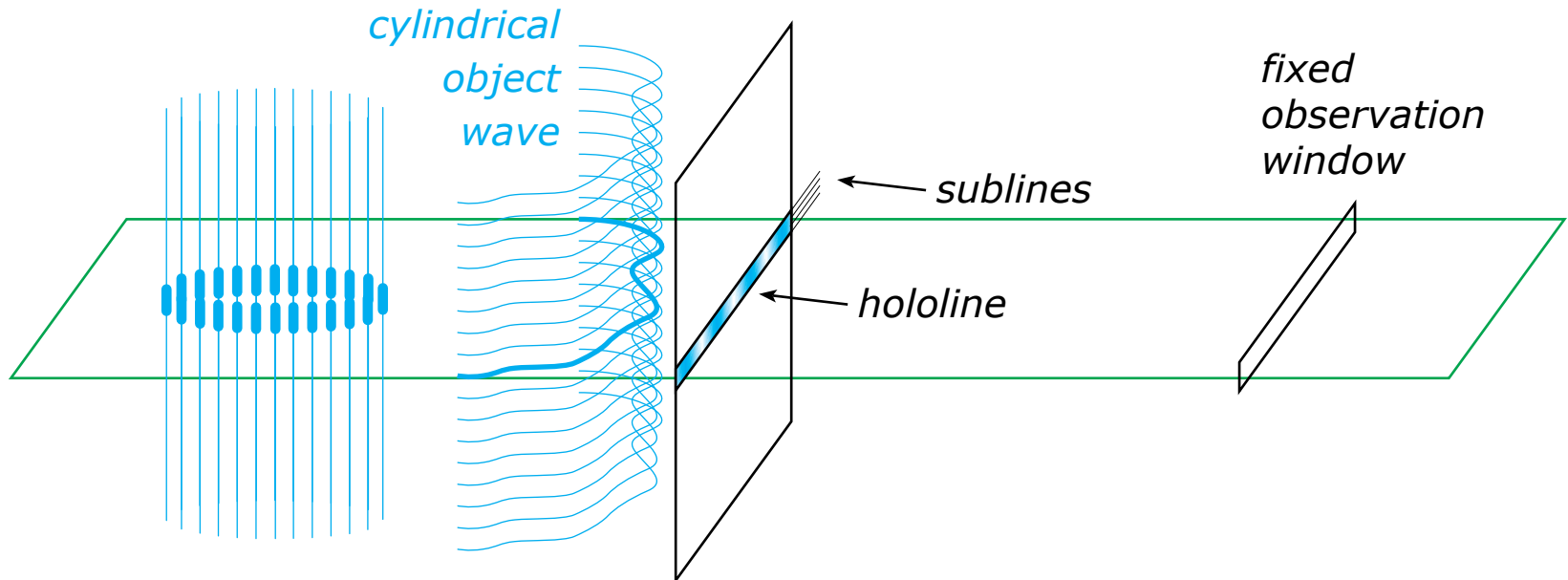
- digital white light HPO hologram (2)
  - assume the “bold” points to be lines
  - ⇒ they emit cylindrical wave
  - ⇒ object wave constant in vertical direction



# Hologram of a 3D scene



- digital white light HPO hologram (3)
  - hololine has the area  $width \times height$
  - object wave in every horizontal line (subline) is the same  $\Rightarrow$  calculate once & copy

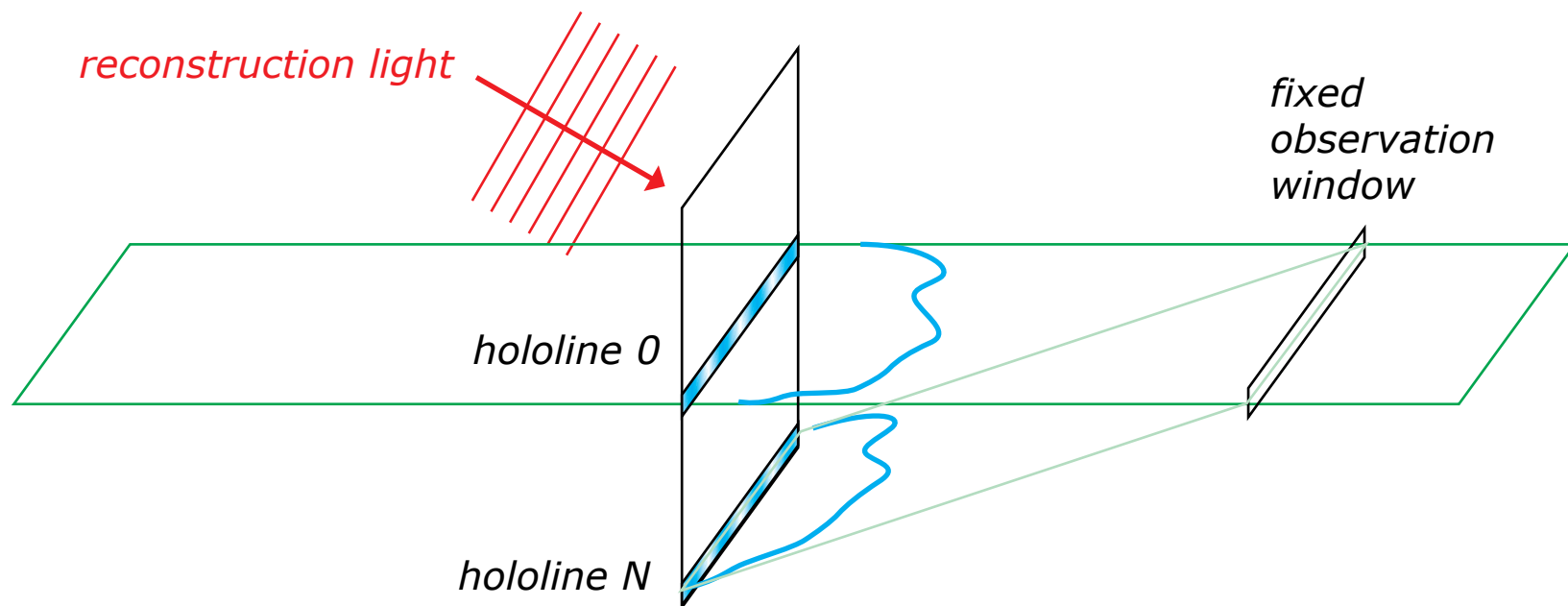




# Hologram of a 3D scene



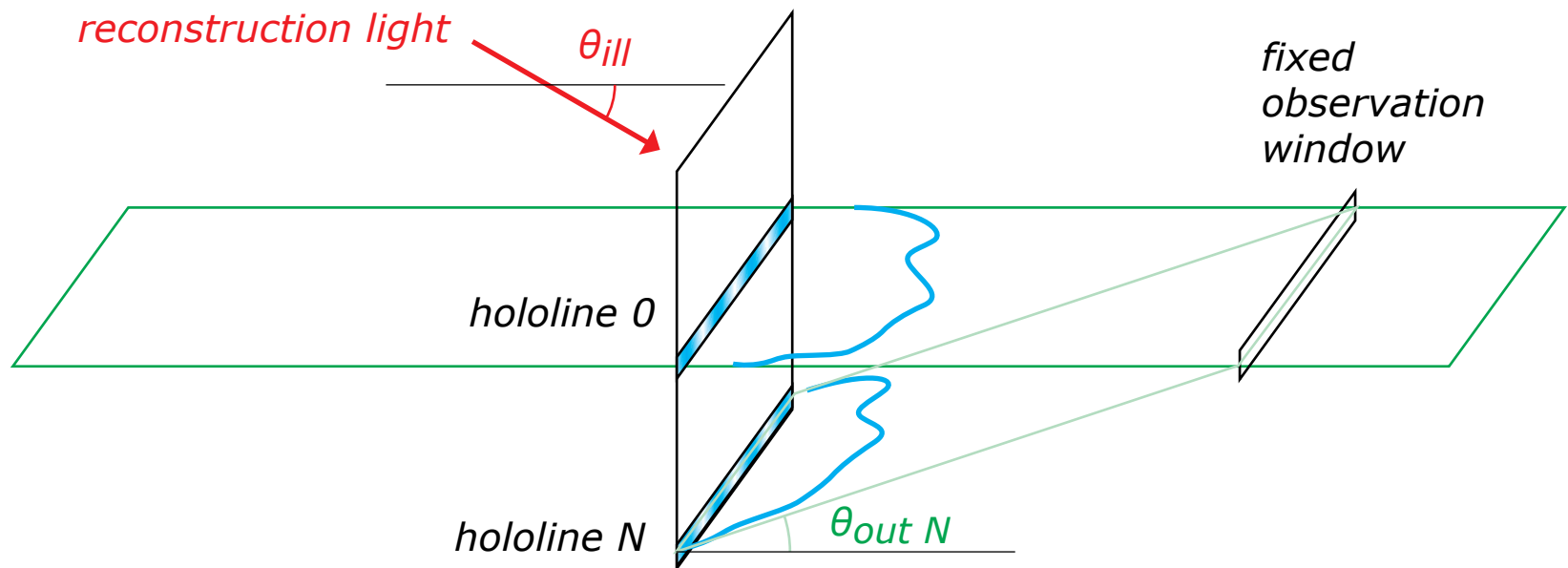
- digital white light HPO hologram (4)
  - in reconstruction, the wave from a hololine should hit the observation window



# Hologram of a 3D scene



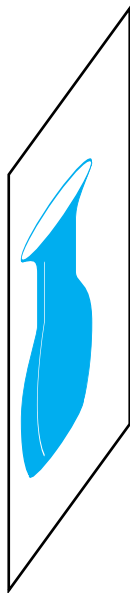
- digital white light HPO hologram (4)
  - the light has to change its angle from  $\theta_{ill}$  to  $\theta_{out N}$
  - in hololine N, add reference wave with angle  
$$\sin \theta_{ref} = \sin \theta_{ill} - \sin \theta_{out N}$$



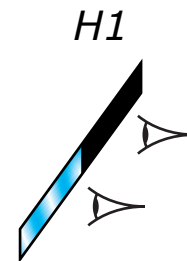
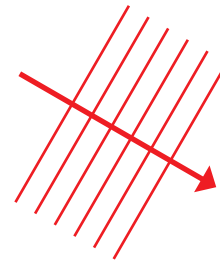
# Hologram of a 3D scene



- classical holographic stereogram (1)
  - record left image to the left part of H1 only



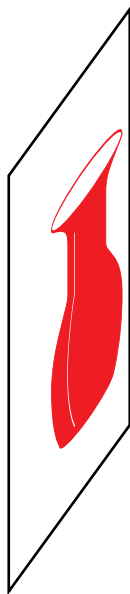
*reference light*



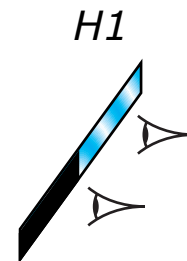
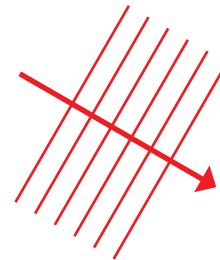
# Hologram of a 3D scene



- classical holographic stereogram (2)
  - record right image to the right part of H1 only



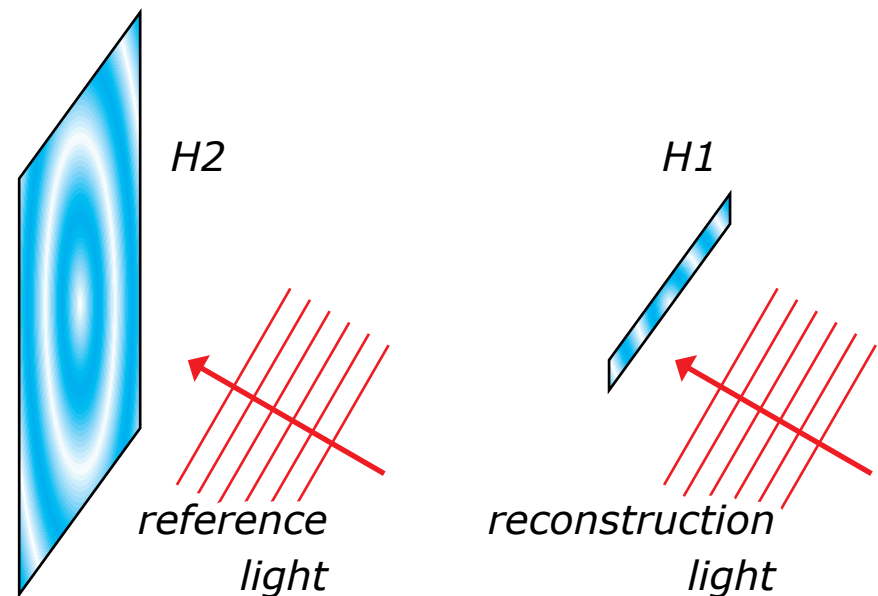
*reference light*



# Hologram of a 3D scene



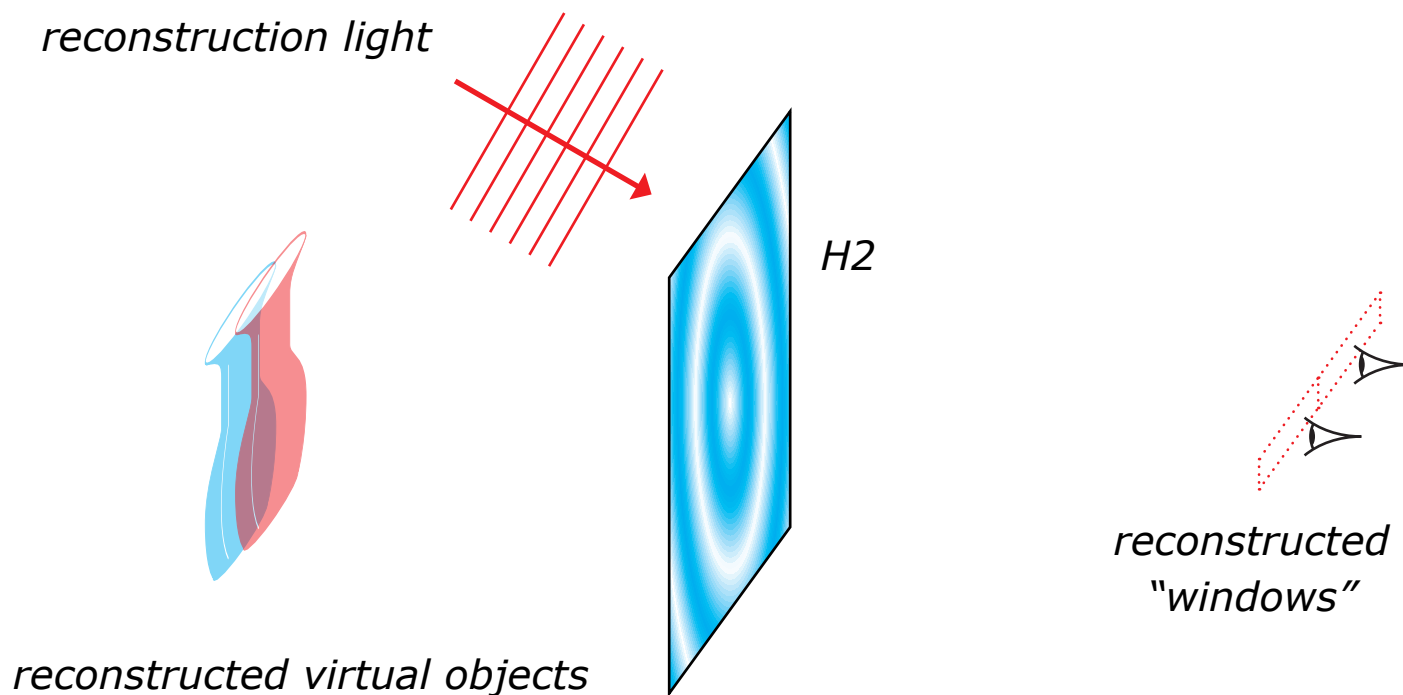
- classical holographic stereogram (3)
  - record H2 in a common way



# Hologram of a 3D scene

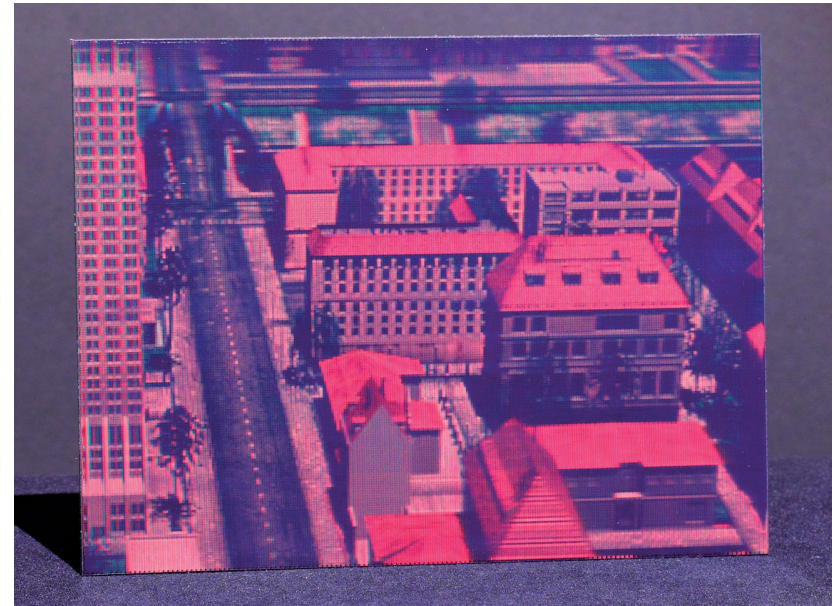


- classical holographic stereogram (4)
  - after illumination, the left eye watches the left image, the right eye watches the right image



# ▶ Hologram of a 3D scene

- digital holographic stereogram
  - visibility solving in particular directions using computer graphics (ray optics)
  - hologram has to display right image in the right direction
  - compatible with common imaging cameras



*Holographic stereogram by Geola Digital*



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AND VISUALIZATION

PLZEŇ  
CZECH REPUBLIC

# COMPUTER GENERATED HOLOGRAPHY

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## 3D VISION AND BEYOND

Questions?

- ▶ [graphics.zcu.cz](http://graphics.zcu.cz)
- ▶ [holo.zcu.cz](http://holo.zcu.cz)
- ▶ [www.kiv.zcu.cz](http://www.kiv.zcu.cz)



<http://graphics.zcu.cz>